REPORT TO SHASTA COUNTY RTPA

SUBJECT		MEETING DATE	ITEM NUMBER
Approve 2007/08 Revised (True-Up) Transportation Development Act (TDA) Allocation and Claims		04/28/09	4-6

RECOMMENDATION
It is recommended that the Board approve Resolution 09-05: Revised TDA Allocation and Claims for FY 2007/08, as shown in Attachment A.

SUMMARY
TDA provides funds for public transit. TDA regulations require an annual revision, or "true-up", of actual revenue and transit expenses once known. The 2007/08 True-Up has identified an over-distribution of funds to claimants.

DISCUSSION
The cost of providing transit service is annually allocated to the cities of Anderson, Redding, Shasta Lake, and Shasta County (claimants) (Chart-Attachment B). Once all transit needs that are "reasonable to meet" have been funded, any surplus of funds may be made available to claimants for other eligible uses such as local street and road maintenance.

In April 2007, the Board approved TDA claims for fiscal year 2007/08 based on the estimated budget for the Redding Area Bus Authority (RABA). The original claims have now been revised to match actual revenue and expenses from RABA's audited financial statements. RABA's actual TDA funding requirement was less than budgeted resulting in an overpayment to RABA of $681,031 (Attachment C).

TDA revenue received was less than budgeted, resulting in decreased funds available for other eligible uses and overpayment to the cities and county. The following table summarizes the net change in budget to actual revenue and expenses from audited financial statements, and the amount overpaid to each claimant:

SUMMARY OF REVISED CLAIMS 2007/08 Actual Transit Costs and Revenue Received					
Claimant	TDA Revenue	FTA Revenue	Less Transit Costs	Less 2007/08 Distribution	Balance
City of Anderson	$ 410,441	$ 17,143	($ 232,038)	($ 200,471)	($ 4,925)
City of Redding	$3,507,469	$146,648	($3,232,888)	($ 824,542)	($ 403,313)
City of Shasta Lake	$ 398,432	$ 16,655	($ 290,886)	($ 213,247)	($ 89,046)
County of Shasta	$2,748,048	$227,638	($ 478,901)	($3,088,930)	($ 592,145)
RTPA	$ 317,507			($ 317,507)	0
CTSA	$ 375,344		($ 336,431)		$ 38,913
Balance	$7,757,241	$408,084	($4,571,114)	($4,644,697)	($1,050,516)

The negative balance due back from the agencies is settled by the RTPA withholding future TDA payments.

In 2007/08 RABA received $1,688,809 in Proposition 1B funds. These funds were taken "off-the-top" of RABA's funding requirements and are not calculated in the claimant's transit obligations. Proposition 1B funds have no match requirement.

ALTERNATIVES
The Board may continue this item to request additional information. This reconciliation has been prepared in accordance with RTPA Policy 6-3 and TDA regulations.

OTHER AGENCY INVOLVEMENT
This reconciliation was developed in cooperation with RABA staff. This item has been reviewed by the Technical Advisory Committee (TAC) and TAC recommends approval.

FINANCING
Approval of revised 2007/08 claims will make claims consistent with the actual revenues and expenses associated with providing transit service.

Daniel S. Little, AICP, Executive Director

DSL/SLC/jac

Attachments: Resolution No. 09-05
Attachment A – Revised TDA Apportionment & Transit Obligation
Attachment B – Chart: Revised Transit Allocations
Attachment C – Calculation of RABA TDA Requirement

RESOLUTION NO. 09-05
REVISED (TRUE-UP) TRANSPORTATION DEVELOPMENT ACT
ALLOCATION AND CLAIMS FOR
FY 2007/2008

WHEREAS, Section 99230 of the California Public Utilities Code (PUC) states that "the designated transportation planning agency shall, from an analysis and evaluation of the total amount anticipated to be available in the Local Transportation Fund (LTF) and the relative needs of each claimant for the purposes for which the fund is intended, and consistent with the provisions of this chapter, annually determine the amount to be allocated to each claimant"; and

WHEREAS, PUC Section 99231 and California Code of Regulations Section 6655 require that "the transportation planning agency shall allocate to all claimants for a given area collectively only such moneys as represent that area's apportionment"; and

WHEREAS, PUC Section 99401.5 requires that the Regional Transportation Planning Agency (RTPA) annually review and make findings concerning "unmet transit needs" in each claimant jurisdiction and, prior to making any LTF allocations to claimants for other eligible uses, fund such unmet transit needs in each claimant jurisdiction as have been found "reasonable to meet"; and

WHEREAS, the RTPA made the required transit obligation findings for each claimant agency in Shasta County for 2007/08 at its April 27, 2007, meeting, as reflected in Resolution No. 07-04; and

WHEREAS, the resulting LTF transit obligations required for each claimant jurisdiction will fund all identified transit needs that meet the "reasonable to meet" definition, and only thereafter will any unallocated funds be made available for other eligible uses; and

WHEREAS, these revisions to the 2007/08 TDA allocations to claimants maintain the existing level of transit service previously determined by the RTPA to be "reasonable to meet". Reconciliations (true-ups) are prepared using actual transit expenses incurred from the original claim adoption. Actual expenses were compared to the Redding Area Bus Authority's (RABA) audited financial statements, State Controller Report, and original claims. The overall result is a net decrease of funds required by RABA of $681,031.

Declining LTF funds resulted in an over-distribution of funds in 2007/08 to claimants resulting in a decrease of funds available for other eligible uses as follows: City of Anderson ($4,925); City of Redding ($403,313); City of Shasta Lake ($89,046); County of Shasta ($592,145). In addition, public transit providers received an over-distribution of funds as follows: Consolidated Transportation Services Agency (CTSA) $38,913, and RABA $681,031.

NOW, THEREFORE, BE IT RESOLVED that the Shasta County Regional Transportation Planning Agency:

(1) Determines that the 80% service hours/20% population-based cost sharing formula represents the cost sharing alternative which reflects the most equitable distribution of RABA service area costs to the claimant agencies in light of the transit system benefits each will realize from the RABA provided services; and

(2) Approves the true-up of the 2007/08 claims as summarized in Attachment A to reflect actual transit costs incurred in FY 2007/08; and

(3) Approves revised allocations and claims for each claimant jurisdiction consistent with the 2007/08 TDA budget reconciliation (Attachment A).

PASSED AND ADOPTED this 28th day of April, 2009, by the Shasta County Regional Transportation Planning Agency.

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Dick Dickerson, Chair
Shasta County Regional
Transportation Planning Agency

ATTACHMENT A

SUMMARY OF REVISED 2007/08 TDA APPORTIONMENT & TRANSIT OBLIGATION

Actual Revenue and Expenditures	Revenue and Expenditures by Jurisdiction						
	TOTAL	City of Anderson	City of Redding	City of Shasta Lake	County of Shasta	CTSA	RTPA
Actual Transit Operating Revenue	$ 8,165,326	$ 427,584	$ 3,654,118	$ 415,087	$ 2,975,686	$ 375,344	$ 317,507
Actual Transit Capital Revenue	$ 1,869,255	$ 177,579	$ 1,519,144	$ 172,532	$ -		
Less RTPA and OWP Administration	$ (317,507)						$ (317,507)
Less Actual Cost of Transit Operations	$ (4,571,144)	$ (232,038)	$ (3,232,889)	$ (290,886)	$ (478,900)	$ (336,431)	
Less Transit Capital Purchases	$ (1,869,255)	$ (177,579)	$ (1,519,144)	$ (172,532)	$ -		
Less Other Uses Distributed in 07/08	$ (4,327,190)	$ (200,471)	$ (824,542)	$ (213,247)	$ (3,088,930)		
Net Overpayment to Agencies (Due to RTPA)*	$ (1,050,515)	$ (4,925)	$ (403,313)	$ (89,046)	$ (592,144)	$ 38,913	$ -

**To be withheld from future TDA payments*

ATTACHMENT A

SHASTA COUNTY RTPA - REVISED 07/08 TDA APPORTIONMENT AND TRANSIT OBLIGATION

Transportation Development Act (TDA) Revenue Funds are apportioned to the county and cities based on the percentage of population in each jurisdiction. TDA funds must first be allocated for public transportation. Remaining funds may be allocated for other eligible uses.

Population Factors from the Department of Finance's E-1 report are used for revenue allocations	Department of Finance E-1 Population				RABA funding requirements for each jurisdiction are based on the Weighted Average Share (80% service hour / 20% population) in the RABA service area									
	Estimated Population		Actual Population		2007 Weighted Average					2008 Weighted Average				
	2007 Percent of Population		2008 Percent of Population		Hours	% of Service Hours (80%)	Population Split (20%)	% of Population	Weighted Average	Hours	80% Based on Service Hours	20% Based on Population Split	% of Population	Weighted Average
City of Anderson	10,677	5.88%	10,579	5.81%	10.5	7.29%	10,677	9.17%	7.67%	7.125	5.0000%	10,579	9.05%	5.81%
City of Redding	89,973	49.58%	90,491	49.65%	116.0	80.56%	89,973	77.26%	79.90%	117.125	82.1930%	90,491	77.42%	81.24%
City of Shasta Lake	10,325	5.69%	10,279	5.64%	9.9	6.88%	10,325	8.87%	7.27%	9.900	6.9474%	10,279	8.79%	7.32%
County of Shasta	70,508	38.85%	70,887	38.90%	7.60	5.28%	5,479	4.70%	5.16%	8.350	5.8596%	5,544	4.74%	5.63%
Total Population	181,483	100.00%	182,236	100.00%	144.0	100.00%	116,454	100.00%	100.00%	142.500	100.00%	116,893	100.00%	100.0%

SECTION 1: ESTIMATED REVENUE: Revenues are derived from the Local Transportation Fund, State Transit Assistance, and Federal Transit Administration funding.			REVENUE BY JURISDICTION											
			City of Anderson		City of Redding		City of Shasta Lake		County of Shasta		RTPA (PUC 99233.1) "Off the Top"		CTSA (PUC 99275) 5% of LTF after RTPA	
Funding Source	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**
Local Transportation Fund (PUC 99231)	$ 7,996,138	$ 6,728,625	$ 470,173	$ 390,933	$ 3,964,485	$ 3,340,762	$ 454,981	$ 379,495	$ 3,106,499	$ 2,617,435				
RTPA Administration (PUC 99233.1)	$ 269,249	$ 269,249									$ 269,249	$ 269,249		
Anderson adj pending route changes 8/1/07	$ 128,065				$ 111,277		$ 9,497		$ 7,291					
State Transit Assistance (PUC 99313.3)	$ 539,745	$ 335,766	$ 31,737	$ 19,508	$ 267,606	$ 166,708	$ 30,711	$ 18,937	$ 209,691	$ 130,613				
STA CTSA	$ 375,344	$ 375,344											$ 375,344	$ 375,344
STA RTPA Transit Planning	$ -	$ 48,258										$ 48,258		
TOTAL TDA FUNDS	**$ 9,308,541**	**$ 7,757,242**	**$ 501,910**	**$ 410,441**	**$ 4,343,368**	**$ 3,507,470**	**$ 495,189**	**$ 398,432**	**$ 3,323,481**	**$ 2,748,048**	**$ 269,249**	**$ 317,507**	**$ 375,344**	**$ 375,344**

SECTION 2: TRANSIT REQUIREMENTS: TDA funds provide funding for public, senior, and express transit services. See discussion above for RABA apportionment discussion.			TRANSIT REQUIREMENTS BY JURISDICTION											
			City of Anderson		City of Redding		City of Shasta Lake		County of Shasta		RTPA (PUC 99233.1) "Off the Top"		CTSA (PUC 99275) 5% of LTF after RTPA	
Transportation Development Act Funds Required	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**	**Budget**	**Actual**
RABA (PUC Article 4 99260(a)) Operating*	$ 5,894,855	$ 3,914,644	$ 451,959	$ 227,441	$ 4,709,787	$ 3,180,258	$ 428,746	$ 286,553	$ 304,363	$ 220,394				
*Includes deduction of 06/07 penalty														
Add back actual 2006-07 penalty	$ 20,450	$ 20,450	$ 1,976	$ 1,976	$ 15,983	$ 15,983	$ 1,032	$ 1,032	$ 1,459	$ 1,459				
RABA TDA FTA 5307 Capital Match	$ 493,954	$ 45,111	$ 37,872	$ 2,621	$ 394,652	$ 36,648	$ 35,926	$ 3,302	$ 25,504	$ 2,540				
Other Transit Obligations								$ (1)						
Burney Express	$ 94,701	$ 220,071							$ 94,701	$ 220,071	*(Vehicles budgeted for 06/07 - actually received in 07/08)*			
County Lifeline	$ 30,000	$ 30,000							$ 30,000	$ 30,000				
Rural Transit Administration	$ 6,250	$ 4,437							$ 6,250	$ 4,437				
Consolidated Transportation Services Agency (CTSA)	$ 375,344	$ 336,431											$ 375,344	$ 336,431
RTPA Admin and Planning	$ 269,249	$ 317,507									$ 269,249	$ 317,507		
TOTAL ESTIMATED TRANSPORTATION REQUIREMENTS	**$ 7,184,803**	**$ 4,888,651**	**$ 491,807**	**$ 232,038**	**$ 5,120,422**	**$ 3,232,889**	**$ 465,704**	**$ 290,886**	**$ 462,277**	**$ 478,901**	**$ 269,249**	**$ 317,507**	**$ 375,344**	**$ 336,431**
NET TDA Revenue Less Expenses	**$ 2,123,738**	**$ 2,868,591**	**$ 10,103**	**$ 178,403**	**$ (777,054)**	**$ 274,582**	**$ 29,485**	**$ 107,546**	**$ 2,861,204**	**$ 2,269,147**	**$ -**	**$ -**	**$ -**	**$ 38,913**

ATTACHMENT A

SHASTA COUNTY RTPA - REVISED 07/08 TDA APPORTIONMENT AND TRANSIT OBLIGATION

Transportation Development Act (TDA) Revenue Funds are apportioned to the county and cities based on the percentage of population in each jurisdiction. TDA funds must first be allocated for public transportation. Remaining funds may be allocated for other eligible uses.

SECTION 3: REMAINING AVAILABLE FOR OTHER ELIGIBLE USES: After all public transit and other transit are funded, funds may be allocated for eligible other uses.			AVAILABLE FOR OTHER ELIGIBLE USES BY JURISDICTION											
			City of Anderson		City of Redding		City of Shasta Lake		County of Shasta		RTPA		CTSA	
Urban Area Population for FTA 5307 (Cities Only)														
2007 Urban Population		110,975	10,677	9.62%	89,973	81.08%	10,325	9.30%	n/a	n/a	n/a		n/a	
2008 Urban Population		111,349	10,579	9.50%	90,491	81.27%	10,279	9.23%	n/a	n/a	n/a		n/a	
Non-TDA Revenue and Adjustments	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
Plus FTA 5311 Revenue (County Only)	$ 227,638	$ 227,638							$ 227,638	$ 227,638				
Plus FTA 5307 Revenue (Cities Only)	$ 1,975,814	$ 180,446	$ 190,095	$ 17,143	$ 1,601,892	$ 146,648	$ 183,828	$ 16,655						
Fractional changes in population to equal claims	$ 1		273		$ (296)		$ (66)		$ 88					
NET AVAILABLE FOR OTHER USES (PUC 99400a)	$ 4,327,191	$ 3,276,675	$ 200,471	$ 195,546	$ 824,542	$ 421,229	$ 213,247	$ 124,201	$ 3,088,930	$ 2,496,785				$ 38,913
BUDGET TO ACTUAL - DUE FROM JURISDICTIONS		$ 1,050,516		$ (4,925)		$ (403,313)		$ (89,046)		$ (592,145)				$ 38,913
Equals Distribution of Claims For Other Uses		$ (4,327,190)		$ (200,471)		$ (824,542)		$ (213,247)		$ (3,088,930)				

PROP1B Funds Received: 1B funds are used for RABA Capital and are taken "off-the-top".		
Prop 1B Capital		$ 1,535,248
Prop 1B Transit Safety		$ 153,561
	TOTAL PROP 1B FUNDS	$ 1,688,809

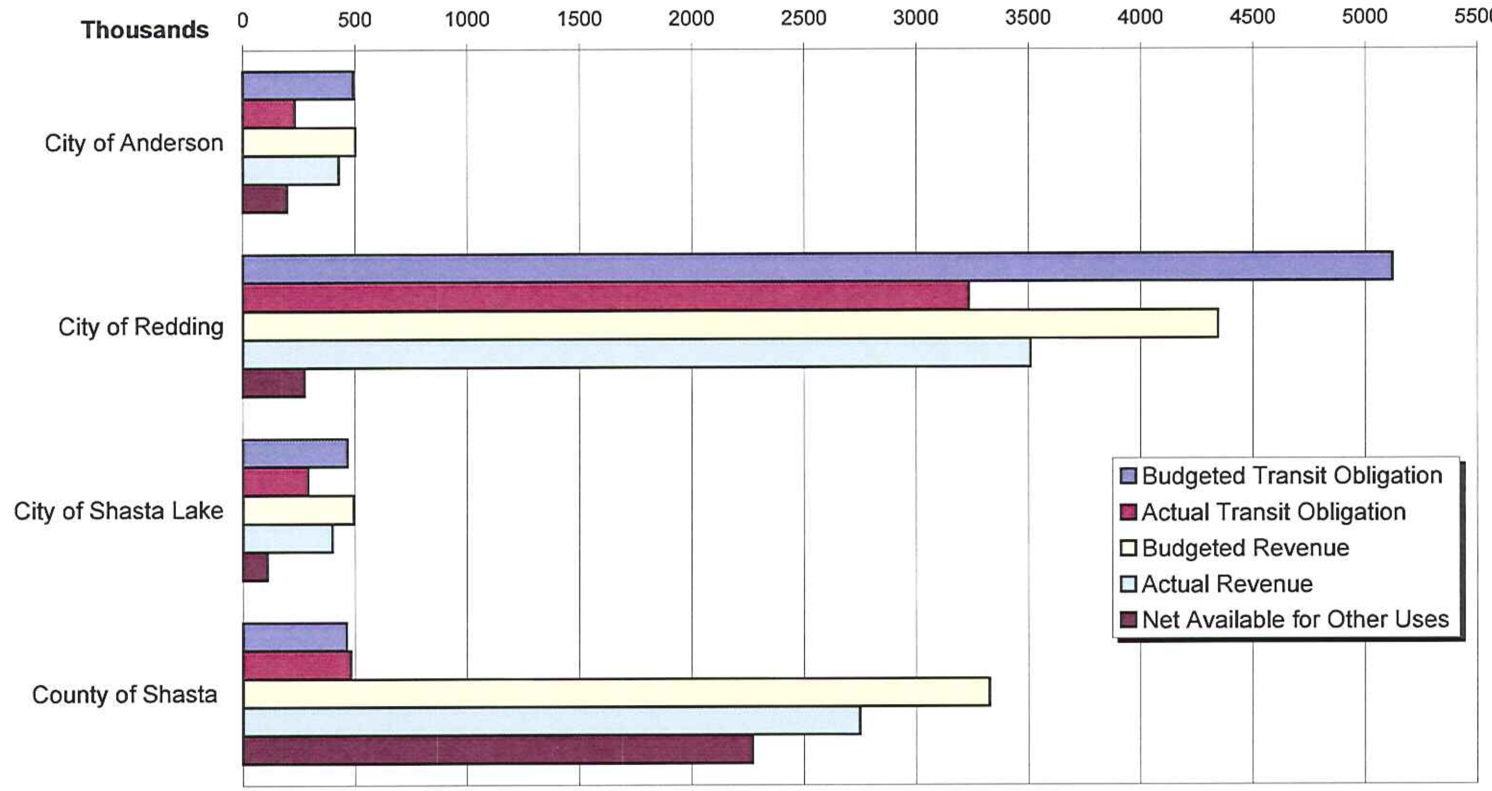
It is understood that the revised claim/"true-up" is calculated based on the RTPA and RABA's actual revenue and expenses for the fiscal year that is being revised. Payment of the true-up is subject to approval by the Shasta County RTPA, based on availability of funds for distribution. It is further understood that if the claimant received an over distribution of funds in the fiscal year, the amount over must be returned to the RTPA.

Agency:

Signature of Authorized Representative

Date

Attachment B
2007/08 Revised Allocations



	County of Shasta	City of Shasta Lake	City of Redding	City of Anderson
Budgeted Transit Obligation	$462,277	$465,704	$5,120,422	$491,807
Actual Transit Obligation	$478,901	$290,886	$3,232,889	$232,038
Budgeted Revenue	$3,323,481	$495,189	$4,343,368	$501,910
Actual Revenue	$2,748,048	$398,432	$3,507,470	$427,584
Net Available for Other Uses	$2,269,147	$107,546	$274,581	$195,546

Shasta County RTPA
Calculation of Actual RABA TDA Requirement - FY 2007-08
Attachment C

RECONCILIATION OF TDA FUNDING CALCULATION/CAFR/SCO REPORT	Per TDA Workpaper and CAFR			OPERATING 80/20		Burney Express	5307	Revenue & Expense Total
Total Expenses:								
Operating		$ 5,788,537	=cafr& sco report	$ 5,713,263		$ 75,274	$ -	$ 5,788,537
Capital		$ 370,089	=cafr & sco	$ 225,557		$ 144,532		$ 370,089
Less Depreciation		$ (941,247)		$ (941,247)				$ (941,247)
TOTAL TDA ELIGIBLE EXPENSES		$ 5,217,379		$ 4,997,573		$ 219,806	$ -	$ 5,217,379
Less Capital Reserve Excess		$ -						
Less Burney Express		$ (75,274)				$ 75,274		$ 75,274
Revised Total Expenses of RABA		$ 5,142,105						
Less Ineligible Revenues								
Operating Revenues								
Passenger Fares - Fixed-Route	$ 585,133			$ 585,133				$ 585,133
Passenger Fares - Demand Response	$ 237,682			$ 237,682				$ 237,682
Passenger Fares - Burney Express	$ 22,518					$ 22,518		$ 22,518
Charter Service	$ 1,361			$ 1,361				$ 1,361
Auxillary Service	$ 28,324			$ 28,324				$ 28,324
Misc revenue	$ 12,081			$ 12,081				$ 12,081
Burney Express Vehicles	$ 144,532					$ 144,532		$ 144,532
MPO-OWP	$ 53,051			$ 53,051				$ 53,051
Investment Income	$ 77,218	$ 1,161,900	=sco report	$ 77,218				$ 77,218
Operating and Capital Subject to "80/20" allocation		$ 3,980,205	equals TDA calc					
5307 revenue received - Operating		$ -	=sco report				$ -	$ -
5307 revenue received - Capital		$ (180,446)		$ 180,446				$ 180,446
		$ 3,799,759		$ 1,175,296	$ -	$ 242,324	$ -	$ 1,417,620
								$ -
Total TDA Resources Required	$ 3,799,759	$ 3,799,759		$ 3,822,277	$ -	$ (22,518)	$ -	$ 3,799,759

RTPA to RABA Reconciliation		Per RABA
Equals Funding Requirement		$ 3,799,759
TDA Received at 6-30-08 per RABA		$ (6,016,038)
Less Prop 1B Revenues		$ 1,535,248
Total Recd less Prop 1B		$ (4,480,790)
Difference		$ (681,031)

RTPA Payments Reconciliation	RTPA	Req'd by RABA per TDA Funding WP	Total Overpaid to RABA
Total Paid from LTF (919)	$ 3,773,530		
Total Paid from STA (922)	$ 432,277		
Total Paid from 5311 (892)	$ 227,638		
06-07 STA	$ 21,776		
STA 99314 paid direct to RA	$ 25,569		
TDA paid to RABA per 07/08 claims	$ 4,480,790	$ 3,799,759	$ 681,031