REPORT TO SHASTA COUNTY RTPA

SUBJECT	MEETING DATE	ITEM NUMBER
Consider 1999/00 Transportation Development Act (TDA) True-Up Instructions and Amended Claims	04/24/01	4

RECOMMENDATION

It is recommended that the Agency:

1) Approve Resolution 01-06 reflecting revised 1999/00 Transportation Development Act (TDA) allocation instructions; and
2) Approve revised 1999/00 TDA claims as reflected on Exhibit B (attached).

SUMMARY

RTPA Policy 6-3 and TDA regulations provide for a reconciliation of claimants' transit obligations to RABA's actual costs on an annual basis. Revised allocation instructions and claims have been prepared for Agency approval. Exhibit A reflects the revised calculation of transit obligations based upon RABA's actual audited costs. Exhibit B represents the revised schedule of claims.

After funding RABA's true TDA requirement (Actual Operating Expenses plus Capital costs), amounts available for Streets and Roads are adjusted as follows: (brackets indicate a reduction)

City of Redding	$248,583
Anderson	(68,642)
City of Shasta Lake	88,219
County of Shasta	(28,642)

DISCUSSION

In April 2000, the Agency approved the revised TDA claims for 1999/00. That approval was based on RABA's anticipated costs for the year. The actual costs of providing transit are now available in the 1999/00 audited financial statements and RABA's annual report of transactions to the State Controller's office. This revision to the TDA claims is based on RABA's actual costs (operating and capital)of providing transit services. This true up also updates the population changes used in the apportionment and allocation process. Additionally, TDA resources were increased by $217,630. This represents an increase of revenue received for TDA during the 99/00 period.

Exhibit C demonstrates the TDA revenues and costs received by RABA for 1999/00. These changes can be broken down into three components.

1) RABA's actual TDA requirement (total expenses less revenues plus capital costs) incurred was $3,999,649. This compares with a TDA requirement of $2,432,650 when the original claims were approved. This component

resulted in a increase in claimants' collective transit obligation of $1,566,998.

2) The original claims contemplated RABA utilizing $336,381 of FTA Section 5307 funding (operating only). RABA actually received $400,000 of FTA Section 5307 grant revenue for operating and $1,523,131 for capital costs. This results in an increase in the transit obligation assigned exclusively to Redding and Shasta Lake of $1,586,750. The reason that this applies exclusively to these agencies is that FTA Section 5307 is intended for urbanized areas. These revenue sources are credited exclusively to these two entities.

3) RABA has requested funding in the amount of $2,525,475 for capital costs. These costs were not requested when the original claims were approved.

RABA has used the following Funding sources:

State Prop 116 funding	$ 408,115
5307 Operating and Capital	$1,581,627
TDA Funds held in Reserve	$ 377,735
TDA Funds required from Agencies	$ 157,998
Total	$2,525,475

The specific assets acquired are listed as enclosures in the letter from RABA dated April,18 2001, attached to this staff report.

In Summary, RABA incurred a significant increase of capitalized cost for the 1999/00 fiscal year. These costs were not identified during last years claims process and require a true up of additional funds from the agencies that fund RABA. Additionally, $217,630 of TDA revenues received was greater than estimated and was, therefore, used to reduce the impact on agencies.

ALTERNATIVES

The Agency could modify any of the above proposed revisions recommended by Staff. This is not recommended because this reconciliation has been prepared in accordance with RTPA Policy 6-3 and TDA regulations.

OTHER AGENCY INVOLVEMENT

This reconciliation or "true-up" was developed in cooperation with RABA staff who concur with the staff recommendation.

The staff recommendation and supporting schedules were shared with the staffs of Redding, Shasta County, and Anderson who have indicated their concurrence with the staff recommendation. It was further discussed at the April 10 Technical Advisory Committee (TAC) meeting.

FINANCING

Approval of revised 1999/00 claims will make claims consistent with actual costs and revenues of providing transit service.

The impacts of these adjustments displayed in the Summary will be coordinated with impacts of Agenda Item 5.

Daniel J. Kovacich, Executive Officer

/jmg

Attachment:

Resolution No. 01-06
Exhibit A - Calculation of Revised TDA Apportionments and Transit Obligations for 1999/00
Exhibit B - Schedule of Annual Claims/TDA Budget (as revised 4/24/2001)
Exhibit C - Calculation of Impacts on Claimants Transit Obligations.
Exhibit D - RABA Letter dated April 18, 2001

RESOLUTION NO. 01-06
REVISED SHASTA COUNTY REGIONAL TRANSPORTATION PLANNING AGENCY TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS AND CLAIMS FOR FY 1999/00

WHEREAS, Section 99230 of the California Public Utilities Code (PUC) requires that each transportation planning agency shall annually determine the amount of LTF funds to be allocated to each claimant, from an analysis and evaluation of the total amount anticipated to be available in the Local Transportation Fund (LTF) and the relative needs of each claimant for the purposes for which the fund is intended, and consistent with the provisions of the law; and

WHEREAS, PUC Section 99231 and the California Code of Regulations, Section 6655 require that the transportation planning agency shall allocate to a claimant for a given area only such moneys as represent that area's apportionment; and

WHEREAS, the provisions of PUC Section 99401.5 require that the Regional Transportation Planning Agency (RTPA) annually review and make findings concerning "unmet transit needs" in each claimant jurisdiction and, prior to making any LTF allocations to claimants for street and road purposes, fund such unmet transit needs in each claimant jurisdiction as have been found "reasonable to meet"; and

WHEREAS, the RTPA made the required transit needs findings process for each claimant agency in Shasta County for 1999/00 at its April 24, 2000 meeting, as reflected in Resolution No. 9-00; and

WHEREAS, the resulting proposed LTF allocations to the claimant jurisdictions will fund all identified transit needs that can be reasonably funded, and only thereafter will LTF monies be made available for eligible streets and road purposes; and

WHEREAS, these revisions to the 1999/00 TDA allocations to the claimants maintain the existing level of transit service previously determined by the RTPA to be reasonable to meet; However, specified true-ups are proposed to more accurately reflect actual transit expenses incurred since the original claims were adopted. Actual expenses were agreed to audited financial statements and compared to the original filing. RABA costs were greater than estimated by $1,566,998. TDA funds received were actually $4,803,856, which is $217,630 greater than estimated. Additionally, funding for $2,525,475 of capital items acquired is also a portion of this true-up of TDA allocations.

NOW, THEREFORE, BE IT RESOLVED that Shasta County Regional Transportation Planning Agency:

(1) Determines that the 80% service hours/20% population-based cost sharing formula represents the cost sharing alternative which reflects the most equitable distribution of RABA service area costs to the claimant agencies in light of the transit system benefits each will realize from the RABA provided services; and

(2) Approves the true-up to the 1999/00 claims as summarized in Schedule A and better reflect actual transit costs incurred to date.

(3) Approves allocations for each claimant jurisdiction that are consistent with and reflected in the 1999/00 TDA Budget attached as Schedule B; and

(4) Approves the claims for TDA funds as submitted by claimants for transit funds in the amounts not exceeding those shown on Schedule B for fiscal year 1999/00.

PASSED AND ADOPTED this 24th day of April 2001, by the Shasta County Regional Transportation Planning Agency.

Norma Comnick, Chairman
Shasta County Regional
Transportation Planning Agency

Exhibit A

Shasta County Regional Transportation Planning Agency

Calcualtion of TDA Apportionments and Transit Obligations for 1999-2000 True Up

Population Factors (for Apportionment Only)

	Population Based on 1999 figures 1/1/00	Percentage
Redding	79,600	47.66%
Anderson	8,800	5.27%
Shasta Lake	9,425	5.64%
Unincorporated County Area	69,200	41.43%
Total Population in RTPA Area	167,025	100.00%

Service Hour Factors

Allocate Service Hours to Claimant Jurisdictions

Service Hours from RABA

Represents Daily Hours Allocated to each Jurisdiction

	REDDING	ANDERSON	SHASTA LAKE	SHASTA COUNTY	DAILY TOTAL
Hours by Jurisdiction	120.05	0	8.45	9.00	137.50
Hours on Route 9	9.00	6.50	0	4.00	19.50
	129.05	6.50	8.45	13.00	157.00
	82.20%	4.14%	5.38%	8.28%	100.00%

Claimant Populations Within Urban Fixed Route Area based on 1999 State Department of Finance E-1 report	Population in Service Area	Population Split 20%	Service Hour Split 80%	Weighted Average Share
Redding	76,612	76.41%	82.20%	81.04%
Anderson	8,800	8.78%	4.14%	5.07%
Shasta Lake	9,425	9.40%	5.38%	6.19%
Unincorporated County Area	5,426	5.41%	8.28%	7.71%
Total Population in RTPA Area	100,263	100.00%	100.00%	100.00%

Actual LTF received		4,803,856
Actual STA received PUC 99313		260,204
Subtotal		5,064,060
" Off - The - Top " Allocations		
Actual Administration	PUC 99233.1 LTF	(158,768)
Actual CTSA	PUC 99275 LTF	(169,000)
Actual RTPA Core Planning Functions Match		(10,803)
Total "Off-the-Top"		(338,571)
Amount Available for Distribution		4,725,489

Shasta County Regional Transportation Planning Agency

Calcualtion of TDA Apportionments and Transit Obligations for 1999-2000 True Up

Total Amount Expense and Capital per RABA		3,999,648	=Per CAFR								
			(1,918,519+(2,525,475-408,115)+(341,504)-(377,735))								
			RABA Net Operating + (Total Capital - Prop 116) + (Operating 5307) -(Capital Match from reserve)								
RABA % Funded Based Upon Service Hours	80.00%										
RABA % Funded Based Upon Population	20.00%										

Calculation of transit obligation based on 80% Service Hours/20% Population

	Amount to be Distributed	FTA Section 5307	Core Planning Match	5311 Grant FTA Section	Total Resources Available	To Fund RABA	To Fund Burney Express Rural Transit	To Fund Rural Admin. & Vanpool	TDA Apportion Available for Other Uses	Actual TDA Claimed	Difference Inc-(Dec)
Amount to be Apportioned to Claimants	4,725,489										
Redding	2,252,052	1,712,460			3,964,512	(3,241,322)			723,190	474,607	248,583
Redding Core Match	10,339		(10,339)								
Anderson	248,971			46,087	295,058	(202,682)			92,376	161,018	(68,642)
Anderson Core Match	184		(184)								
Shasta Lake	266,653	210,671			477,324	(247,410)			229,914	141,695	88,219
Shasta Lake Core Match	127		(127)								
Unincorporated County Area	1,957,814			38,577	1,996,391	(308,235)	(46,941)	(38,532)	1,602,683	1,631,325	(28,642)
Shasta County Core Match	153		(153)								
Administration	158,767				158,767				158,767		
CTSA	169,000				169,000				169,000		
RABA -	0				0	3,999,648			3,999,648		
County Admin/Vanpool Subsidy	0				0		46,941	38,532	85,473		
					0		0		0		
TOTAL TO DISTRIBUTE	5,064,060	1,923,131	(10,803)	84,664	7,061,052	0	0	0	7,061,052	2,408,645	239,519
Source Notes		Per RABA	Per OWP								

Shasta County Regional Transportation Planning Agency

Calcualtion of TDA Apportionments and Transit Obligations for 1999-2000 True Up

EXHIBIT B

SHASTA COUNTY RTPA
SCHEDULE OF ANNUAL CLAIMS /TDA BUDGET
FISCAL YEAR 1999-2000 Trueup of Claims

		Funding Sources		
CLAIMANT/PURPOSE		LTF	STA	FTA
Off-The-Top Apportionments				
Administrative	PUC 99233.1	169,570		
(includes Core Function Match)				
CTSA Funding for Elderly Population	PUC 99275	157,000		
CTSA Funding for Administration by CTSA	PUC 99275	12,000		
Total Off The Top Apportionments		338,570		
Redding Area Bus Authority				
TDA funds required per RABA Financials	3,999,648			
PUC 99400(c)				
Sources of Transit Funding:				
LTF - Redding	1,404,855	1,404,855		
STA - Redding	124,007		124,007	
FTA Section 5307	1,712,460			1,712,460
LTF - Shasta Lake	22,056	22,056		
STA - Shasta Lake	14,683		14,683	
FTA Section 5307	210,671			210,671
LTF - Anderson	142,885	142,885		
STA - Anderson	13,709		13,709	
FTA Section 5311	46,087			46,087
LTF - Shasta County	200,430	200,430		
STA - Shasta County	107,805		107,805	
FTA Sec 5311				
Total Funds Provided RABA for Transist	3,999,648	1,770,226	260,204	1,969,218
Services Provided Under Contract to Shasta County				
Burney Express		8,364		38,577
City of Redding		723,190		
LTF Streets and Roads				
City Of Anderson		92,376		
LTF Streets and Roads				
City Of Shasta Lake		229,914		
LTF Streets and Roads				
Shasta County				
LTF - Streets and Roads (PUC 99400(a))		1,602,683		
LTF - Purchased Transportation - Vanpool Subsidy (PUC 99400(c))		8,532		
LTF - Purchased Transportation - Lifeline Services (PUC 99400(c))		30,000		
Total TDA Funds to be Distributed		4,803,856	260,204	2,007,795

Exhibit C							
Shasta County Regional Transportation Planning Agency							
Calcualtion of TDA Apportionments and Transit Obligations for 1999-2000 True Up							
Revised Exhibit A Page 1 differences							
	Amount of TDA	Amount of STA	Amount of Administration	Amount of CTSA	Amount of Core	Net Amount of Funding Avail	
Original	$4,586,226.00	$ 260,204.00	$ 140,463.00	$ 169,000.00	$ 30,844.00	$ 4,506,123.00	
Revised	$4,803,856.00	$ 260,204.00	$ 158,768.00	$ 169,000.00	$ 10,803.00	$ 4,725,489.00	
Difference	$ 217,630.00	$ -	$ 18,305.00	$ -	$ (20,041.00)	$ 219,366.00	
Revised Exhibit A Page 2 differences							
	Amount to Be Provided to RABA	Amount of FTA 5307	Total Resources Available Redding	Total Resources Available Anderson	Total Resources Available Shasta Lake	Total Resources Available Shasta County	
Original	$2,432,650.00	$ 336,381.00	$ 2,444,420.00	$ 282,391.00	$ 290,415.00	$ 1,911,006.00	
Revised	$3,999,648.00	$1,923,131.00	$ 3,964,512.00	$ 295,058.00	$ 477,324.00	$ 1,996,391.00	
Difference	$1,566,998.00	$1,586,750.00	$ 1,520,092.00	$ 12,667.00	$ 186,909.00	$ 85,385.00	
			Amount to Fund RABA Redding	Amount to Fund RABA Anderson	Amount to Fund RABA Shasta Lake	Amount to Fund RABA Shasta County	Amount to Fund RABA Total
		Original	$ 1,969,812.00	$ 121,373.00	$ 148,719.00	$ 192,745.00	$2,432,649.00
		Revised	$ 3,241,322.00	$ 202,682.00	$ 247,410.00	$ 308,235.00	$3,999,649.00
		Difference	$ 1,271,510.00	$ 81,309.00	$ 98,691.00	$ 115,490.00	$1,567,000.00
			Available for Other Uses Redding	Available for Other Uses Anderson	Available for Other Uses Shasta Lake	Available for Other Uses Shasta County	Available for Other Uses Total
		Original	$ 474,607.00	$ 161,018.00	$ 141,695.00	$ 1,631,325.00	$2,408,645.00
		Revised	$ 723,190.00	$ 92,376.00	$ 229,914.00	$ 1,602,683.00	$2,648,163.00
		Difference	$ 248,583.00	$ (68,642.00)	$ 88,219.00	$ (28,642.00)	$ 239,518.00
		Allocators for Apportionment of Resources					
			Redding	Anderson	Shasta Lake	Shasta County	
		Old	47.57%	5.24%	5.65%	41.53%	100.00%
		New	47.66%	5.27%	5.64%	41.43%	100.00%
		Allocators for RABA Costs					
			Redding	Anderson	Shasta Lake	Shasta County	
		Old	80.97%	4.99%	6.11%	7.92%	100.00%
		New	81.04%	5.07%	6.19%	7.71%	100.00%

EXHIBIT D

RABA CAPITALIZED ASSETS/ACCOUNTS RECEIVABLE & REVENUE
99/00

		Federal FTA Operating	Local	State (116)	Fed (FTA)	Total Expense	Total to Capitalize
3704	Bus Refurbishing #27	30.48				30.48	
3704	Bus Refurbishing #28	4,645.54				4,645.54	
3704	Bus Refurb/rebuild #29	4,695.38				4,695.38	
3704	Bus Refurbishing #30		2,413.39			2,413.39	
3704	Bus Refurbishing #31		12,293.64			12,293.64	
3704	Bus Refurb/rebuild #32	20,351.90				20,351.90	
3704	Bus Refurbishing #35	95.77				95.77	
3704	Back-up cameras	11,951.50				11,951.50	
3704	Bus Refurbishing #200		2,010.49			2,010.49	
3704	Bus Refurbishing #201		2,010.49			2,010.49	
3704	Bus Refurbishing #202	2,568.55				2,568.55	
3704	Bus Refurbishing spare engine	14,157.00				14,157.00	77,224.11
4103	Maintenance Facility		111,206.00	147,835.69		259,041.69	259,041.69
4422	Phone System		25,016.29			25,016.29	25,016.29
4414	[illegible]			89,346.81		89,346.81	89,346.81
4662	Bus Turnouts			166,586.18		166,586.18	
4664	[illegible]		[illegible]		18,582.50	23,228.14	389,814.31
5095	Bus # 38		52,227.40		208,909.58	261,136.98	
5095	Bus # 39		52,227.40		208,909.58	261,136.98	
5095	Bus # 40		52,227.40		208,909.58	261,136.98	
5095	Bus # 41		52,227.39		208,909.58	261,136.97	
5095	Bus # 42		52,227.39		208,909.58	261,136.97	
5095	Bus # 43		52,227.39		208,909.58	261,136.97	
5095	Bus # 44		52,227.39		208,909.58	261,136.97	
5095	Spare parts bus #38-44		10,456.88		41,827.53	52,284.38	
5095			88.36		353.45	441.81	
5095	Upgrade & backup camera #34			1,384.31		1,384.31	
5095	Upgrade & backup camera #35			1,384.33		1,384.33	
5095	Upgrade & backup camera #36			1,384.33		1,384.33	
5095	Upgrade & backup camera #37			1,384.33		1,384.33	1,686,222.31
	TOTAL	58,496.10	535,732.93	409,305.98	1,523,130.51	2,526,665.53	2,526,665.53
			(0.00)	5,537.30		2,526,665.53	
				(1,191.30)		(1,191.30)	
			535,732.93	4,346.00		2,525,474.23	