REPORT TO SHASTA COUNTY RTPA

SUBJECT		MEETING DATE	ITEM NUMBER
Consider 2004/05 Transportation Development Act (TDA) True-Up Instructions and Amended Claims		4/26/06	3-5

RECOMMENDATION
It is recommended that the Agency:

1) Approve Resolution 06-06 reflecting revised 2004/05 Transportation Development Act (TDA) allocation instructions; and
2) Approve revised 2004/05 TDA claims as reflected on Exhibit B (attached).

SUMMARY
RTPA Policy 6-3 and TDA regulations provide for a reconciliation of claimants' transit obligations to RABA's actual costs on an annual basis. Revised allocation instructions and claims have been prepared for Agency approval. Exhibit A reflects the revised calculation of transit obligations based upon RABA's actual audited costs. Exhibit B represents the revised schedule of claims.

DISCUSSION
In April 2004, the Agency approved TDA claims for 2004/05. This approval was based on RABA's estimated costs for the year. The actual costs of providing transit are now available in the 2004/05 audited financial statements and RABA's annual report to the State Controller. This revision to the TDA claims is based on RABA's actual costs (operating and capital) of providing transit services (Exhibit D). The following are the changes in revenue and expenditures:

Changes to Expenditures:
- RABA Operating Costs were less than estimated by $1,160,772.
- Burney Express Costs increased by $37,331.
- Decrease in rural administration costs of $2,994.
- Replenishment of capital reserves by RABA of $88,345.

Changes in Revenue:
- FTA 5307 less than estimated by $1,567,245.
- Combined decrease in administrative costs, core planning functions, and increased in LTF and STA, resulting in an increase to claimants of $360,106.
- State Transit Assistance Revenue paid directly to RABA $14,471.

The net impact to the agencies for other use is:
- City of Redding: Decrease in funding of $151,678.
- City of Anderson: Decrease in funding of $ 39,488.
- City of Shasta Lake: Decrease in funding of $45,468.
- County of Shasta: Increase in funding $155,929.
- Net Due to RABA: $303,657.

The result of changes in transit cost obligations are demonstrated on Exhibit C and summarized in the table on Page 2.

Funding Source Or Expense	Amount of Increase or Decrease	City of Anderson	City of Redding	City of Shasta Lake	County of Shasta
REVENUES	Increase or (Decrease) of Revenue				
LTF/STA/Off the top/Core Match	360,105	41,355	168,102	25,011	125,637
5307 FTA Urbanized Formula	(1,567,246)	(139,702)	(1,282,641)	(144,903)	0
Change in Revenue per Agency	(1,207,141)	(98,347)	(1,114,539)	(119,892)	125,637
EXPENSES	(Increase) or Decrease in Expenses				
RABA	1,160,773	58,859	962,861	74,424	64,629
Burney Express and Rural Admin	(34,337)	0	0	0	(34,337)
Change in Expenses per Agency	1,126,436	58,859	962,861	74,424	30,292
NET IMPACT ON AGENCIES	(80,705)	(39,488)	(151,678)	(45,468)	155,929

ALTERNATIVES

There are no alternatives. This reconciliation has been prepared in accordance with RTPA Policy 6-3 and TDA regulations.

OTHER AGENCY INVOLVEMENT

This reconciliation was developed in cooperation with RABA and City of Redding staff that concurs with the staff recommendation.

The staff recommendation and supporting schedules were shared with the staffs of the three cities and the County of Shasta, who concur with the staff recommendation. It was further discussed at the April 11, 2006, Technical Advisory Committee (TAC) meeting.

FINANCING

Approval of revised 2004/05 claims will make them consistent with the actual costs and revenues of providing transit service.

Daniel S. Little, Executive Officer

SLC/jac

Attachment: Resolution No. 06-06
Exhibit A - Calculation of Revised TDA Apportionments and Transit Obligations for 2004/05
Exhibit B - Revised Schedule of Annual Claims/TDA Budget
Exhibit C - Calculation of Impacts on Claimants Transit Obligations.
Exhibit D - Calculation of RABA TDA Requirement
Exhibit E - RABA Capital Reserve

RESOLUTION NO. 06-06
REVISED SHASTA COUNTY REGIONAL TRANSPORTATION PLANNING AGENCY TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS AND CLAIMS FOR FY 2004/2005

WHEREAS, Section 99230 of the California Public Utilities Code (PUC) requires that "the designated transportation planning agency shall, from an analysis and evaluation of the total amount anticipated to be available in the Local Transportation Fund (LTF) and the relative needs of each claimant for the purposes for which the fund is intended, and consistent with the provisions of this chapter, annually determine the amount to be allocated to each claimant"; and

WHEREAS, PUC Section 99231 and the California Code of Regulations, Section 6655 require that "the transportation planning agency shall allocate to all claimants for a given area collectively only such moneys as represent that area's apportionment"; and

WHEREAS, the provisions of PUC Section 99401.5 require that the Regional Transportation Planning Agency (RTPA) annually review and make findings concerning "unmet transit needs" in each claimant jurisdiction and, prior to making any LTF allocations to claimants for other uses, fund such unmet transit needs in each claimant jurisdiction as have been found "reasonable to meet"; and

WHEREAS, the RTPA made the required transit needs findings process for each claimant agency in Shasta County for 2004/05 at its April 27, 2004 meeting, as reflected in Resolution No. 04-03; and

WHEREAS, the resulting proposed LTF allocations to the claimant jurisdictions will fund all identified transit needs that can be reasonably funded, and only thereafter will LTF funds be made available for other uses; and

WHEREAS, these revisions to the 2004/05 TDA allocations to the claimants maintain the existing level of transit service previously determined by the RTPA to be reasonable to meet. However, specified true-ups are proposed to more accurately reflect actual transit expenses incurred since the original claims were adopted. Actual expenses were agreed to the Redding Area Bus Authority's (RABA) audited financial statements and State Controller Report, and compared to the original claims resulting in RABA's actual costs being less than estimated by $1,160,772. Burney Express costs increased $37,331. Other changes to expenses were a decrease in rural transit administration of $2,994. Changes in revenue include RABA's actual funding for 5307 was less than estimated by $1,567,245. There was no change in 5311 revenue. There was a replenishment of capital reserves by RABA of $88,345. There were decreases in "off-the-top" administration, core planning functions, and increases in LTF and State Transit Assistance revenue, resulting collectively in an increase to claimants of $360,106.

The overall result is a net increase of funds to RABA of $406,473 less $88,345 to replenish reserves and $14,471 in State Transit Revenue resulting in a net due to RABA of $ 303,657. The net impact to the agencies for other uses is a decrease in funding to Redding ($151,678), Anderson ($39,488), and Shasta Lake ($45,468), and an increase in funding to the county of $ 155,929. The combined impact on the agencies results in an overall decrease in funds for other uses of $80,705.

NOW, THEREFORE, BE IT RESOLVED that Shasta County Regional Transportation Planning Agency:

(1) Determines that the 80% service hours/20% population-based cost sharing formula represents the cost sharing alternative which reflects the most equitable distribution of RABA service area costs to the claimant agencies in light of the transit system benefits each will realize from the RABA provided services;

(2) Approves the true-up of the 2004/05 claims as summarized in Exhibit A-2 to better reflect actual transit costs incurred to date;

(3) Approves allocations for each claimant jurisdiction that are consistent with, and reflected in, the 2004/05 TDA Budget attached as Exhibit B; and

(4) Approves the claims for TDA funds as submitted by claimants in the amounts not exceeding those shown on Exhibit B for fiscal year 2004/05.

PASSED AND ADOPTED this 25th day of April 2006, by the Shasta County Regional Transportation Planning Agency.

____

Glenn Hawes, Chairman
Shasta County Regional
Transportation Planning Agency

SHASTA COUNTY RTPA

CALCULATION OF TDA APPORTIONMENT AND TRANSIT OBLIGATIONS

2004-2005 TRUE UP

EXHIBIT A 1 of 2

Population Factors (for Apportionment Only)

Based on 2005 E-1 Population Report

	Population Based on 2005 1/1/2005	Percentage
Redding	88,459	49.64%
Anderson	10,441	5.86%
Shasta Lake	10,204	5.73%
Unincorporated County Area	69,093	38.77%
Total Population in RTPA Area	178,197	100.00%

Service Hour Factors

Allocate Service Hours to Claimant Jurisdictions

Service Hours from RABA

Represents Daily Hours Allocated to each Jurisdiction

	REDDING	ANDERSON	SHASTA LAKE	SHASTA COUNTY	DAILY TOTAL
Hours by Jurisdiction	120.05	0	8.45	9.00	137.50
Hours Shasta College Express	3.48			2.02	5.50
Hours on Route 9	9.00	6.50	0	4.00	19.50
	132.53	6.50	8.45	15.02	162.50
	81.56%	4.00%	5.20%	9.24%	100.00%

Claimant Populations Within Urban Fixed Route Area based on 2005 State Department of Finance E-1 report	Population in Service Area	Population Split 20%	Service Hour Split 80%	Weighted Average Share
Redding	88,459	77.28%	81.56%	80.70%
Anderson	10,441	9.12%	4.00%	5.02%
Shasta Lake	10,204	8.91%	5.20%	5.94%
Unincorporated County Area	5,358	4.68%	9.24%	8.33%
Total Population in RTPA Area	114,462	100.00%	100.00%	100.00%

LTF Received 2004/2005 Per WTB	6,551,916	
STA received PUC 99313	299,463	
Subtotal	6,851,379	
" Off - The - Top " Allocations		
Administration PUC 99233.1 LTF	(161,135)	(Per WTB)
CTSA PUC 99275 LTF	(314,762)	
RTPA Core Planning Functions Match	(1,136)	PER OWP RDG,SL, AND, RABA
Total "Off-the-Top"	(477,033)	
Amount Available for Distribution	6,374,346	

5307 Population Split		
Redding	88,459	81.08%
Anderson	10,441	9.57%
Shasta Lake	10,204	9.35%
	109,104	100.00%

SHASTA COUNTY RTPA
CALCULATION OF TDA APPORTIONMENT AND TRANSIT OBLIGATIONS
2004-2005 TRUE UP

EXHIBIT A 2 of 2

Amount Required by RABA - Urban Fixed Route & DR	3,068,751	*(RABA expenditures decreased by $366,665 to replenish reserve)*
RABA % Funded Based Upon Service Hours	80.00%	
RABA % Funded Based Upon Population	20.00%	

Calculation of transit obligation based on 80% Service Hours/20% Population

	Amount to be Distributed	FTA Section 5307	Core Planning Match	5311 Grant FTA Section	Total Resources Available	To Fund RABA		To Fund Burney Express Rural Transit	To Fund Rural Admin & Vanpool	TDA Apportion Available for Other Uses	Actual TDA Claimed	Change
Amount to be Apportioned to Claimants	6,374,346											
Redding	3,164,297	345,638			3,509,935	(2,476,544)				1,033,391	1,185,069	(151,678)
Redding Core Match	31		(31)		0					0		-
Anderson	373,489	40,796		0	414,285	(154,185)				260,100	299,588	(39,488)
Anderson Core Match	980		(980)		0					0		-
Shasta Lake	365,011	39,870			404,881	(182,374)				222,507	267,975	(45,468)
Shasta Lake Core Match	124		(124)		0					0		-
Unincorporated County Area	2,471,549			100,155	2,571,704	(255,647)		(97,331)	(33,256)	2,185,470	2,029,541	155,929
Shasta County Core Match	0				0					0		-
Administration	161,135				161,135					161,135	210,967	(49,832)
CTSA	314,762				314,762					314,762	314,762	-
RABA	0		0		0	3,068,751				3,068,751	4,229,523	(1,160,772)
County Admin/Vanpool Subsidy/Admin Dues	0				0			97,331	33,256	130,587	96,250	34,337
Trial Transit Services	0				0			0		0	0	-
	0				0					0		
TOTAL TO DISTRIBUTE	6,851,378	426,305	(1,135)	100,155	7,376,703	0	0	0	0	7,376,703	8,633,675	(1,256,972)

SHASTA COUNTY RTPA
SCHEDULE OF ANNUAL CLAIMS /TDA BUDGET
FISCAL YEAR 2004-2005 TRUE UP OF CLAIMS

EXHIBIT B

		Funding Sources			
CLAIMANT/PURPOSE		LTF	STA		FTA
Off-The-Top Apportionments					
Administrative (inc.Core Function Match)	PUC 99233.1	162,270			
CTSA Funding for Elderly Population	PUC 99275	302,762			
CTSA Funding for Administration by CTSA	PUC 99275	12,000			
Total Off The Top Apportionments		477,032			
Redding Area Bus Authority					
TDA funds required per RABA budget	3,068,751				
PUC 99400(c)					
Sources of Transit Funding:					
LTF - Redding	1,982,249	1,982,249			
STA - Redding	148,657		148,657		
FTA Section 5307	345,638				345,638
LTF - Shasta Lake	125,356	125,356			
STA - Shasta Lake	17,148		17,148		
FTA Section 5307	39,870				39,870
LTF - Anderson	95,842	95,842			
STA - Anderson	17,546		17,546		
FTA Section 5307	40,796				40,796
LTF - Shasta County	115,357	115,357			
STA - Shasta County	116,112		116,112		FTA 5311
FTA 5311	24,179				24,179
Total Funds Provided RABA for Transist	3,068,751	2,318,804	299,463		450,484
FUNDS AVAILABLE FOR OTHER USES					
Services Provided Under Contract to Shasta County		LTF			FTA 5311
Burney Express (FTA 5311)		21,355			75,976
		-			
City of Redding					
LTF - Streets & Roads		1,033,391			
		-			
City Of Anderson					
LTF Streets and Roads		260,100			
		-			
City Of Shasta Lake					
LTF Streets and Roads		222,507			
Shasta County					
LTF - Other Uses (PUC 99400(a))		2,185,470			
LTF - Purchased Transportation - Rural Administration (PUC 99400(c))		3,256			
LTF - Purchased Transportation - Lifeline Services (PUC 99400(c))		30,000			
Total TDA Funds to be Distributed	7,377,838	6,551,915	299,463		526,460
	Core Planning not included in total				
Proof					
LTF	6,551,915				
STA	299,463				
5307	426,305				
5311	100,155				
Core	(1,136)				
Equals Total Resources Available	7,376,702				
Proof	1				

Prepared by Sue Crowe

4/20/2006

SHASTA COUNTY RTPA

IMPACT OF 2004-2005 TDA TRUE UP ON LOCAL AGENCIES

EXHIBIT C

	Actual Required or Received	04/05 Original Claim	Result of True UP	Comments	Increase (Decrease) in Amount Available for Other Uses						Agency Due To/From		
						Impact on Agencies - Original Claim +/- actual required							
FUNDING RESOURCES					TOTAL	Redding	Anderson	ShastaLake	County	TOTAL	RABA	RTPA	Total
Increase in Resources from 2004/2005 Revenues					-								
Increase in LTF	6,551,916	6,295,238	256,678	LTF received higher than estimated	256,678								
Increase in STA	299,463	244,731	54,732	STA received higher than estimated	54,732								
Decrease in "off the top"	(161,135)	(207,834)	46,699	Decrease in admin costs	46,699								
CTSA True UP	(314,762)	(314,762)	-		-								
Decrease in Core Match	(1,136)	(3,133)	1,997	Decrease in core costs -does not impact RABA	1,997								
Difference in amount apportioned to claimants	6,374,346	6,014,240	360,106	*Note: Confirm available reserve prior to disbursement*	360,106	168,102	41,355	25,011	125,637	360,104		(360,104)	
Difference in 5307 Received vs. Budgeted (to be backfilled by TDA	426,305	1,993,550	(1,567,245)	Actual received by RABA less than estimate	(1,567,245)	(1,282,641)	(139,702)	(144,903)		(1,567,245)	1,567,245		
		-											
Change in 5311 Grant Revenue	100,155	100,155	-										
CHANGE IN AVAILABLE RESOURCES	6,900,806	8,107,945	(1,207,139)		(1,207,140)	(1,114,539)	(98,347)	(119,892)	125,637	(1,207,141)	1,567,245	(360,104)	1,207,141
CHANGE IN FUNDING REQUIREMENTS													
Increase (Decrease) in Amount Necessary to Fund RABA on "80/20" allocation	3,068,751	4,229,523	(1,160,772)	Change in 80/20 Necessary to Fund RABA	1,160,772	962,861	58,859	74,424	64,629	1,160,772	(1,160,772)		
Burney Express	97,331	60,000	37,331	Increase in Burney Express expenses	(37,331)				(37,331)	(37,331)		37,331	
Rural Admin and County Lifeline	33,256	36,250	(2,994)	Actual administrative labor less than estimated	2,994				2,994	2,994		(2,994)	
										-			
										-			
CHANGE IN EXPENDITURES	3,199,338	4,325,773	(1,126,435)		1,126,435	962,861	58,859	74,424	30,292	1,126,435	(1,160,772)	34,337	(1,126,435)
			(80,704)	Result of True Up - Revenue Less Expenditures (Other Uses)	(80,705)								
				Original Claim TDA Availabe for Other Uses	3,782,173	1,185,069	299,588	267,975	2,029,541	3,782,173			
				Total Amount Available for Other Uses after True UP	3,701,468	1,033,391	260,100	222,507	2,185,470	3,701,468			
				Equals Difference in TDA Claimed and Apportionment	(80,705)	(151,678)	(39,488)	(45,468)	155,929	(80,705)	406,473	(325,767)	80,706
											Due to RABA	**Due from RTPA**	
					Proof	0	0	0	0				
											$ (88,345)	Due from RABA to replenish reserve	
											(14,471)	STA Paid Direct	
											303,657	Total Due to RABA	

Summary	
Change in LTF/STA/Admin	(360,106)
Decrease in 5307	1,567,245
Increase in 5311	-
Increase in Rural Transit	37,331
Decrease in Trial (Admin)	(2,994)
Change in 80/20	(1,160,772)
Net Impact of True Up On Agencies	80,704

Shasta County RTPA
Calculation of Actual RABA TDA Requirement
Exhibit D

RECONCILIATION OF TDA FUNDING CALCULATION/CAFR/SCO REPORT	Per TDA Workpaper and CAFR		Operating Require.	Capital Require.	REVENUE AND EXPENSE SUMMARY BY FUND SOURCE: OPERATING ^80/20		Burney Express	^5307	REV & EXP TOTAL
Total Expenses:									
Operating		4,952,874	=cafr& sco report		4,474,968		77,906	400,000	4,952,874
Capital		54,479	=cafr & sco		54,479				54,479
Less Depreciation		(880,681)			(880,681)				(880,681)
TOTAL TDA ELIGIBLE EXPENSES		4,126,672			3,648,766		77,906	400,000	4,126,672
Less Capital Reserve Excess		(455,000)							
Plus Due from RABA		88,345			366,655				366,655
Less Burney Express		(77,906)					77,906		77,906
Revised Total Expenses of RABA		3,682,111							
Less Ineligible Revenues									
Operating Revenues									
Passenger Fares- Fixed	428,219				428,219				428,219
Passenger Fare- DR	98,221				98,221				98,221
Passenger Fares - Burney Express	14,380						14,380		14,380
Charter Service	5,243				5,243				5,243
Auxillary Service	16,941				16,941				16,941
Misc revenue	8,464				8,464				8,464
	0						0		0
MPO	36,101				36,101				36,101
Investment Income	5,791	$ 613,360	=sco report		5,791				5,791
Operating and Capital Subject to "80/20" allocation		3,068,751							
5307 revenue received - Operating		$ (400,000)	=sco report					400,000	400,000
5307 revenue received - Capital		$ (26,305)			26,305				26,305
		2,642,446			991,940	0	92,286	400,000	1,484,226
Total TDA Resources Required	2,642,446	2,642,446			2,656,826	0	(14,380)	0	2,642,446

RTPA to RABA Reconciliation	RTPA	Per RABA
Equals Funding Requirement	2,642,446	2,642,446
TDA Received at 6-30-05 per RABA	(2,235,973)	(2,705,447)
Reserve Draw		455,000
Less reserve replenishment	(88,345)	(88,345)
Less STA	(14,471)	
Difference	303,657	303,654

Trying to match the 3,009,103
(2,642,446 + 366,655)

RTPA Payments Reconciliation	RTPA	Rec'd by RABA per TDA Funding WP
Total Paid from 919		
TDA paid to RABA per 04/05 claims	(2,235,973)	2,235,973
Reserve Draw	(455,000)	455,000
03/04 True Up	(239,356)	
STA	(11,864)	14,471
Less 5311 TF to 892	14,104	
Difference	2,928,089	2,705,444
Less 03/04 True Up	(239,356)	
Plus 5311 paid from 892	14,104	
Plus STA accrual	2,607	
Equals RABA TDA requirements	2,705,444	

Note: When preparing True-Up prior years True-Up must be deducted from the cash reserve before disbursing True-Up Funds. $200K must be reserved for RTPA administration.