

REPORT TO SHASTA COUNTY RTPA

SUBJECT	MEETING DATE	ITEM NUMBER
Conduct Public Hearing and Consider Adoption of the 2001/02 Overall Work Program	04/24/01	6

RECOMMENDATION

It is recommended that the Agency:

1. Hold a public hearing;
2. Take testimony on the proposed 2001/02 Overall Work Program; and
3. Authorize the Chairman to sign the Certifications and Assurances for Federal Transit Administration Assistance Programs; and
4. Adopt Resolution No. 01-04 certifying compliance with federal joint urban transportation planning regulations and adopting the 2001/02 Overall Work Program.

SUMMARY

The Overall Work Program (OWP) is required for regional transportation planning agencies designated as the Metropolitan Planning Organization (MPO) to receive state and federal planning funds. The OWP has been developed in cooperation with the cities, RABA, Caltrans and Shasta County and incorporates all the comments received from the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA) and Caltrans District 2. Another requirement is to certify that federal funds will not be used for lobbying purposes.

DISCUSSION

State and Federal regulations governing the metropolitan transportation planning process require the development of an annual budget by each Metropolitan Planning Organization (MPO) called the Overall Work Program (OWP) to define the tasks to be accomplished, the type and amount of funding and the participants in the transportation planning process. The 2000/01 Overall Work Program has been prepared after receiving and incorporating input from the cities, County, RABA and Caltrans and has been reviewed by the funding agencies which include the Federal Highway Administration (FHWA), Federal Transit Administration (FTA) and Caltrans. Funding agency comments and responses have been included in the final draft. Federal law requires that the OWP also contain a certification that none of the funds received are used for lobbying purposes.

The 2001/02 OWP includes funding to prepare, monitor and implement all the planning documents and processes that are required. This includes the Regional Transportation Plan (RTP), the Regional Transportation Improvement Plan (RTIP), bike and aviation plans and the Federal Transportation Improvement Plan (FTIP). This OWP includes funds to comply with the Air Quality Conformity rules that go into effect when Shasta County is designated a Federal Non Attainment Area (July 2001). Implementing the adopted Public Involvement Plan is incorporated into this budget.

These processes and plans can be considered the "core," or, basic functions of the RTPA. These plans are prepared by Shasta County staff under the direction of the Executive Officer. Other local agencies participate as stakeholders, mainly by attending meetings and commenting on the work done by staff. This OWP funds these "core" RTPA functions 100% from Federal Planning funds and TDA resources.

To fund all the "core" functions as well as the requests from all the local agencies, spends 100% of the funds to be made available to the RTPA for the current year. These functions and requests were funded in accordance with the Board's adopted policy numbered 5-1. This policy determines the order in which to prioritize these local agency requests in the event such requests exceed the funding available. This policy addresses how competing projects are evaluated, what type of accountability is required and what deliverables are required.

Total funding for the Work Program is \$902,900. A break down of the funding is presented in the following table.

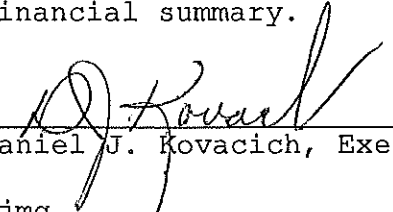
	FHWA PL	TDA	FTA	INKD	TOTAL	INCLUDED IN TOTALS	
						Consultant	Fixed Assets
County-RTPA	\$ 215,194.00	\$ 200,955.00	N/A		\$ 416,149.00	\$ 55,000	\$ 20,000
County	\$ 82,860.00	\$ 533.00	N/A	\$ 8,970.00	\$ 92,363.00		\$ 30,899
Redding	\$ 121,017.00	\$ 843.00	N/A	\$ 14,836.00	\$ 136,696.00		\$ 29,000
Shasta Lake	\$ 122,171.00	\$ 310.00	N/A	\$ 15,519.00	\$ 138,000.00	\$ 120,000	\$ 7,250
Anderson	\$ 34,469.00	\$ 755.00	N/A	\$ 3,712.00	\$ 38,936.00	\$ 20,000	\$ -
RABA	N/A	\$ 489.00	\$ 71,493.00	\$ 8,774.00	\$ 80,756.00	\$ 15,000	
Totals	\$ 575,711.00	\$ 203,885.00	\$ 71,493.00	\$ 51,811.00	\$ 902,900.00	\$ 210,000	\$ 87,149

OTHER AGENCY INVOLVEMENT

Funding agencies are the Federal Highway Administration, Federal Transit Administration, and Caltrans. Participating agencies are Shasta County, the cities of Redding, Anderson, and Shasta Lake, Redding Area Bus Authority, and Caltrans. This item was discussed at the TAC meeting of April 10.

FINANCING

Total funding for the Work Program is \$902,900. This amount is made up of \$575,711 is Federal funds, \$203,885 in TDA funds, \$71,493 in FTA funds, and \$51,811 in "In-kind" commitments. Section III of the OWP contains a two page financial summary.


Daniel J. Kovacich, Executive Officer

/jmg

Attachments: Resolution No. 01-04
Certifications and Assurances for Federal Transit
Administration Assistance Programs
2001/02 Overall Work Program (Agency Members only)

RESOLUTION NO. 01-04

ADOPTING THE 2001/2002 OVERALL WORK PROGRAM AND CERTIFYING COMPLIANCE
WITH FEDERAL JOINT URBAN TRANSPORTATION PLANNING REGULATIONS

WHEREAS, the Federal Highway Administration and Federal Transit Administration, as a condition to the allocation of federal transportation planning funds, require each metropolitan planning organization to annually develop a comprehensive Overall Work Program as a planning, programming and budgeting tool for the coming fiscal year; and

WHEREAS, the joint urban transportation planning regulations require each metropolitan planning organization (MPO) to certify that its planning process is being carried out in conformance with the following federal rules and regulations:

- 1) 49 U.S.C., Chapter 53 Sections 5303-5306 (formerly Section 8 of the Federal Transpiration Act),
- 2) Sections 174 and 176(c) and (d), and of the Clean Air Act (42 USC 7504, 7506(c) and (d),
- 3) Title VI of the Civil Rights Act of 1964 and Title VI assurance executed by the State under 23, USC 324 and 29 USC 794,
- 4) Section 1003(b) of the Transportation Efficiency Act (TEA-21) of 1998 (Pub. L. 102-240) regarding the involvement of disadvantaged business enterprises in FHWA and FTA funded planning projects (Sec. 105(f), Pub. L. 97-424, 96 Stat. 2100; 49 CFR Part 23),
- 5) The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat. 327, as amended) and U.S. DOT regulations "Transportation for Individuals with Disabilities" (49 CFR Parts 27, 37, and 38), and;

WHEREAS, the draft Overall Work Program has been reviewed and is found to conform to the above federal planning regulations and to reflect the priorities, scope of work, and level of effort desired for regional transportation planning for fiscal year 2001/2002 and

WHEREAS, the draft Overall Work Program was submitted to the State and Federal funding agencies for review, comment and recommendation; and

WHEREAS, comments and recommendations of funding agencies and the Intermodal Planning Group have been received and incorporated into the final Program draft;

NOW, THEREFORE, BE IT RESOLVED that the Overall Work Program for 2001/2002 is approved and adopted.

FURTHER, BE IT RESOLVED that Shasta County Regional Transportation Planning Agency certifies that the transportation planning process is being carried out in conformance with all applicable federal requirements.

PASSED AND ADOPTED this 24th day of April, 2001, by the Shasta County Regional Transportation Planning Agency.

Norma Connick, Chairman
Shasta County Regional
Transportation Planning Agency

CERTIFICATIONS AND ASSURANCES FOR FISCAL YEAR 2001/02

Name of Applicant: Shasta County Regional Transportation Planning Agency

Name of Authorized Representative: Norma Cornick

Relationship of Authorized Representative: Chair

BY ENDORSING THIS SIGNATURE PAGE, Norma Cornick declares that she is duly authorized by the Applicant to make the certifications and assurances on behalf of the Applicant and bind the Applicant to comply with them. Thus, when its authorized representative signs this document, the Applicant agrees to comply with all Federal statutes, regulations, executive orders, and administrative guidance required for any application it makes to the Federal Transit Administration (FTA) during Fiscal Year 2001/2002.

The California State Department of Transportation and FTA intend that the certifications and assurances under Category 1, "Certifications and Assurances Required of Each Applicant" and Category III, "Lobbying Certification Required for Each Application Exceeding \$100,000, should apply, as required, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Fiscal Year 2001/2002.

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. 3801 et seq, as implemented by DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 3.1 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with the FTA formula assistance program for urbanized areas, and may apply to any other certification, assurance, or submission made in connection with any program administered by FTA.

In signing this document I declare under the penalty of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Date: _____

Authorized Representative of Applicant

(except in the case of projects under FTA's university and research training program authorized by 49 U.S.C. 5312(b), the Applicant's legal counsel is required to affirm the legal capacity of the Applicant.)

AFFIRMATION OF APPLICANT'S ATTORNEY
for Shasta County Regional Transportation Planning Agency

As the undersigned legal counsel for the above named Applicant hereby I affirm that the Applicant has authority under state and local law to make and comply with the certifications and assurances as indicated in the foregoing pages. I further affirm that, in my opinion, the certifications and assurances have been legally made and constitute legal and binding obligations on the Applicant.

I further affirm that to the best of my knowledge, there is no legislation or litigation pending or threatened that might adversely affect the validity of these certifications and assurances, or the performance of the project. Furthermore, if I become aware of circumstances that change the accuracy of the foregoing statements, I will notify the Applicant, the California State Department of Transportation and FTA.

Date: _____

Applicant's Attorney