



Know Your Customer Required Information Collection Form

To help the United States government fight the funding of terrorism and prevent money laundering activities, U.S. Federal law requires all financial institutions to obtain, verify, and record information that identifies each person (individual, corporation, partnership, trust, estate, or any other entity recognized as a legal person) who opens an account. U.S. Bank will ask for the legal name, address, tax identification number, and other identifying information that will assist us in completing the review of your contract/application. We may also ask for copies of certified articles of incorporation, an unexpired government-issued business license, a partnership agreement, or other documents that indicate the existence and standing of the entity. U.S. Federal law also requires financial institutions to conduct ongoing customer due diligence, verify the identity of beneficial owners of certain legal entities, and comply with U.S. Economic Sanctions. U.S. Bank may require identification information on Customer, its Affiliates, Related Parties, or Cardholders, if applicable, to allow U.S. Bank to remain in compliance with U.S. Federal law or U.S. Bank policy. Customer agrees to promptly provide such identification information to U.S. Bank, and Customer shall cause its Affiliates, Related Parties or Cardholders, if applicable, to provide identification information to U.S. Bank.

How to complete this form:

Answer all questions completely and thoroughly, reviewing the requirements of each section. Do not leave any section blank unless you qualify under Section B. Provide the First, middle (if applicable), and last name for all individuals supplied on this form. Missing information will cause delays in processing. Abbreviations or acronyms are not acceptable. **Post Office Boxes or Personal Mailboxes** are not acceptable, please provide physical address for any addresses provided. You must notify U.S. Bank if any information contained in the form changes.

Section A: Customer Information

Company Name:

(Provide the full legal name of the customer as it is captured on formation documents, this does not include DBA/Trade names or Operating As names (Examples: Articles of Incorporation, Partnership Agreements, etc.). If the entity is a Sole Proprietorship, provide the full legal name of the Owner.)

Company Information	
Identification number: • (TIN / EIN; SSN / ITIN)	
Legal Physical Address: (Where your business is located. Do not provide your mailing address)	
Does your company have a Trade Name / Doing Business As (DBA) name(s)?	☐ Yes ☐ No
If Yes, provide only the DBA(s) or trade name(s) that are applicable to your relationship with U.S. Bank:	
Provide the DBA address(es) if it is different than the company address:	

Section B: Exempt Entities – Do any of the below business types apply to your business?

- If yes, check the boxes below that apply. Then complete Sections C and Section D only.
- If no, supply formation documents, if available and complete the entire form.

- ☐ Is your business a U.S. Department or Agency, including Indian Tribal Government, or was it formed under in interstate compact between two or more states?
- ☐ U.S. Political Subdivision (Local Government Entity)
- ☐ Financial institution that is regulated by a Federal or State Regulator:
- ☐ Any entity established under an interstate compact, including Indian Tribal Governmental Entities
- ☐ An entity that is listed on the New York, NYSE Market LLC or NASDAQ stock exchanges – this only applies to U.S. operations
- ☐ Subsidiary of a Publicly Traded parent listed on NYSE, NYSE MKT LLC, or NASDAQ stock exchanges*. This only applies to U.S. operations and U.S. entities where equity of 51% or more is held by a U.S. listed entity. *Excludes subsidiaries and entities listed under "NASDAQ Capital Market (Nasdaq-CM) Companies"

Name of Exchange: _____ Ticker Symbol: _____

Section C: Standard Due Diligence Questions – This section is required to be completed by all applicants

1	What is the nature of your business? (What products or services do you supply?) • <i>Include NAICS if known</i>	
2	Does your business operate in the hemp industry? (If yes supply USDA License, or State/Tribal Government License along with this form)	☐ Yes ☐ No
3	What is the legal structure of your business? (e.g., Corporation, Limited Partnership/LLP, Not-for-Profit Organization, LLC, Single Member LLC, Partnership, Sole Proprietor, Government)	
4	What is the company's country of formation?	
5	What is the country of primary business operations for the company?	
6	Does the company provide any of the following services to your customers? If Yes, which service?	
	check cashing services	☐ Yes ☐ No
	issue or cash travelers checks or money orders	☐ Yes ☐ No
	provide money transmission or foreign exchange services	☐ Yes ☐ No
	offer prepaid cards	☐ Yes ☐ No
7	What is the company's estimated or projected annual revenue/budget (USD)? (If none, please indicate with \$0. None and N/A are not allowed.)	\$
8	What is the purpose of this account?	

Section D: Related Parties –One individual is required to be listed. Additional individuals are optional.

	Full Name (First, Middle, Last) OR Full Legal Name	Provide one of the following items: Date of Birth (mm/dd/yyyy), OR Physical Residential Address (preferred) OR Business Address OR SSN	Authorized Signer – is the individual(s), who signs the U.S. Bank contract or Application
1			Authorized Signer
	☐ No middle name		
2			Authorized Signer
	☐ No middle name		

