REPORT TO SHASTA COUNTY RTPA

SUBJECT	MEETING DATE	ITEM NUMBER
Approve 2008/09 Revised (True-Up) Transportation Development Act (TDA) Allocation and Claims	04/27/10	4-4

RECOMMENDATION

It is recommended that the Board approve the 2008/2009 Revised TDA Allocations and Claims as shown in Attachment A and pursuant to Resolution 10-04.

SUMMARY

TDA provides funds for public transit. These funds are allocated to the cities and the County. TDA claims are developed annually based on revenue and transit expense estimates in the next fiscal year. TDA regulations require a revision, or "true-up", of revenue and transit expenses once audited financial statements are available. The summary below shows the result of the true-up by claimant.

DISCUSSION

The cost of providing transit service is annually allocated to the cities of Anderson, Redding, Shasta Lake, and Shasta County (claimants). Once all transit needs that are "reasonable to meet" have been funded, any surplus of funds may be made available to claimants for other eligible uses, such as local street and road maintenance. During that same fiscal year and subject to available cash, the RTPA provides monthly payments to the claimants for other uses based on the budget estimates. Once actual revenues and expenses are known, there can be overpayment or underpayment to the cities and county resulting in the need for revised claims.

In June 2008, the Board approved TDA claims for fiscal year 2008/09 based on the estimated budget for the Redding Area Bus Authority (RABA). The original claims are now revised to match actual revenue and expenses from RABA's audited financial statements.

RABA's actual TDA funding requirement was less than budgeted, resulting in an overpayment to RABA of $367,623 and increased funding available to the cities and county. TDA revenue received was less than budgeted, resulting in overpayment to the cities and county. The net effect is detailed in Attachment A and summarized in the table below.

SUMMARY OF REVISED CLAIMS
2008/09 Actual Transit Costs and Revenue Received

Claimant	TDA Revenue	FTA Revenue	Less Transit Costs	Less 2008/09 Distribution	Balance
City of Anderson	$ 358,107	$ 72,131	($ 179,362)	($ 186,751)	$ 64,126
City of Redding	$3,020,585	$609,062	($3,623,075)	($ 86,332)	($ 79,760)
City of Shasta Lake	$ 342,321	$ 68,807	($ 208,520)	($ 215,427)	($ 12,819)
County of Shasta	$2,370,955	$247,357	($ 393,166)	($2,177,632)	$ 47,514
RTPA	$ 271,144	0		($ 271,144)	0
CTSA	$ 300,061	0		($ 337,098)	($ 37,037)
Total	$6,663,173	$997,357	($4,404,123)	($3,274,383)	($ 17,977)

Due to decreased quarter-cent sales tax revenue and suspension of the State Transit Assistance (STA) program, the RTPA could not pay claimants in the final two months of fiscal year 2008/09. This also resulted in a decrease of funds available to the Consolidated Transportation Services Agency (CTSA). These factors have been reconciled in Section 3 of Attachment A.

Although not technically a part of this true-up, outstanding balances remain from prior true-ups which are included on Page 2 of Attachment A for the claimant's information. The following is the net balance to claimants for all outstanding true-ups:

Claimant	Due From Claimant	Due to Claimant
City of Anderson		$ 64,125
City of Redding	$ 79,760	
City of Shasta Lake	$ 13,027	
County of Shasta	$ 67,470	
CTSA	$ 37,037	
RABA	$367,623	
Totals	$564,917	$64,125

The City of Anderson is the only claimant owed money due to route changes and reduction of services hours beginning in 2008/09. Redding can pay the balances from existing Redding reserves held by the RTPA. Balances due from other claimants will be settled by deducting claim payments in 2009/2010.

ALTERNATIVES

The Board may continue this item to request additional information. This reconciliation has been prepared in accordance with RTPA Policy 6-3 and TDA regulations.

OTHER AGENCY INVOLVEMENT

This reconciliation was developed in cooperation with RABA staff. The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING

Approval of revised 2008/09 claims will make claims consistent with the actual revenues and expenses associated with providing transit service.

Daniel S. Little, AICP, Executive Director

DSL/SLC/jac

Attachments:

Attachment A – Revised TDA Apportionment & Transit Obligation
Attachment B – Chart: Revised Transit Allocations
Attachment C – Calculation of RABA TDA Requirement
Attachment D - Resolution No. 10-04

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
1	***DRAFT ATTACHMENT A*** **- SHASTA COUNTY RTPA - REVISED 2008-09 TDA APPORTIONMENT & TRANSIT OBLIGATIONS**															
2																
3	TDA Claim Allocation. Annual claims are prepared based on estimated revenues and expenses for the future year. These estimates are revised, or "trued-up" once audited revenues and expenses are available. Excess funds may be distributed to claimants for other eligible uses															
5												POPULATION BY JURISDICTION				
6									City of Anderson		City of Redding		City of Shasta Lake		County of Shasta	
7	Population Factors from E-1 Dept of Finance Jan 2009	2007 Pop.	2008 Pop.						2007	2008	2007	2008	2007	2008	2007	2008
8	Countywide Population	181,401	183,023						10,594	10,765	90,045	90,898	10,293	10,269	70,469	71,091
9	Percent of Population by Jurisdiction	100.00%	100.00%						5.84%	5.88%	49.64%	49.66%	5.67%	5.61%	38.85%	38.84%
10																
11					OFF-THE-TOP ALLOCATIONS							REVENUE BY JURISDICTION				
12	SECTION 1: REVENUE				RTPA (PUC 99233.1)		CTSA (for calcs only)		City of Anderson		City of Redding		City of Shasta Lake		County of Shasta	
13	Funding Source	Budget	Actual		Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
14	Transportation Development Act Funds		*5,701,167*													
15	Local Transportation Fund (PUC 99231)	7,000,000	6,272,372	1	258,047	259,011			393,737	353,692	3,346,614	2,986,523	382,550	337,396	2,619,052	2,335,749
16	Local Transportation Fund -Overall Work Program Match	0	0		16,516	12,133			(923)	(923)	(8,667)	(11,004)	(1,032)	(166)	(5,894)	(40)
17	State Transit Assistance (PUC 99313.3)	1,613,360	390,801	2	86,016		337,098	300,061	69,512	5,337	590,822	45,066	67,537	5,091	462,376	35,246
18	Federal Transit Admin. 5311 (County Only)	247,357	247,357												247,357	247,357
19	Federal Transit Admin. 5307 (Cities Only)		750,000							72,131		609,062		68,807		
20	REVISED SOURCES OF REVENUE	8,860,717	7,660,530		360,579	271,144	337,098	300,061	462,325	430,237	3,928,769	3,629,647	449,054	411,128	3,322,891	2,618,312
21																
22	SECTION 2: TRANSPORTATION FUNDING REQUIRED										TRANSIT REQUIREMENTS BY JURISDICTION					
23	Calculation of 80/20 transit obligation	Budget	Actual		Budget	Actual	Budget	Actual	City of Anderson		City of Redding		City of Shasta Lake		County of Shasta	
24	Population in RABA Service Area	116,408	116,893						10,594	10,579	90,045	90,491	10,293	10,279	5,476	5,544
25	Percent of Population by Jurisdiction	100.00%	100.00%						9.10%	9.05%	77.35%	77.41%	8.84%	8.79%	4.70%	4.74%
26	Service Hours By Jurisdiction	140.50	135.00						6.00	4.04	120.50	117.18	4.25	5.28	9.75	8.50
27	Percent of Service Hours in Jurisdiction	100.00%	100.00%						4.27%	2.99%	85.77%	86.80%	3.02%	3.91%	6.94%	6.30%
28	Revised Weighted Average Share Per Jurisdiction	100.00%	100.00%						5.24%	4.20%	84.08%	84.92%	4.19%	4.89%	6.49%	5.99%
29	Redding Area Bus Authority	Budget	Actual		Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
30	RABA TDA (PUC Article 4 99260(a)) Operating	4,214,486	4,178,978						220,692	175,689	3,543,653	3,548,901	176,518	204,251	273,623	250,137
31	RABA TDA FTA 5307 Capital Match	334,811	87,343	5					17,532	3,673	281,518	74,174	14,023	4,269	21,737	5,228
32	Other Transit Obligations															
33	Burney Express	104,000	96,813												104,000	96,813
34	County Lifeline	40,000	40,050												40,000	40,050
35	Rural Transit Administration	3,250	938												3,250	938
36	Rural Transit STA Carryover	267,123		6											267,123	
37	CTSA	337,098	300,061				337,098	300,061								
38	RTPA Admin	360,579	271,144	3	360,579	271,144										
39	REVISED TRANSPORTATION REQUIREMENTS	5,661,347	4,975,327		360,579	271,144	337,098	300,061	238,224	179,362	3,825,171	3,623,075	190,541	208,520	709,733	393,166
40																
41									AVAILABLE FOR OTHER USES BY JURISDICTION							
42					RTPA		CTSA		City of Anderson		City of Redding		City of Shasta Lake		County of Shasta	
43	SECTION 3: AVAILABLE FOR OTHER ELIGIBLE USES	Budget	Actual		Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
44	Budget to Actual Revenue	8,860,717	7,660,530		360,579	271,144	337,098	300,061	462,325	430,237	3,928,769	3,629,647	449,054	411,128	3,322,891	2,618,312
45	Budget to Actual Transit Requirements (PUC 99400c)	(5,661,347)	(4,975,327)		(360,579)	(271,144)	(337,098)	(300,061)	(238,224)	(179,362)	(3,825,171)	(3,623,075)	(190,541)	(208,520)	(709,733)	(393,166)
46	Budget to Actual Available for Other Uses	3,199,370	2,685,203						224,101	250,876	103,598	6,572	258,513	202,608	2,613,158	2,225,146
47	Reconciliation: Withheld payments and overpayments															
48	2008/09 Budget for Other Uses		3,199,370							224,101		103,598		258,513		2,613,158
49	May/June payments withheld		(533,228)							(37,350)		(17,266)		(43,086)		(435,526)
50	Overdistribution to CTSA		(37,037)					(37,037)								
51	Equals Actual Payment Distribution		2,629,105							186,751		86,332		215,427		2,177,632
52																
53	EQUALS UNDER or OVERPAYMENT ($$) TO CLAIMANTS		(17,977)					(37,037)		64,125		(79,760)		(12,819)		47,514
54											Note: Redding has sufficient funds in their reserve to repay this amount.					

	A	B	C	CTSA	City of Anderson	City of Redding	City of Shasta Lake	County of Shasta
55	**Carryover of Prior True-Ups: Although not part of the 2008/09 True-Up, this is a reconcilation of prior true-ups and the 08/09 True-Up.**							
56				CTSA	City of Anderson	City of Redding	City of Shasta Lake	County of Shasta
57	**2006/07 True-Up to Claimants**							
58	Revised Available for Other Uses		1,716,263	10,003	141,012	1,133,947	88,843	342,458
59	06/07 25% Distributed by RTPA in 07/08		(416,263)	(2,426)	(34,201)	(275,028)	(21,548)	(83,060)
60	Due to Claimants at 6/30/08	Sub-total	1,300,000	7,577	106,811	858,919	67,295	259,398
61	**2007/08 True-Up to Claimants**							
62	Overpaid to claimants thru claims distribution		(1,128,342)	(38,913)	(4,925)	(403,313)	(89,046)	(592,145)
63	06/07 RTPA True-Up payments in 08/09		(557,492)		(101,886)	(455,606)		
64	07/08 Withheld from 08/09 Claims		270,642	31,336			21,543	217,763
65	Equals Net of 06/07 and 07/08 True-Ups at 6/30/09	Sub-total	(115,192)				(208)	(114,984)
66	2008/09 True-Up Due From/To Claimants		(17,977)	(37,037)	64,125	(79,760)	(12,819)	47,514
67	Due to funding shortfalls in 08/09, the May and June claims were not distributed, resulting in a balance due from the 07/08 True-Up for Shasta Lake and the County.	TOTAL	(133,169)	(37,037)	64,125	(79,760)	(13,027)	(67,470)
68								

		Budget	Actual	City of Anderson		City of Redding		City of Shasta Lake	
70	**FEDERAL TRANSIT FUNDS: FTA 5307 funds are available to RABA for capital and operating expenses. Funds may be banked for up to 3-years. RABA may carryover funds if expenditures are delayed in a fiscal year. FTA revenue is not a factor in TDA calculations although a 20% TDA match is required for capital purchases.**								
71		Budget	Actual	City of Anderson		City of Redding		City of Shasta Lake	
72		2007	2008	2007	2008	2007	2008	2007	2008
73	Urban Area Population	110,932	111,932	10,594	10,765	90,045	90,898	10,293	10,269
74	Percent of Population by Jurisdiction	100.00%	100.00%	9.55%	9.62%	81.17%	81.21%	9.28%	9.17%
75	*the True-Up based on actual RABA receives*								
76	Federal Transit Administration Funds	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
77	FTA Operating	750,000	750,000 [4]	71,625	72,131	608,785	609,062	69,590	68,807
78									

Allocations returned by RABA	
Due from RABA	367,623

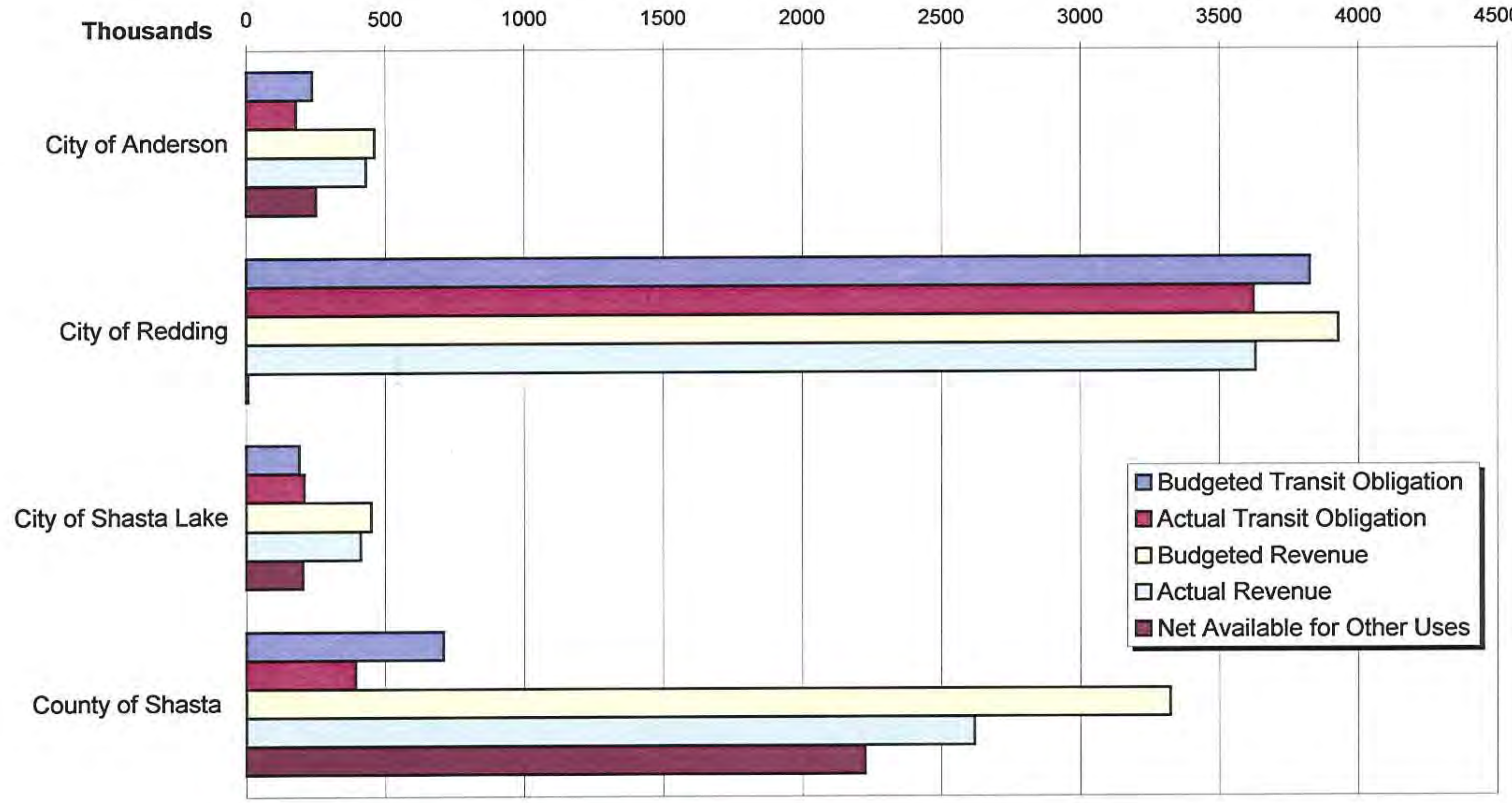
80	Footnote 1: Formula for LTF revenue distribution	RTPA admin is off the top. Admin is deducted from the LTF total prior to calculating jurisdictions percent.
81	Footnote 2: State Transit Distribution	STA funds must first fund public transportation. FY 08/09 STA funds were used for CTSA. The remaining amount is applied to RTPA admin. If
82	Footnote 3: CTSA	The CTSA receives 5% of LTF revenue received after RTPA admin costs. The formula is total LTF revenue, less RTPA admin costs times 5%
83	Footnote 4: FTA 5307 Operating	FTA 5307 operating is available to the cities only. FTA is not distributed through allocations, and is included in the true-up.
84	Footnote 5: TDA Capital Match	FTA 5307 is the major source for capital acquisitions. These funds require a 20% local match. Match is used for miscellaneous equipment and computers.
85	Footnote 6: County Rural Transit STA Carryover	The State revised the RTPA's STA estimate after the 2008/09 claims were prepared. This resulted in a large decrease in budgeted revenue. The County's transit obligation exceeded the amount of STA revenue received, resulting in no carryover of revenue.

86 **TOTAL AMOUNT OF TDA FUNDS CLAIMED BY AGENCY**

87 Agency:______________________ Authorizing Signature:______________________________ Date:________________

88

Attachment B
08-09 Revised Allocations



	County of Shasta	City of Shasta Lake	City of Redding	City of Anderson
Budgeted Transit Obligation	$709,733	$190,541	$3,825,171	$238,225
Actual Transit Obligation	$393,166	$208,520	$3,623,075	$179,362
Budgeted Revenue	$3,322,891	$449,054	$3,928,769	$462,325
Actual Revenue	$2,618,312	$411,128	$3,629,647	$430,237
Net Available for Other Uses	$2,225,146	$202,608	$6,572	$250,876

Shasta County RTPA
Calculation of Actual RABA TDA Requirement - FY 2008-09
Attachment C

RECONCILIATION OF TDA FUNDING CALCULATION/CAFR/SCO REPORT			REVENUE AND EXPENSE SUMMARY BY FUND SOURCE					
			OPERATING 80/20	Other Transit	Burney Express		Capital	Revenue & Expense Total
Total Operating Expenses Per SCO Report								
Operating - Fixed Route	$ 4,295,804	=cafr& sco repo	$ 4,295,804					$ 4,295,804
Operating- Demand Response	$ 1,884,761		$ 1,884,761					$ 1,884,761
Less Depreciation	$ (926,183)						$ (926,183)	$ (926,183)
Subtotal - RABA Expenses	**$ 5,254,382**		$ 6,180,565		$ -		$ (926,183)	$ 5,254,382
Less Ineligible Revenues								
Operating Revenues								
Passenger Fares - Fixed-Route	$ (572,248)		$ (572,248)					$ (572,248)
Passenger Fares - Demand Respc	$ (239,661)		$ (239,661)					$ (239,661)
Passenger Fares - Burney Express	$ (22,148)		$ (22,148)					$ (22,148)
Charter Service	$ (3,681)		$ (3,681)					$ (3,681)
Auxillary Service	$ (14,772)		$ (14,772)					$ (14,772)
Misc revenue	$ (15,580)		$ (15,580)					$ (15,580)
Burney Express	$ (96,814)		$ (96,814)					$ (96,814)
MPO-OWP	$ (58,698)		$ (58,698)					$ (58,698)
Investment Income	$ (51,802)		$ (51,802)					$ (51,802)
Subtotal - Ineligible Revenues	**$ (1,075,404)**		$ (1,075,404)	-	-	-		$ (1,075,404)
Net Operating Subject to 80/20%	**$ 4,178,978**		$ 5,105,161				$ (926,183)	$ 4,178,978

Capital Additions	
Capital Expenses	$ 2,251,688
Less FTA 5307	$ (1,300,105)
Less Prop 1B	$ (864,240)
TDA Capital Match Requirement	**$ 87,343**

Operating and Capital Subject to "80/20" allocation	$ 4,266,321
Less FTA 5307 Operating	$ (750,000)
Equals RABA TDA Funding Requirement	$ 3,516,321
Less Distributed to RABA	$ 3,883,944
Net Over/Under Paid	**$ 367,623**

RTPA Payments Reconciliation	PaymentsTo RABA		Replenish Reserve
Total Paid from LTF (919)	$ 3,033,889		
Total Paid from STA (922)	$ 571,645		
STA 99314 pass thru to RABA	$ 24,129		
5311	$ 247,356		
Qtr 3 and 4 STA paid in 09/10	$ 6,925		
Replenish Capital Reserve			$ 720,445
TDA paid to RABA per 08/09 claims	$ 3,883,944		$ 720,445

RESOLUTION NO. 10-04
2008/2009 REVISED (TRUE-UP)
TRANSPORTATION DEVELOPMENT ACT
ALLOCATION AND CLAIMS

WHEREAS, Section 99230 of the California Public Utilities Code (PUC) states that "the designated transportation planning agency shall, from an analysis and evaluation of the total amount anticipated to be available in the Local Transportation Fund (LTF) and the relative needs of each claimant for the purposes for which the fund is intended, and consistent with the provisions of this chapter, annually determine the amount to be allocated to each claimant"; and

WHEREAS, PUC Section 99231 and California Code of Regulations Section 6655 require that "the transportation planning agency shall allocate to all claimants for a given area collectively only such moneys as represent that area's apportionment"; and

WHEREAS, PUC Section 99401.5 requires that the Regional Transportation Planning Agency (RTPA) annually review and make findings concerning "unmet transit needs" in each claimant jurisdiction and, prior to making any LTF allocations to claimants for other eligible uses, fund such unmet transit needs in each claimant jurisdiction as have been found "reasonable to meet"; and

WHEREAS, the RTPA made the required transit obligation findings for each claimant agency in Shasta County for 2008/09 at its June 24, 2008, meeting, as reflected in Resolution No. 08-12; and

WHEREAS, the resulting LTF transit obligations required for each claimant jurisdiction will fund all identified transit needs that meet the "reasonable to meet" definition, and only thereafter will any unallocated funds be made available for other eligible uses; and

WHEREAS, these revisions to the 2008/09 TDA allocations to claimants maintain the existing level of transit service previously determined by the RTPA to be "reasonable to meet". Reconciliations (true-ups) are prepared using actual transit expenses incurred from the original claim adoption. Actual expenses were compared to the Redding Area Bus Authority's (RABA) audited financial statements, State Controller Report, and original claims. The overall result is a net decrease of funds required by RABA of $367,623. TDA revenue was less than budgeted due to decreased quarter-cent sales tax revenue, and the suspension of State Transit Assistance. The following table shows the amount claimant balances.

Change in Budget to Actual FY 08/09 Allocations		
Claimant	Due From Claimant	Due to Claimant for Other Eligible Uses
City of Anderson		$ 64,125
City of Redding	$ 79,760	
City of Shasta Lake	$ 12,819	
County of Shasta		$47,514
CTSA	$ 37,037	
RABA	$367,623	
Totals	$497,239	$111,639

NOW, THEREFORE, BE IT RESOLVED that the Shasta County Regional Transportation Planning Agency:

(1) Determines that the 80% service hours/20% population-based cost sharing formula represents the cost sharing alternative which reflects the most equitable distribution of RABA service area costs to the claimant agencies in light of the transit system benefits each will realize from the RABA provided services; and

(2) Approves the true-up of the 2008/09 claims as summarized in Attachment A to reflect actual transit costs incurred in FY 2008/09; and

(3) Approves revised allocations and claims for each claimant jurisdiction consistent with the 2008/09 TDA budget reconciliation (Attachment A).

PASSED AND ADOPTED this 27th day of April, 2010, by the Shasta County Regional Transportation Planning Agency.

Dick Dickerson, Chair
Shasta County Regional
Transportation Planning Agency