REPORT TO SHASTA COUNTY RTPA

SUBJECT		MEETING DATE	ITEM NUMBER
Approve 2005/06 Transportation Development Act (TDA) True-Up Instructions and Amended Claims		4/17/07	4-4

RECOMMENDATION
It is recommended that the Agency:

1) Approve Resolution 07-02 reflecting revised 2005/06 Transportation Development Act (TDA) allocation instructions; and
2) Approve revised 2005/06 TDA claims as reflected on Exhibit B (attached).

SUMMARY
RTPA Policy 6-3 and TDA regulations provide for an annual reconciliation of claimants' transit obligations to RABA's actual audited revenue and costs. Revised allocation instructions and claims have been prepared for Agency approval. Exhibit A reflects the revised calculations. Exhibit B represents the revised schedule of claims.

DISCUSSION
In April 2005, the Agency approved TDA claims for 2005/06. This approval was based on RABA's estimated costs for the year. The actual costs of providing transit are now available in the 2005/06 audited financial statements and RABA's annual report to the State Controller. This revision to the TDA claims is based on RABA's actual costs (operating and capital) of providing transit services (Exhibit D). Actual versus budgeted revenue are also factored in.

Attachments A and B chart revenues and expenditures by type. The result of changes in transit cost obligations are demonstrated on Exhibit C and summarized in the table below:

Funding Source Or Expense	Amount of Increase or Decrease	City of Anderson	City of Redding	City of Shasta Lake	County of Shasta
REVENUES	**Increase or (Decrease) in Revenue**				
LTF/STA/Off the top/Core Match	$ 907,727	$ 63,582	$ 443,134	$ 49,725	$ 351,286
5307 FTA Urbanized Formula	$ (1,689,326)	$ (155,557)	$ (1,375,176)	$ (158,593)	0
Change in Revenue per Agency	$ (781,599)	$ (91,975)	$ (932,042)	$ (108,868)	$ 351,286
EXPENSES	**(Increase) or Decrease in Expenses**				
RABA	$ 2,592,208	$ (1,159)	$ 2,196,547	$ 127,919	$ 268,901
Burney Express and Rural Admin	$ (9,784)	0	0	0	$ (9,784)
Change in Expenses per Agency	$ 2,582,424	$ (1,159)	$ 2,196,547	$ 127,919	$ 259,117
NET IMPACT ON AGENCIES	**$ 1,800,825**	**$ (93,134)**	**$ 1,264,505**	**$ 19,051**	**$ 610,403**

FTA 5307 revenues are annually allocated to RABA and are not subject to annual expenditure. These funds may be "banked" for three years. In 2005/06 RABA budgeted $2.6 million for 5307 operating assistance, maintenance facility expansion, and bus purchases. Due to farebox issues, the RABA Board delayed capital expenditures for 2005/06. This results in a decrease in RABA revenue and expenditures in the true-up.

The decrease in funding for the City of Anderson is attributed to RABA route modifications implemented in October 2005, which added an Anderson-only route with an express route to Redding. This modification increased the service hours to the City of Anderson by eight hours per day. Anderson must now repay $93,134 to the SCRTPA. Anderson may elect to submit a payment in full, or have their 2007/08 claim allocation of $42,991 withheld, and sign a promissory note for the remaining $50,143.

ALTERNATIVES

There are no alternatives. This reconciliation has been prepared in accordance with RTPA Policy 6-3 and TDA regulations.

OTHER AGENCY INVOLVEMENT

This reconciliation was developed in cooperation with RABA staff who concur with the staff recommendation.

The staff recommendation and supporting schedules were shared with the staffs of the three cities and the County of Shasta, who concur with the staff calculations. It was further discussed at the April 3, 2007, Technical Advisory Committee (TAC) meeting, who also concur.

FINANCING

Approval of revised 2005/06 claims will make them consistent with the actual costs and revenues of providing transit service.

Daniel S. Little, AICP, Executive Officer

DSL/SLC/jac

Attachments:
- Resolution No. 07-02
- Attachment A - Revenue Chart
- Attachment B - Expenditure Chart
- Exhibit A - Calculation of Revised TDA Apportionments and Transit Obligations for 2005/06
- Exhibit B - Revised Schedule of Annual Claims/TDA Budget
- Exhibit C - Calculation of Impacts on Claimants Transit Obligations.
- Exhibit D - Calculation of RABA TDA Requirement

RESOLUTION NO. 07-02
REVISED SHASTA COUNTY REGIONAL TRANSPORTATION PLANNING AGENCY TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS AND CLAIMS FOR FY 2005/2006

WHEREAS, Section 99230 of the California Public Utilities Code (PUC) requires that "the designated transportation planning agency shall, from an analysis and evaluation of the total amount anticipated to be available in the Local Transportation Fund (LTF) and the relative needs of each claimant for the purposes for which the fund is intended, and consistent with the provisions of this chapter, annually determine the amount to be allocated to each claimant"; and

WHEREAS, PUC Section 99231 and the California Code of Regulations, Section 6655 require that "the transportation planning agency shall allocate to all claimants for a given area collectively only such moneys as represent that area's apportionment"; and

WHEREAS, the provisions of PUC Section 99401.5 require that the Regional Transportation Planning Agency (RTPA) annually review and make findings concerning "unmet transit needs" in each claimant jurisdiction and, prior to making any LTF allocations to claimants for other uses, fund such unmet transit needs in each claimant jurisdiction as have been found "reasonable to meet"; and

WHEREAS, the RTPA made the required transit needs findings process for each claimant agency in Shasta County for 2005/06 at its April 26, 2005, meeting, as reflected in Resolution No. 05-05; and

WHEREAS, the resulting proposed LTF allocations to the claimant jurisdictions will fund all identified transit needs that can be reasonably funded, and only thereafter will LTF funds be made available for other uses; and

WHEREAS, these revisions to the 2005/06 TDA allocations to the claimants maintain the existing level of transit service previously determined by the RTPA to be reasonable to meet. However, specified true-ups are proposed to more accurately reflect actual transit expenses incurred since the original claims were adopted. Actual expenses were agreed to the Redding Area Bus Authority's (RABA) audited financial statements and State Controller Report, and compared to the original claims resulting in RABA's actual costs being less than estimated by $2,592,208. Burney Express costs increased $13,984. Other changes to expenses were a decrease in rural transit administration of $4,200. Changes in revenue include RABA's actual funding for 5307 was less than estimated by $1,689,326. There was no change in 5311 revenue. There were decreases in "off-the-top" administration, core planning functions, and increases in LTF and State Transit Assistance revenue, resulting collectively in an increase to claimants of $907,727.

The overall result is a net decrease of funds for RABA of $925,835. The net impact to the agencies for other uses is an increase in funding to Redding of $1,264,505, Shasta Lake - $19,051, and Shasta County -

$610,403. The City of Anderson has a decrease in funding of $93,134 due to the implementation of the Anderson-only RABA service in October 2005. The combined impact on the agencies results in an overall increase in funds for other uses of $1,800,825.

NOW, THEREFORE, BE IT RESOLVED that Shasta County Regional Transportation Planning Agency:

(1) Determines that the 80% service hours/20% population-based cost sharing formula represents the cost sharing alternative which reflects the most equitable distribution of RABA service area costs to the claimant agencies in light of the transit system benefits each will realize from the RABA provided services;

(2) Approves the true-up of the 2005/06 claims as summarized in Exhibit A-2 to better reflect actual transit costs incurred to date;

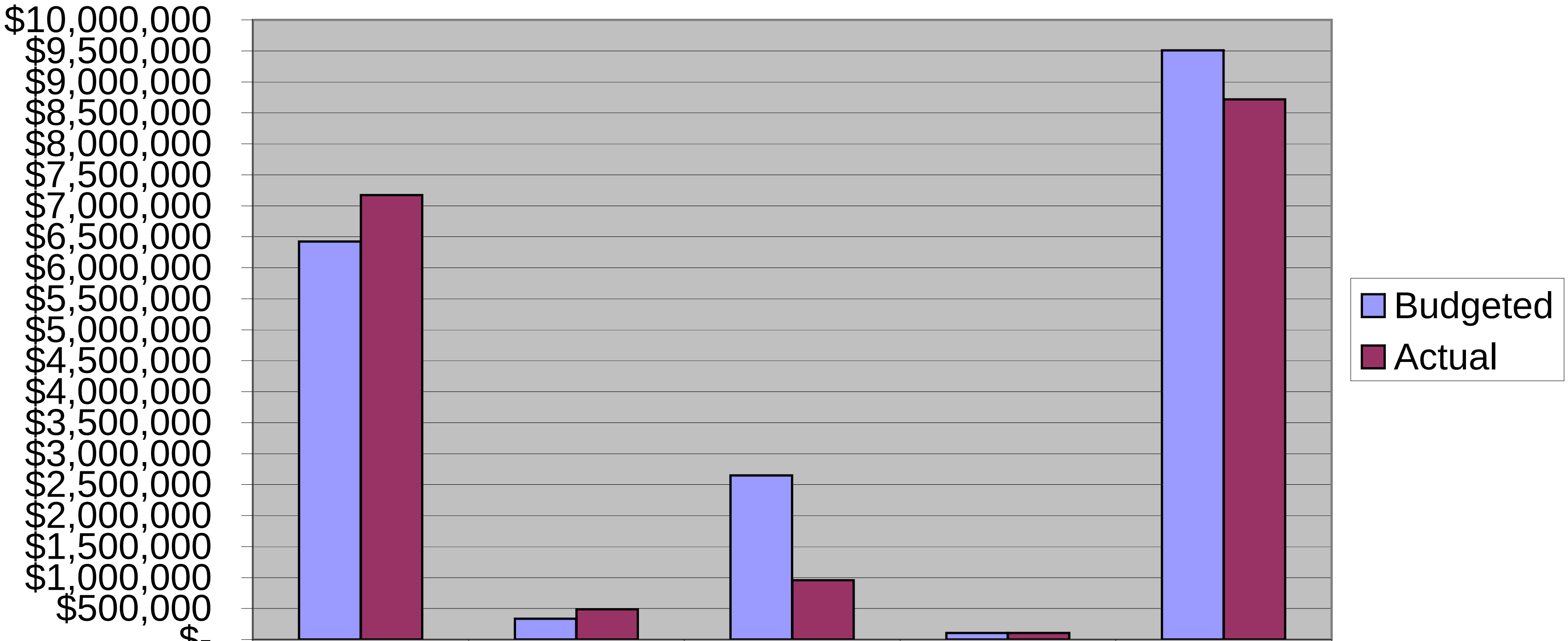
(3) Approves allocations for each claimant jurisdiction that are consistent with, and reflected in, the 2005/06 TDA Budget attached as Exhibit B; and

(4) Approves the claims for TDA funds as submitted by claimants in the amounts not exceeding those shown on Exhibit B for fiscal year 2005/06.

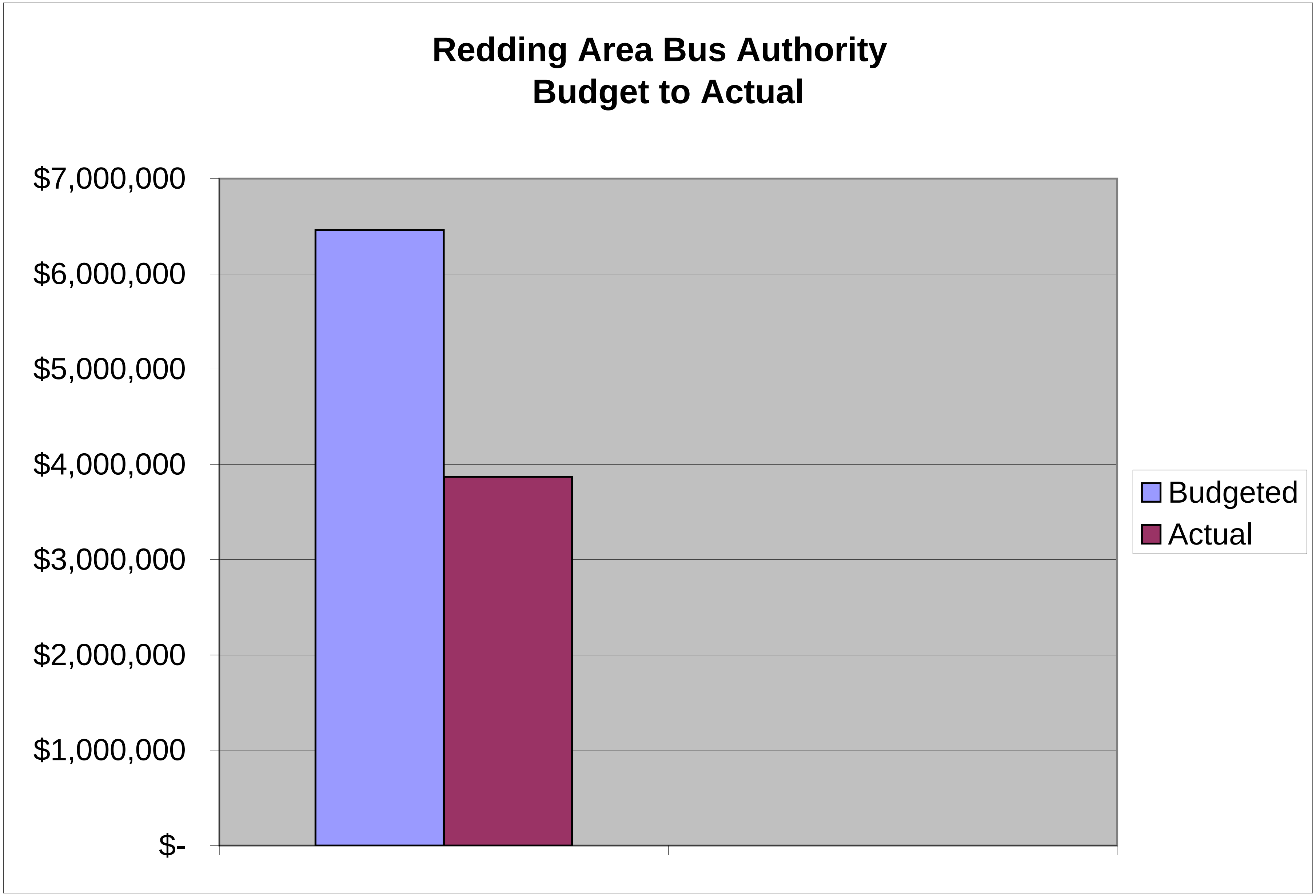
PASSED AND ADOPTED this 17th day of April, 2007, by the Shasta County Regional Transportation Planning Agency.

Norma Comnick, Chair
Shasta County Regional
Transportation Planning Agency

Attachment A
2005-2006 REVENUE BY TYPE
Budget to Actual



	LTF	STA	FTA 5307	FTA 5311	TOTAL
Budgeted	$6,422,007	$333,362	$2,646,452	$104,495	$9,506,316
Actual	$7,171,770	$485,585	$957,125	$104,495	$8,718,975



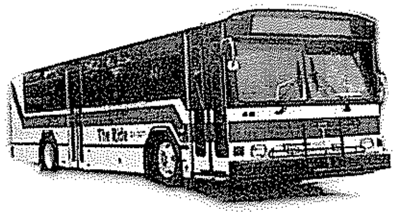
Redding Area Bus Authority
Budget to Actual
$7,000,000
$6,000,000
$5,000,000
$4,000,000
$3,000,000
$2,000,000
$1,000,000
$-
Budgeted
Actual

SHASTA COUNTY RTPA
CALCULATION OF TDA APPORTIONMENT AND TRANSIT OBLIGATIONS
2005-2006 TRUE UP

EXHIBIT A 1 of 2

Population Factors (for Apportionment Only)
Based on 2006 E-1 Population Report

	Population Based on 2006 1/1/2006	Percentage
Redding	89,973	49.58%
Anderson	10,677	5.88%
Shasta Lake	10,325	5.69%
Unincorporated County Area	70,508	38.85%
Total Population in RTPA Area	181,483	100.00%



Service Hour Factors
Allocate Service Hours to Claimant Jurisdictions
Service Hours from RABA
Represents Daily Hours Allocated to each Jurisdiction

	REDDING	ANDERSON	SHASTA LAKE	SHASTA COUNTY	DAILY TOTAL
Hours by Jurisdiction	120.05	0	8.45	9.00	137.50
Hours on Route 9	9.00	6.50	0	4.00	19.50
	129.05	6.50	8.45	13.00	157.00
	82 20%	4.14%	5.38%	8.28%	100.00%

Claimant Populations Within Urban Fixed Route Area based on 2005 State Department of Finance E-1 report	Population in Service Area	Population Split 20%	Service Hour Split 80%	Weighted Average Share
Redding	89,973	77.26%	82.20%	81.21%
Anderson	10,677	9.17%	4.14%	5.15%
Shasta Lake	10,325	8.87%	5.38%	6.08%
Unincorporated County Area	5,479	4.70%	8.28%	7.57%
Total Population in RTPA Area	116,454	100.00%	100.00%	100.00%

LTF Received 2005/2006 Per WTB		7,171,770	
STA received PUC 99313		485,585	
Subtotal		7,657,355	
" Off - The - Top " Allocations			
Administration	PUC 99233.1 LTF	(189,812)	(Per WTB)
CTSA	PUC 99275 LTF	(321,100)	
RTPA Core Planning Functions Match		(2,297)	PER OWP RDG,SL, AND, RABA
Total "Off-the-Top"		(513,209)	
Amount Available for Distribution		7,144,146	

5307 Population Split		
Redding	89,973	81.08%
Anderson	10,677	9.62%
Shasta Lake	10,325	9.30%
	110,975	100.00%

4-4 TDA TRUE UP 2005-2006 XLS05-06 true up Exh A Save: TDA
Approved 04/17/07

Prepared by Sue Crowe

4/9/2007

SHASTA COUNTY RTPA
CALCULATION OF TDA APPORTIONMENT AND TRANSIT OBLIGATIONS
2005-2006 TRUE UP

EXHIBIT A 2 of 2

Amount Required by RABA - Urban Fixed Route & DR	3,868,930
RABA % Funded Based Upon Service Hours	80.00%
RABA % Funded Based Upon Population	20.00%

Calculation of transit obligation based on 80% Service Hours/20% Population

	ACTUAL REVENUES					ACTUAL EXPENDITURES						
	Actual TDA available to Distribute	FTA Section 5307	Core Planning Match	FTA Section 5311	Total Resources Available	To Fund RABA	Anderson-only And.Express	To Fund Burney Express Rural Transit	To Fund Rural Admin & Lifeline	Actual TDA Available per claimant	Estimated 05/06 Claims	Change
Amount to be Apportioned to Claimants	7,144,146											
Redding	3,541,821	775,989			4,317,810	(3,141,961)	88,655			1,264,504	0	1,264,504
Redding Core Match	327		(327)		0					0		-
Anderson	420,304	92,086		0	512,390	(199,087)	(131,323)			181,980	275,114	(93,134)
Anderson Core Match	948		(948)		0					0		-
Shasta Lake	406,447	89,050			495,497	(235,191)	(30,341)			229,965	210,914	19,051
Shasta Lake Core Match	238		(238)		0					0		-
Unincorporated County Area	2,775,574			104,495	2,880,069	(292,691)	73,009	(96,284)	(32,050)	2,532,053	1,921,650	610,
Shasta County Core Match	784		(784)		0					0		-
Administration	189,812				189,812					189,812	184,904	4,908
CTSA	321,100				321,100					321,100	321,100	-
RABA	0		0		0	3,868,930				3,868,930	6,461,138	(2,592,208)
County Admin/Vanpool Subsidy/Admin Dues	0				0			96,284	32,050	128,334	118,550	9,784
Trial Transit Services	0				0			0		0	0	-
	0				0					0		
TOTAL TO DISTRIBUTE	7,657,355	957,125	(2,297)	104,495	8,716,678	0	0	0	0	8,716,678	9,493,370	(776,692)

SHASTA COUNTY RTPA
SCHEDULE OF ANNUAL CLAIMS /TDA BUDGET
FISCAL YEAR 2005-2006 TRUE UP OF CLAIMS

EXHIBIT B

CLAIMANT/PURPOSE		Funding Sources LTF	STA	FTA
Off-The-Top Apportionments				
Administrative (inc.Core Function Match)	PUC 99233 1	192,109		
CTSA Funding for Elderly Population	PUC 99275	309,100		
CTSA Funding for Administration by CTSA	PUC 99275	12,000		
Total Off The Top Apportionments		513,209		
Redding Area Bus Authority				
TDA funds required per RABA budget	3,868,930			
PUC 99400(c)				
Sources of Transit Funding:				
LTF - Redding	2,036,580	2,036,580		
STA - Redding	240,736		240,736	
FTA Section 5307	775,989			775,989
LTF - Shasta Lake	148,856	148,856		
STA - Shasta Lake	27,626		27,626	
FTA Section 5307	89,050			89,050
LTF - Anderson	209,756	209,756		
STA - Anderson	28,568		28,568	
FTA Section 5307	92,086			92,086
LTF - Shasta County	0	0		
STA - Shasta County	115,187		115,187	FTA 5311
FTA 5311	104,495			104,495
Total Funds Provided RABA for Transist	3,868,930	2,395,193	412,117	1,061,620
FUNDS AVAILABLE FOR OTHER USES				
Services Provided Under Contract to Shasta County		LTF	STA	FTA 5311
Burney Express (FTA 5311)		24,071	72,213	0
City of Redding				
LTF - For Other Uses		1,264,504		
City Of Anderson				
LTF - For Other Uses		181,980		
City Of Shasta Lake				
LTF - For Other Uses		229,965		
Shasta County				
LTF - For Other Uses (PUC 99400(a))		2,532,053		
LTF - Purchased Transportation - Rural Administration (PUC 99400(c))		2,050		
LTF - Purchased Transportation - Lifeline Services (PUC 99400(c))		28,745	1,255	
Total TDA Funds to be Distributed	8,718,975	7,171,770	485,585	1,061,620
	Core Planning not included in total			

Proof	
LTF	7,171,770
STA	485,585
5307	957,125
5311	104,495
Core	(2,297)
Equals Total Resources Available	8,716,678
Proof	0

~~4-4 TDA TRUE UP 2005-2006.XLSExhibit B Save: TDA Approved 4/17/07~~

Prepared by Sue Crowe

4/9/2007

SHASTA COUNTY RTPA
IMPACT OF 2005-2006 TDA TRUE UP ON LOCAL AGENCIES

EXHIBIT C

	Actual Required or Received	05/06 Estimated Claims	Difference between 05/06 estimate and actual	Comments	Increase (Decrease) in Amount Available for Other Uses: TOTAL Increase/Decrease in Resources	Impact on Agencies - Original Claim +/- actual required: Redding	Anderson	Shasta Lake	County	TOTAL	Agency Due To/From: RABA	RTPA	Total
Narrative: The annual TDA claims process is developed using estimated values based on the prior year's revenue sources Once the audited financial figures are available, the estimated claims or made whole (trued-up).						The increase or decrease in resources is allocated to the agencies by taking the original amount distributed to the agency less the true-up amount to be distributed.					The final determination is due to or due from RABA or the RTPA		
FUNDING RESOURCES													
Increase/Decrease in Resources from 2005/2006 Revenues					-								
Local Transportation Fund (LTF)	7,171,770	6,422,007	749,763	Increase from 05/06 estimate	749,763								
State Transit Assistance (STA)	485,585	333,362	152,223	Increase from 05/06 estimate	152,223								
RTPA Administration (Off the top)	(189,812)	(184,904)	(4,908)	Decrease from 05/06 estimate	(4,908)								
CTSA (5% off the top)	(321,100)	(321,100)	-	No change	-								
RTPA Core Planning Functions from OWP (Off the top)	(2,297)	(12,946)	10,649	Decrease from 05/06 estimate	10,649								
Discussion: The difference in the amount estimated and received may be apportioned to claimants at the RTPA's discretion. The RABA Capital Reserve must be maintained at $500,000 Interest received is added to this reserve and may be drawn on per request.	7,144,146	6,236,419	907,727	*Note: Confirm available reserve prior to disbursement*	907,727	443,134	63,582	49,725	351,286	907,727		(907,727)	
5307 Discussion: FTA Section 5307 is only available to RABA. The amount of FTA received is determined by the amount requested by RABA, and is backfilled with TDA funds to make RABA whole.													
Difference in 5307 Received vs. Budgeted (to be backfilled by TDA	957,125	2,646,452	(1,689,327)	Actual received by RABA less than estimate	(1,689,327)	(1,375,176)	(155,557)	(158,593)		(1,689,326)	1,689,327		
5311 Discussion: FTA 5311 grant revenue is received by Shasta County for rural transit operations This may be used for the County's portion of the RABA service area, Burney Express, and Lifeline services.													
Change in 5311 Grant Revenue	104,495	104,495	-										
CHANGE IN AVAILABLE RESOURCES	8,205,766	8,987,366	(781,600)		(781,601)	(932,042)	(91,975)	(108,868)	351,286	(781,599)	1,689,327	(907,727)	781,600
CHANGE IN FUNDING REQUIREMENTS													
Increase (Decrease) in Amount Necessary to Fund RABA on "80/20" allocation	3,868,930	6,461,138	(2,592,208)	Change in 80/20 Necessary to Fund RABA	2,592,208	2,107,892	130,164	158,260	195,892	2,592,208	(2,592,208)		
Anderson Route changes. October 2005 Anderson-only route service implemented, replacing Route 9 with an express route to Redding True-Up calculated using first 3 months and last 9 months hours by jurisdiction.				Anderson Route change		88,655	(131,323)	(30,341)	73,009				
Burney Express Operations (County Only)	96,284	82,300	13,984	Increase in Burney Express expenses	(13,984)				(13,984)	(13,984)		13,984	
Rural Admin and Lifeline Operations (County Only)	32,050	36,250	(4,200)	Actual rural admin labor less than estimated	4,200				4,200	4,200		(4,200)	
										-			
										-			
CHANGE IN EXPENDITURES	3,997,264	6,579,688	(2,582,424)		2,582,424	2,196,547	(1,159)	127,919	259,117	2,582,424	(2,592,208)	9,784	(2,582,424)
The difference in the original 05/06 estimated TDA claim and the actual funding resources and expenditures is now either due from the agencies or due to the agencies, depending on true-up adjustments.			1,800,824	Result of True Up - Revenue Less Expenditures (Other Uses)	1,800,823								
				Original Claim TDA Available for Other Uses	2,407,678	0	275,114	210,914	1,921,650	2,407,678			
				Total Amount Available for Other Uses after True UP	4,208,502	1,264,504	181,980	229,965	2,532,053	4,208,502			
AMOUNT DUE FROM/TO AGENCIES				Equals Difference in TDA Claimed and Apportionment	1,800,824	1,264,504	(93,134)	19,051	610,403	1,800,824	(902,881)	(897,943)	(1,800,824)
					Proof	1	0	0	0		Due From RABA	Due from RTPA	
											(22,954)	STA Paid Direct	
											(925,835)	Total Due From RABA	

RABA 6-30-06 PER CAFR = $ $923,225

Summary	
Change in LTF/STA/Admin	(907,727)
Decrease in 5307	1,689,327
Increase in 5311	-
Increase in Rural Transit	13,984
Decrease in Rural Admin	(4,200)
Change in 80/20	(2,592,208)
Net Impact of True Up On Agencies	(1,800,824)

Exhibit C 4-4 TDA TRUE UP 2005-2006.XLS
EXHIBIT C
Save: TDA
Approved 4/17/07

Shasta County RTPA
Calculation of Actual RABA TDA Requirement
Exhibit D

RECONCILIATION OF TDA FUNDING CALCULATION/CAFR/SCO REPORT					REVENUE AND EXPENSE SUMMARY BY FUND SOURCE				
	Per TDA Workpaper and CAFR		Operating Require.	Capital Require.	OPERATING 80/20		Burney Express	5307	REV & EXP TOTAL
Total Expenses:									
Operating		5,215,282	=cafr& sco report		4,372,487		92,795	750,000	5,215,282
Capital		327,597	=cafr & sco		327,597				327,597
Less Depreciation		(832,767)			(832,767)				(832,767)
TOTAL TDA ELIGIBLE EXPENSES		4,710,112			3,867,317		92,795	750,000	4,710,112
Less Capital Reserve Excess		-							
Less Burney Express		(92,795)					92,795		92,795
Revised Total Expenses of RABA		4,617,317							
Less Ineligible Revenues									
Operating Revenues									
Passenger Fares- Fixed	448,475				448,475				448,475
Passenger Fare- DR	159,585				159,585				159,585
Passenger Fares - Burney Express	12,869						12,869		12,869
Charter Service	447				447				447
Auxillary Service	28,804				28,804				28,804
Misc revenue	8,234				8,234				8,234
	0						0		0
MPO-OWP	67,231				67,231				67,231
Investment Income	22,742	$ 748,387	=sco report		22,742				22,742
Operating and Capital Subject to "80/20" allocation		3,868,930							
5307 revenue received - Operating		$ (750,000)	=sco report					750,000	750,000
5307 revenue received - Capital		$ (207,125)			207,125				207,125
		2,911,805			942,643	0	105,664	750,000	1,798,307
									0
Total TDA Resources Required	2,911,805	2,911,805			2,924,674	0	(12,869)	0	2,911,805

RTPA to RABA Reconciliation	Paid by RTPA	Per RABA
Equals Funding Requirement		2,911,805
TDA Received at 6-30-06 per RABA		(3,837,640)
Less 04/05 True Up		-
Difference	0	(925,835)

RTPA Payments Reconciliation	RTPA	Rec'd by RABA per TDA Funding WP
Total Paid from LTF (919)	4,109,150	
TDA paid to RABA per 05/06 claims	(3,790,507)	3,837,640
04/05 True Up	(303,657)	
STA	(14,987)	
2nd Qtr STA transfer		
Difference	(1)	3,837,640
Less RTPA Paid to RABA		(3,790,507)
Plus 5311 paid from 892		104,495
Less RABA CAP		(151,678)
Equals RABA TDA requirements	(1)	(50)

Note: When preparing True-Up prior years True-Up must be deducted from the cash reserve before disbursing True-Up Funds. $200K must be reserved for RTPA administration. $500K for RABA Capital Reserve.