STAFF REPORT

Shasta County Regional Transportation Planning Agency

MEETING DATE:	**6/28/11**
SUBJECT:	**Approve 2009/10 Revised (True-Up) Transportation Development Act (TDA) Allocations and Claims**
AGENDA ITEM:	**4-3**
STAFF CONTACT:	**Sue Crowe**

SUMMARY:
The Transportation Development Act (TDA) provides funds for public transit. TDA claims are developed annually based on revenue and transit expense estimates for the next fiscal year. TDA regulations require a revision, or "true-up," of these estimates once audited financial statements are available. This may result in an over or under-payment to claimants.

STAFF RECOMMENDATION:
It is recommended that the board approve Resolution No. 11-05 revising 2009/10 TDA allocations and claims as shown on the 2009/10 Revised Transportation Development Act Apportionment and Transit Obligation spreadsheet (Attachment A) .

DISCUSSION:
The cost of providing transit service is allocated to the cities of Anderson, Redding and Shasta Lake, Shasta County, and the Consolidated Transportation Services Agency (CTSA). Public transit and transit needs that are determined to be "reasonable to meet" are funded first. Surplus funds may be used by the claimants for other eligible uses, such as new transit service or local street and road maintenance.

The Federal Transit Administration (FTA) provides funds for transit operations and capital. The Redding Area Bus Authority (RABA) receives FTA 5307 directly. The county receives FTA 5311 which are paid through the monthly claims payment.

In April 2009, the board approved TDA claims for fiscal year 2009/10 based on RABA's estimated budget. The original claims are now trued-up to match audited financial statements (Attachment A). RABA's total operating and capital expenses subject to TDA and FTA 5311 funds totaled $3,278,706, resulting in $156 overpaid to RABA.

The CTSA is eligible for 5% of Local Transportation Fund (LTF) revenue. LTF revenue was less than budgeted, resulting in an overpayment to the CTSA of $30,858. This amount will be deducted from future LTF payments.

The following table shows actual revenue and transit expenses, allocations distributed and the total over/underpaid to claimants.

SUMMARY OF REVISED CLAIMS 2009/10 Actual Revenues and Transit Expenses						
Claimant	**TDA Revenue**	**FTA Revenue**	**Less Transit Expense**	**Less STA Retained for 10/11**	**Less Distributed in 2009/10**	**Over/Under Paid to Claimants**
City of Anderson	$ 387,417	$ 88,202	($ 251,153)	($ 56,223)	($ 155,381)	$ 12,861
City of Redding	3,271,287	744,760	(3,365,357)	(474,735)	(36,957)	138,998
City of Shasta Lake	369,566	84,138	(214,317)	(53,632)	(173,817)	11,938
County of Shasta	2,558,462	279,509	(375,437)	(371,288)	(1,634,417)	456,828
RTPA	100,085	0	0	0	(100,085)	0
CTSA	296,361	0	0	0	(327,219)	(30,858)
Total	**$6,983,178**	**$1,196,609**	**($4,206,264)**	**($ 955,878)**	**($2,427,876)**	**$ 589,767**

The RTPA distributes monthly TDA claim payments to transit operators. Funds for other uses are paid when funds are available. The 2009/10 State Transit Assistance funds were retained to fund current transit operations.

ALTERNATIVES:

The board may continue this item to request additional information. The true-up is prepared in accordance with RTPA Administrative Policy 6-3 and TDA regulations.

OTHER AGENCY INVOLVEMENT:

This true-up was developed in cooperation with RABA staff. The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING:

Approval of revised 2009/10 claims will make claims consistent with the actual revenues and expenses associated with providing transit service.

Daniel S. Little, AICP, Executive Director

Attachments: Resolution 11-05
Revised TDA Apportionment & Transit Obligation

RESOLUTION

Shasta County
Regional Transportation
Planning Agency

RESOLUTION NUMBER:	**11-05**
SUBJECT:	**2009/10 Revised (True-Up) of Transportation Development Act (TDA) Allocations and Claims**

WHEREAS, Section 99230 of the California Public Utilities Code (PUC) states that "the designated transportation planning agency shall, from an analysis and evaluation of the total amount anticipated to be available in the Local Transportation Fund (LTF) and the relative needs of each claimant for the purposes for which the fund is intended, and consistent with the provisions of this chapter, annually determine the amount to be allocated to each claimant;" and

WHEREAS, PUC Section 99231 and California Code of Regulations Section 6655 require that "the transportation planning agency shall allocate to all claimants for a given area collectively only such moneys as represent that area's apportionment;" and

WHEREAS, PUC Section 99401.5 requires that the Regional Transportation Planning Agency (RTPA) annually review and make findings concerning "unmet transit needs" in each claimant jurisdiction and, prior to making any LTF allocations to claimants for other eligible uses, fund such unmet transit needs in each claimant jurisdiction as have been found "reasonable to meet;" and

WHEREAS, the RTPA made the required transit obligation findings for each claimant agency in Shasta County for 2009/10 at the April 28, 2009 meeting, as reflected in Resolution No. 09-06; and

WHEREAS, the resulting LTF transit obligations required for each claimant jurisdiction will fund all identified transit needs that meet the "reasonable to meet" definition, and only thereafter will any unallocated funds be made available for other eligible uses; and

WHEREAS, these revisions to the 2009/10 TDA allocations to claimants maintain the existing level of transit service previously determined by the RTPA to be "reasonable to meet." Reconciliations (true-ups) are prepared using actual transit expenses incurred from the original claim adoption. Actual expenses were compared to the Redding Area Bus Authority's (RABA) audited financial statements, State Controller Report and original claims. The overall result is a zero change in funds required by RABA; and

WHEREAS, the Consolidated Transportation Services Agency (CTSA) received an over distribution of funds totaling $30,858. This amount will be deducted from future claim allocations; and

WHEREAS, allocations were distributed to claimants in 2009/10 based on available cash. Funds in the treasury on June 30, 2010 are now available to distribute to claimants for other uses, as follows:

- City of Anderson - $12,861
- City of Redding - $138,998
- City of Shasta Lake - $11,938
- County of Shasta - $456,828

NOW, THEREFORE, BE IT RESOLVED that the Shasta County Regional Transportation Planning Agency:

1. Determines that the 80% service hours/20% population-based cost sharing formula represents the cost sharing alternative which reflects the most equitable distribution of RABA service area costs to the claimant agencies in light of the transit system benefits each will realize from the RABA provided services; and

2. Approves the true-up of the 2009/10 claims as summarized in Attachment A to reflect actual transit costs incurred in 2009/10; and

3. Approves revised allocations and claims for each claimant jurisdiction consistent with the 2009/10 TDA budget reconciliation (Attachment A).

PASSED AND ADOPTED this 28th day of June, 2011, by the Shasta County Regional Transportation Planning Agency.

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Leonard Moty, Chair
Shasta County Regional Transportation Planning Agency

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
1	***(ATTACHMENT A)*** **SHASTA COUNTY RTPA - 2009-10 REVISED TRANSPORTATION DEVELOPMENT ACT (TDA) APPORTIONMENT & TRANSIT OBLIGATIONS**															
2	*TDA Claim Allocation: Annual claims are prepared based on estimated revenues and expenses for the future year. These estimates are revised, or "trued-up" once audited revenues and expenses are available. Excess funds may be distributed to claimants for other eligible uses.*															
3					1.a. BUDGET TO ACTUAL STATE REVENUE BY JURISDICTION Population											
4	SECTION 1: BUDGET TO ACTUAL STATE AND FEDERAL REVENUE		Jan 01- 2008	Jan 01 - 2009	City of Anderson		City of Redding		City of Shasta Lake		County of Shasta		RTPA (PUC 99233.1) "Off the Top"		CTSA (PUC 99275) 5% of LTF after RTPA	
5	Countywide Population by Jurisdiction		182,236	183,023	10,579	10,765	90,491	90,898	10,279	10,269	70,887	71,091				
6	Percent of Population by Jurisdiction		100.00%	100.00%	5.81%	5.88%	49.66%	49.66%	5.64%	5.61%	38.90%	38.84%	n/a	n/a	n/a	n/a
7	1.a. STATE TRANSPORTATION DEVELOPMENT ACT REVENUE	PUC Section	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
8	Local Transportation Fund (LTF) Revenue Received		6,800,000	6,027,299												
9	LTF Required for RTPA and OWP (09/10 OWP Budget)	99400 d	257,417	100,085	2,049	0	16,008	0	1,422	0	7,681	0	230,257	100,085		
10	LTF For CTSA (5% of LTF after RTPA)	99275	327,129	296,361											327,129	296,361
11	LTF Revenue For Jurisdictions	99231	6,215,454	5,630,853	360,814	331,194	3,086,342	2,796,552	350,582	315,934	2,417,716	2,187,173				
12	State Transit Assistance (STA)	6731	0	955,878	0	56,223	0	474,735	0	53,632	0	371,288				
13	STA distributed in 2010/11			(955,878)		(56,223)		(474,735)		(53,632)		(371,288)				
14	Total State Revenue Available		6,800,000	6,027,299	362,863	331,194	3,102,350	2,796,552	352,004	315,934	2,425,397	2,187,173	230,257	100,085	327,129	296,361
15																
16					1.b. BUDGET TO ACTUAL FEDERAL REVENUE BY EACH JURISDICTION (5307,5311, ARRA)											
17	1.b.FEDERAL REVENUE - Federal revenue is distributed based on urban and rural		Jan 01- 2008	Jan 01 - 2009	City of Anderson		City of Redding		City of Shasta Lake		County of Shasta					
18	populations. The cities receive FTA 5307 urban revenue. The County receives FTA		111,349	111,932	10,579	10,765	90,491	90,898	10,279	10,269	Receives rural funds only					
19	rural 5311. 5311 funds are used for transit obligations in the unincorporated areas of the County.		100.00%	100.00%	9.50%	9.62%	81.27%	81.21%	9.23%	9.17%						
20	FTA Formula Funds		Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
21	Cities FTA 5307 Operating		750,000	750,000	71,256	72,131	609,509	609,062	69,235	68,807						
22	Cities FTA 5307 ARRA Operating		0	167,099		16,071		135,698		15,330						
23	County FTA 5311 ARRA Operating		0	40,770								40,770				
24	County FTA 5311 Operating		260,501	238,739							260,501	238,739				
25	Total Federal Revenue Available		1,010,501	1,196,608	71,256	88,202	609,509	744,760	69,235	84,138	260,501	279,509	0	0	0	0
26																
27	TOTAL STATE AND FEDERAL REVENUE AVAILABLE FOR DISTRIBUTION		7,810,501	7,223,907	434,119	419,396	3,711,859	3,541,312	421,239	400,072	2,685,898	2,466,682	230,257	100,085	327,129	296,361
28	SECTION 2: BUDGET TO ACTUAL FUNDING REQUIREMENTS				2. BUDGET TO ACTUAL FUNDING REQUIRED BY EACH JURISDICTION											
29	(Weighted Average Share Based on 80% Service Hour/20% Population in RABA Service Area)				City of Anderson		City of Redding		City of Shasta Lake		County of Shasta		RTPA		CTSA	
30	Calculation of 80/20 Transit Requirement		Jan 01- 2008	Jan 01 - 2009	2008	2009	2008	2009	2008	2009	2008	2009	Budget	Actual	Budget	Actual
31	Population in RABA Service Area		116,893	117,478	10,579	10,765	90,491	90,898	10,279	10,269	5,544	5,546				
32	Percent of Population by Jurisdiction		100.00%	100.00%	9.05%	9.16%	77.41%	77.37%	8.79%	8.74%	4.74%	4.72%				
33	Service Hours by Jurisdiction		140.50	140.50	6.00	6.00	120.50	120.50	4.25	4.25	9.75	9.75				
34	Percent of Service Hours in Jurisdiction		100.00%	100.00%	4.27%	4.27%	85.77%	85.77%	3.02%	3.02%	6.94%	6.94%				
35	Weighted Average Share		100.00%	100.00%	5.23%	5.25%	84.09%	84.09%	4.18%	4.17%	6.50%	6.50%				
36	Transit Funding Requirements															
37	Redding Area Bus Authority		Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual				
38	RABA (PUC Article 4) TDA for Operations	99260 a	3,646,484	2,519,446	190,580	131,676	3,066,503	2,118,723	152,373	105,278	237,027	163,768				
39	RABA TDA for Capital Match	99260 a	0	7,471		390		6,283		312		486				
40	RABA Administration	99260 a	0	589,358		30,936		495,573		24,565		38,283				
41	FTA for Operating		750,000	750,000	71,256	72,131	609,509	609,062	68,807	68,807						
42	ARRA 5307 Operating (Cities)		0	167,099	0	16,071	0	135,698	0	15,330						
43	CTSA (based on 5% of LTF)	99275	327,219	296,361											327,129	296,361
44	RTPA Admin and OWP	99400 d	257,417	100,085	2,049	0	16,008	0	1,422	0	7,681	0	230,257	100,085		
45	Other Transit Obligations															
46	CO - Burney Express	99400 c	106,115	132,736							106,115	132,736				
47	CO - County Lifeline	99400 c	40,000	40,050							40,000	40,050				
48	CO - Rural Transit Administration	99400 c	5,000	104							5,000	104				
49	BUDGET TO ACTUAL TOTAL FUNDING REQUIREMENTS		5,132,234	4,602,710	263,885	251,204	3,692,021	3,365,339	222,602	214,294	395,823	375,427	230,257	100,085	327,129	296,361

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
50	SECTION 3: BUDGET TO ACTUAL AVAILABLE FOR OTHER USES				3. BUDGET TO ACTUAL AVAILABLE FOR OTHER USES BY JURISDICTION											
51					City of Anderson		City of Redding		City of Shasta Lake		County of Shasta		RTPA		CTSA	
52			Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual
53	Budget to Actual Revenue from Section 1		7,810,501	7,223,907	434,119	419,396	3,711,859	3,541,312	421,239	400,072	2,685,898	2,466,682	230,257	100,085	327,129	296,361
54	Budget to Actual Funding Required from Section 2	99400 c	(5,132,234)	(4,602,710)	(263,885)	(251,204)	(3,692,021)	(3,365,339)	(222,602)	(214,294)	(395,823)	(375,427)	(230,257)	(100,085)	(327,129)	(296,361)
55	NET AVAILABLE FOR OTHER USES	99400 a	2,678,267	2,621,197	170,234	168,192	19,839	175,972	198,637	185,778	2,290,075	2,091,255	0	0	0	0
56	Less Claims Distributed in 09/10			(2,000,572)		(155,381)		(36,957)		(173,817)		(1,634,417)				
57	Net Due to Jurisdiction/Due from Jurisdiction from LTF			620,625		12,811		139,015		11,961		456,838				(30,768)
58		Deducted from SSNP		-30,768												
59		RTPA LTF Cash Reserve		589,857												
60	Section 4: CAPITAL PURCHASE REQUIREMENTS															
61	FTA 5307 funds are available to RABA for capital expenses. Funds may be banked for up to 3-years. RABA may carry over funds if expenditures are delayed in a fiscal year. FTA capital revenue is deducted off-the-top of total transit requirements. A 20% TDA match is factored into the TDA calculations.															
62	Capital funding is for presentation purposes only. These funds flow directly through the claimant agency.			Urban Pop.	City of Anderson		City of Redding		City of Shasta Lake		County of Shasta					
63				111,932	10,765	9.62%	90,898	81.21%	10,269	9.17%		100%				
64			Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual				
65	Cities FTA 5307 Capital (PUC Article 4 99260 a)		1,597,200	536,427	151,746	51,591	1,298,011	435,623	147,443	49,214						
66	Cities ARRA FTA 5307 Capital		1,670,000	287,906	158,663	27,689	1,357,174	233,803	154,163	26,413						
67	County ARRA FTA 5311 Capital ($204,505 remaining paid in 10/11)		366,936	162,431							366,936	162,431				
68	Prop 1B (distributed on countywide population)		180,276	180,276	10,465	10,603	89,518	89,534	10,168	10,115	70,125	70,024				
69	TOTAL CAPITAL REQUIREMENTS		3,814,412	1,167,040	320,874	89,883	2,744,703	758,960	311,774	85,742	437,061	232,455				

It is understood by this claimant that payment is based on Shasta County RTPA approval and the availability of funds for distribution. The claimed monies will be used only for those purposes for which the claim is approved and in accordance with the terms of the allocation instructions. The claimant certifies that, to the best of his/her knowledge, the financial information contained herein is reasonable and accurate.

Jurisdiction: ______________________

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Signature of Authorized Representative   Date: