

## REPORT TO SHASTA COUNTY RTPA

| SUBJECT                                                               | MEETING DATE | ITEM NUMBER |
|-----------------------------------------------------------------------|--------------|-------------|
| Amendment #7 to the Federal Transportation Improvement Program (FTIP) | 10/23/07     | 4-4         |

### RECOMMENDATION

It is recommended that the Board:

1. Adopt Resolution No. 07-09 approving Amendment #7 to the 2006 Federal Transportation Improvement Program (FTIP); and
2. Authorize the Executive Director to make subsequent minor corrections in response to Caltrans and FHWA review.

### SUMMARY

In conformance with federal regulations, an amendment to the 2006 FTIP has been prepared for consideration by the Board.

### DISCUSSION

Transportation projects in Shasta County that receive federal funds must be included in the FTIP. This includes city, county, Caltrans, and RABA projects. The RTPA has little discretion to change project selection and priorities; most are set by the delivering agencies subject to federal funding requirements.

A public hearing is not required prior to approval as Shasta County is in conformance with federal air quality standards.

The purpose of this amendment is to program additional funds for the following:

- **Recreational Trails Program \$126,720 grant award (Castle Crags State Park):** This project was awarded a Recreation Trails Program grant from the Department of Parks and Recreation to improve the Vista Point Overlook Trail. The grant will fund 1,350 feet of trail to the vista point, trailhead parking spaces, and related improvements. A state match of \$17,280 is required.
- **State Highway Operations and Protection Program (SHOPP) Collision Reduction Lump Sum Amendment for Buckhorn Grade (Caltrans):** This would increase programming by \$343,000 in 07/08 and add \$2,000,000 of High Priority Project (HPP) funds in 08/09. The funding supports a SR 299 project at Yankee Gulch near Buckhorn Summit. The project eliminates a 35 miles per hour curve and brings this section of roadway to the ultimate planned alignment. The HPP project funds are provided by the Humboldt County Association of Governments (see attached Minute Order).
- **Amend the Expedited Project Selection Procedures (EPSP) for fourth year SAFETEA-LU requirements:** Page 7 (attached) of the 2006 FTIP now shows sources of funding subject to the EPSP procedures.

California Transportation Improvement Program System (CTIPS) printouts showing funding details for each project and a financial summary indicating the four years of programming are attached.

ALTERNATIVES

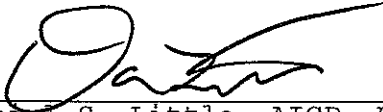
The Board could decide not to amend the FTIP. This is not recommended since it would result in the withholding of federal funds for the above projects.

OTHER AGENCY INVOLVEMENT

This amendment has been coordinated with the affected agencies. Upon approval by the Board, the Federal Highway Administration (FHWA) must approve the FTIP amendment. The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING

This amendment is intended to make the FTIP consistent with federal funds expected to be available over the four-year life of the program.



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Daniel S. Little, AICP, Executive Director

DSL/TLH/jac

Attachments:     Resolution 07-09  
                     CTIPS Project Listings  
                     Revised Page 7, 2006 FTIP  
                     Humboldt County AOG Minute Order

**RESOLUTION NO. 07-09**

**ADOPTING AMENDMENT #7 TO THE 2006  
FEDERAL TRANSPORTATION IMPROVEMENT PROGRAM  
FOR SHASTA COUNTY**

WHEREAS, Title 23 USC Sec. 134 (H) directs each Metropolitan Planning Organization (MPO) to develop a Federal Transportation Improvement Program (FTIP) every two years and to include a four-year priority list of all federally funded projects; and

WHEREAS, in order to qualify for certain types of federal funding, projects must be included in a Federal Transportation Improvement Program (FTIP) approved by the Regional Transportation Planning Agency (RTPA); and

WHEREAS, the Streets and Highways Code Section 182.6(e) and Section 182.7(d) directs each MPO to submit the updated FTIP to the California Department of Transportation by August 1 on even numbered years; and

WHEREAS, the 2006 FTIP was adopted the 25th day of July, 2006, by the Shasta County Regional Transportation Planning Agency in accordance with federal planning regulations; and

WHEREAS, an amendment to the 2006 FTIP is needed to reflect recent or anticipated changes to several programs receiving federal transportation funds; and

WHEREAS, the amendment has been reviewed and is consistent with the Regional Transportation Plan and is recommended for inclusion in the Shasta County FTIP; and

WHEREAS, an updated list of individual projects constituting lump sum categories is on file with the Shasta County RTPA; and

WHEREAS, the 2006 FTIP remains financially constrained as these requirements apply to Shasta County, which is in conformance with federal air quality standards.

WHEREAS, the 2006 FTIP has been amended to include all SAFETEA-LU requirements, including a fourth year of programming.

NOW, THEREFORE, BE IT RESOLVED that the 2006 Shasta County Federal Transportation Improvement Program is hereby amended, as shown in Amendment #7, this 23rd day of October, 2007, by the Shasta County Regional Transportation Planning Agency.

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Norma Connick, Chair  
Shasta County Regional  
Transportation Planning Agency

# Shasta County RTPA - Federal Transportation Improvement Program

(Dollars in Thousands)

## Local Highway System

|                                       |                       |                                                                                                                                                                                                       |                                      |
|---------------------------------------|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|
| DIST: 02                              | COUNTY: Shasta County | TITLE (DESCRIPTION):                                                                                                                                                                                  | MPO Aprv: / /                        |
| ROUTE:                                | PM:                   | Lump Sum: Non-Motorized Transportation (Various non-motorized transportation improvements throughout Shasta County on Local and State system, such as bike lanes, sidewalks, landscaping (lump sum).) | State Aprv: / /                      |
| PPNO: 2400                            | KP:                   |                                                                                                                                                                                                       | Federal Aprv: / /                    |
| EA:                                   | MPO ID: TE-LUMP       |                                                                                                                                                                                                       |                                      |
| CTIPS ID: 111-0000-0140               |                       |                                                                                                                                                                                                       |                                      |
| IMPLEMENTING AGENCY: Various Agencies |                       | PRJ MGR: Dan Little                                                                                                                                                                                   | EPA TABLE II or III EXEMPT CATEGORY: |
|                                       |                       | PHONE: (530) 245-6819                                                                                                                                                                                 | Bicycle and pedestrian facilities.   |

### PROJECT VERSION HISTORY (Printed Version is Shaded)

| Version | Status   | Official Date | Updated By | Change Reason                      | Amend No. | Dollars in Thousands - Total For Project |         |     |
|---------|----------|---------------|------------|------------------------------------|-----------|------------------------------------------|---------|-----|
|         |          |               |            |                                    |           | Prog Con                                 | Prog RW | PE  |
| 7       | Active   | 10/10/2007    | THAYS      | Amendment - Cost/Scope/Sch. Change | 7         | 2,104                                    | 310     | 270 |
| 6       | Official | 06/26/2007    | THAYS      | Amendment - Cost/Scope/Sch. Change | 5         | 1,960                                    | 310     | 270 |
| 5       | Official | 02/22/2007    | THAYS      | Amendment - Cost/Scope/Sch. Change | 2         | 1,960                                    | 310     | 270 |
| 4       | Official | 07/25/2006    | THAYS      | Amendment - Other (Explain ==>)    | 1         | 1,960                                    | 310     | 270 |
| 3       | Official | 07/28/2006    | THAYS      | Amendment - Cost/Scope/Sch. Change | 6         | 1,960                                    | 310     | 270 |
| 2       | Official | 02/22/2005    | DLITTLE    | Amendment - Cost/Scope/Sch. Change |           | 1,960                                    | 430     | 150 |
| 1       | Official | 07/27/2004    | DLITTLE    | Adoption - New Project             |           | 3,122                                    | 430     | 150 |

|                                          |              |                 |              |                 |              |              |              |              |               |              |
|------------------------------------------|--------------|-----------------|--------------|-----------------|--------------|--------------|--------------|--------------|---------------|--------------|
| * RIP - Local Roads                      |              | <u>PRIOR</u>    | <u>06/07</u> | <u>07/08</u>    | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
| * Fund Source 1 of 6                     | PE           | 133             |              | 107             |              |              |              |              |               | 240          |
| * Fund Type: STP Enhancement             | RW           |                 |              | 275             |              |              |              |              |               | 275          |
| * Funding Agency: Shasta County RTPA     | CON          |                 |              |                 | 1,532        |              |              |              |               | 1,532        |
|                                          | <b>TOTAL</b> | <b>133</b>      |              | <b>382</b>      | <b>1,532</b> |              |              |              |               | <b>2,047</b> |
| * Fund Source 2 of 6                     | PE           | <u>PRIOR</u> 17 | <u>06/07</u> | <u>07/08</u> 13 | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
| * Fund Type: State Cash                  | RW           |                 |              | 35              |              |              |              |              |               | 35           |
| * Funding Agency: Shasta County RTPA     | CON          |                 |              |                 | 198          |              |              |              |               | 198          |
|                                          | <b>TOTAL</b> | <b>17</b>       |              | <b>48</b>       | <b>198</b>   |              |              |              |               | <b>263</b>   |
| * Fund Source 3 of 6                     | PE           | <u>PRIOR</u>    | <u>06/07</u> | <u>07/08</u>    | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
| * Fund Type: STP Enhancement             | RW           |                 |              |                 |              |              |              |              |               |              |
| * Funding Agency: Caltrans               | CON          | 204             |              |                 |              |              |              |              |               | 204          |
|                                          | <b>TOTAL</b> | <b>204</b>      |              |                 |              |              |              |              |               | <b>204</b>   |
| * Fund Source 4 of 6                     | PE           | <u>PRIOR</u>    | <u>06/07</u> | <u>07/08</u>    | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
| * Fund Type: State Cash                  | RW           |                 |              |                 |              |              |              |              |               |              |
| * Funding Agency: N/A                    | CON          | 26              |              |                 |              |              |              |              |               | 26           |
|                                          | <b>TOTAL</b> | <b>26</b>       |              |                 |              |              |              |              |               | <b>26</b>    |
| * Fund Source 5 of 6                     | PE           | <u>PRIOR</u>    | <u>06/07</u> | <u>07/08</u>    | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
| * Fund Type: Recreational Trails Program | RW           |                 |              |                 |              |              |              |              |               |              |
| * Funding Agency: Various Agencies       | CON          |                 |              | 126             |              |              |              |              |               | 126          |
|                                          | <b>TOTAL</b> |                 |              | <b>126</b>      |              |              |              |              |               | <b>126</b>   |
| * Fund Source 6 of 6                     | PE           | <u>PRIOR</u>    | <u>06/07</u> | <u>07/08</u>    | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
| * Fund Type: State Park Funds            | RW           |                 |              |                 |              |              |              |              |               |              |
| * Funding Agency: N/A                    | CON          |                 |              | 18              |              |              |              |              |               | 18           |
|                                          | <b>TOTAL</b> |                 |              | <b>18</b>       |              |              |              |              |               | <b>18</b>    |
| <b>Project Total</b>                     |              | <u>PRIOR</u>    | <u>06/07</u> | <u>07/08</u>    | <u>08/09</u> | <u>09/10</u> | <u>10/11</u> | <u>11/12</u> | <u>BEYOND</u> | <u>TOTAL</u> |
|                                          | PE           | 150             |              | 120             |              |              |              |              |               | 270          |
|                                          | RW           |                 |              | 310             |              |              |              |              |               | 310          |
|                                          | CON          | 230             |              | 144             | 1,730        |              |              |              |               | 2,104        |
|                                          | <b>TOTAL</b> | <b>380</b>      |              | <b>574</b>      | <b>1,730</b> |              |              |              |               | <b>2,684</b> |

#### Comments:

\*\*\*\*\* Version 7 - 10/10/2007 \*\*\*\*\*

Added \$144,000 Rec. Trails Program Castle Crags State Park, amend #7

\*\*\*\*\* Version 6 - 06/18/2007 \*\*\*\*\*

PE and RW for East Rdg Bkeway shifted to 08/09 to be consistent with STIP

\*\*\*\*\* Version 5 - 02/08/2007 \*\*\*\*\*

Moved \$1,730 of TE to 07/08 from 06/07 in order to tie to CTC and STIP documents

\*\*\*\*\* Version 4 - 12/01/2006 \*\*\*\*\*

Project data transferred to 2006 FTIP

\*\*\*\*\* Version 3 - 07/27/2006 \*\*\*\*\*

Administrative Amendment, increases PE and reduces RW equal amounts in 06/07 per Caltrans

\*\*\*\*\* Version 2 - 02/03/2005 \*\*\*\*\*

Align TE with current STIP/SHOPP TE for financial constraint

**Shasta County RTPA - Federal Transportation Improvement Program**  
**(Dollars in Thousands)**

\*\*\*\*\* Version 1 - 06/14/04 \*\*\*\*\*

Project data transferred from 2004 RTP 1.

Caltrans projects programmed in IIP and SHCOP

Projects are consistent with 40 CFR part 93.126, 127, 128 tables 2 & 3.

# Shasta County RTPA - Federal Transportation Improvement Program

(Dollars in Thousands)

State Highway System

|                               |                         |                                                                                                                                                                  |                                                                     |
|-------------------------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| DIST: 02                      | COUNTY: Shasta County   | TITLE (DESCRIPTION):<br>SHOPP - Collision Reduction (Lump Sum) ((Various Locations - Collision Reduction projects to reduce accidents in the region (Lump Sum))) | MPO Aprv: / /                                                       |
| ROUTE:                        | PM:                     |                                                                                                                                                                  | State Aprv: / /                                                     |
| PPNO:                         | KP:                     |                                                                                                                                                                  | Federal Aprv: / /                                                   |
| EA:                           | MPO ID: CT-COL-RE       |                                                                                                                                                                  |                                                                     |
| CTIPS ID: 211-0000-0048       |                         |                                                                                                                                                                  |                                                                     |
| IMPLEMENTING AGENCY: Caltrans | PRJ MGR: WENDY LONNBERG |                                                                                                                                                                  | EPA TABLE II or III EXEMPT CATEGORY:<br>Safety Improvement Program. |
|                               | PHONE: (530) 225-3416   |                                                                                                                                                                  |                                                                     |

## PROJECT VERSION HISTORY (Printed Version is Shaded)

| Version | Status   | Official Date | Updated By | Change Reason                      | Amend No. | Dollars in Thousands - Total For Project |         |    |  |  |
|---------|----------|---------------|------------|------------------------------------|-----------|------------------------------------------|---------|----|--|--|
|         |          |               |            |                                    |           | Prog Con                                 | Prog RW | PE |  |  |
| 3       | Active   | 10/10/2007    | THAYS      | Amendment - Cost/Scope/Sch. Change | 7         | 40,065                                   |         |    |  |  |
| 2       | Official | 02/27/2007    | THAYS      | Amendment - Cost/Scope/Sch. Change | 3         | 37,722                                   |         |    |  |  |
| 1       | Official | 07/25/2006    | THAYS      | Adoption - New Project             |           | 31,802                                   |         |    |  |  |

|                                              |       | PRIOR | 06/07  | 07/08  | 08/09 | 09/10 | 10/11 | 11/12 | BEYOND | TOTAL  |
|----------------------------------------------|-------|-------|--------|--------|-------|-------|-------|-------|--------|--------|
| • Fund Source 1 of 3                         | PE    |       |        |        |       |       |       |       |        |        |
| • Fund Type: SHOPP Advance Construction (AC) | RW    |       |        |        |       |       |       |       |        |        |
| • Funding Agency: Caltrans                   | CON   |       | 20,076 | 8,384  | 3,913 |       |       |       |        | 32,373 |
|                                              | TOTAL |       | 20,076 | 8,384  | 3,913 |       |       |       |        | 32,373 |
| • Fund Source 2 of 3                         | PE    |       |        |        |       |       |       |       |        |        |
| • Fund Type: State Cash                      | RW    |       |        |        |       |       |       |       |        |        |
| • Funding Agency: Caltrans                   | CON   |       | 2,600  | 1,085  | 507   |       |       |       |        | 4,192  |
|                                              | TOTAL |       | 2,600  | 1,085  | 507   |       |       |       |        | 4,192  |
| • Fund Source 3 of 3                         | PE    |       |        |        |       |       |       |       |        |        |
| • Fund Type: High Priority Projects Program  | RW    |       |        |        |       |       |       |       |        |        |
| • Funding Agency: Various Agencies           | CON   |       |        | 1,500  | 2,000 |       |       |       |        | 3,500  |
|                                              | TOTAL |       |        | 1,500  | 2,000 |       |       |       |        | 3,500  |
| <b>Project Total</b>                         |       |       |        |        |       |       |       |       |        |        |
|                                              | PE    |       |        |        |       |       |       |       |        |        |
|                                              | RW    |       |        |        |       |       |       |       |        |        |
|                                              | CON   |       | 22,676 | 10,969 | 6,420 |       |       |       |        | 40,065 |
|                                              | TOTAL |       | 22,676 | 10,969 | 6,420 |       |       |       |        | 40,065 |

### Comments:

\*\*\*\*\* Version 3 - 10/10/2007 \*\*\*\*\*

Amendment to add HPPP Implemented by Humboldt County of \$2.0 million, Increase of SHOPP AC by \$304K and related match of \$39K per Wendy Lonnberg e mail of 9/17/2007

\*\*\*\*\* Version 2 - 02/27/2007 \*\*\*\*\*

Amendment to add HPPP funds Implemented by Humboldt County of \$1.5 million related to EA02-39790 for construction at top of Buckhorn in 07/08 and \$4,420 million for construction at bottom of Buckhorn in 08/09 per Wendy Lonnberg e mail of 2/22/2007.

\*\*\*\*\* Version 1 - 06/22/2006 \*\*\*\*\*

Source - Per Cathy Gomes E Mail of 7/11/2006

## Advancement and Selection Procedures

To advance projects shown in the later years in the FTIP into earlier years, SCRTPA intends to use the following procedures:

- Project sponsor will notify SCRTPA staff in writing of the need to advance a project or project component that is identified in the 2006 FTIP.
- SCRTPA staff shall determine if sufficient financial capacity exists in the FTIP to advance the project into an earlier year. If sufficient financial capacity does not exist, SCRTPA staff shall give the project sponsor the opportunity to identify a project listed in the FTIP within its jurisdiction that can be delayed to allow the other project to advance. SCRTPA will also survey other jurisdictions to determine any project delays warranting a delay in programming which could allow advancement of the project. If such substitution is not possible, then SCRTPA staff shall advise the project sponsor that the project advancement is not feasible.
- If sufficient financial capacity does exist, SCRTPA staff *or Caltrans program managers* shall administratively amend the 2006 FTIP to advance projects that meet financial constraint. Expedited Project Selection Procedures (EPSP) in appendix H allow for the movement of projects within the *fourth year* element of the FTIP. *The following State managed programs can be managed with EPSP: State Highway Operation and Protection Program (SHOPP); Highway Bridge Program (HBP); Hazard Elimination and Safety Program (HES); Safe Routes to School Program (SRTS/SR2S); High Risk Rural Roads Program (HRRR); Section 130 – STP Railroad Program; Highway Safety Improvement Program (HSIP). The following MPO managed programs can be managed with EPSP. State Transportation Improvement Program (STIP); Federal Transit Administration (FTA); Regional Surface Transportation Program; and any other applicable program.*
- SCRTPA staff shall prepare a quarterly information report (as needed) to the SCRTPA Board of Directors to identify projects, if any, which have been advanced using these procedures.

## Amendment Procedures: New Projects

On occasion, proponent agencies propose new projects for inclusion in the FTIP after the document is adopted. In many cases, these new projects are minor safety, emergency, or maintenance projects for which funds are made available after the time of FTIP preparation. SCRTPA intends to use the following procedures to amend such projects as necessary into the FTIP:

- Agency shall notify SCRTPA staff in writing of the need to include the new project in the FTIP. This notification shall include the project description, component costs by year, proposed funding source, and anticipated date that construction

# **HCAOG**

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## **Humboldt County Association of Governments**

### **MINUTE ORDER**

Certified copy of portion of proceedings.

Meeting of February 22, 2007

4. **ADJOURNMENT OF THE ASSOCIATION: CONVENING OF POLICY  
ADVISORY COMMITTEE (PAC) ON TRANSPORTATION - 7:10 P.M.**

D. **SR 299 BUCKHORN GRADE IMPROVEMENTS AT YANKEE  
GULCH**

MOTION WAS MADE (Neely/Pitino) to approve Alternative #2 (\$2.0M in High Priority Project (HPP) funds + \$3,300,000 safety funds) in support of the Yankee Gulch Curve Improvement, Safety Project.

MOTION CARRIED UNANIMOUSLY

5. **RECONVENING OF THE ASSOCIATION: ACTION ON PAC ITEMS-7:45 P.M.**

MOTION WAS MADE (Leonard/Lin) to ratify the actions taken by the Policy Committee.

MOTION CARRIED UNANIMOUSLY

I, Debra Dees, Administrative Assistant of the Humboldt County Association of Governments, do hereby certify the foregoing to be a true and correct copy of the original proceedings made in the above entitled matter by said Humboldt County Association of Governments as the same now appears of record in my office.

IN WITNESS WHEREOF, I have hereunto  
set my hand on March 27, 2007.



Debra Dees, HCAOG Administrative Assistant