

## REPORT TO SHASTA COUNTY RTPA

| SUBJECT                                                                                                    | MEETING<br>DATE | ITEM<br>NUMBER |
|------------------------------------------------------------------------------------------------------------|-----------------|----------------|
| RTPA Fiscal and Compliance Audits and Independent Auditor's Management Letter for Year Ended June 30, 2000 | 12/11/01        | 3-3            |

### RECOMMENDATION

It is recommended that the Agency:

- 1) Accept the fiscal and compliance audits and management letter for fiscal year June 30, 2000.

### SUMMARY

In accordance with the rules and regulations governing the Transportation Development Act (TDA) and state and federal planning funds, annual fiscal and compliance audits must be completed for the Transportation Planning Agency and each claimant, in addition to both the Local Transportation Fund (LTF) and State Transit Assistance (STA) Fund. These audits have been completed for fiscal year 1999/00. The Auditors have issued an Unqualified or "clean" opinion for all the audits performed.

Additionally, we are pleased to report there are no current year findings and recommendations reported in the attached Management Letter.

### DISCUSSION

The provisions of the California Public Utilities Code and the Code of Regulations and the joint federal planning regulations require annual fiscal and compliance audits of the Local Transportation Fund, the State Transit Assistance Fund, the Transportation Planning Agency, and all claimants for TDA funds. The audits for fiscal year 1999/00 have been completed and submitted as follows:

Regional Transportation Planning Agency - MPO Fund  
Regional Transportation Planning Agency - State Transit  
Assistance Fund  
Regional Transportation Planning Agency - Local  
Transportation Fund  
Shasta County - Transportation Development Act Funds  
City of Redding - Transportation Development Act Funds  
City of Anderson - Transportation Development Act Funds  
City of Shasta Lake - Transportation Development Act Funds  
Redding Area Bus Authority - Transportation Development Act  
and Federal Funds.

There were no findings noted in the auditor's Management Letter. In the interest of reducing the bulk of the agenda packet, copies of the audits are not included. They are available for inspection on request.

### ALTERNATIVES

There are no identified alternatives to the Staff recommendation.

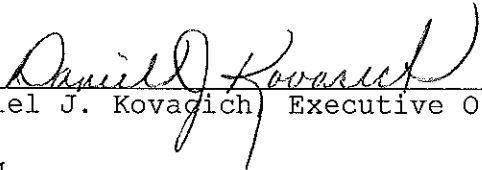
### OTHER AGENCY INVOLVEMENT

Upon acceptance, the audits will be forwarded to the State Controller's Office.

Fiscal & Compliance Audits/Item #3-3  
December 11, 2001  
Page 2

FINANCING

The submittal of the fiscal audit will have no financial impact.

  
\_\_\_\_\_  
Daniel J. Kovagich Executive Officer

/jmg