

REPORT TO SHASTA COUNTY RTPA

SUBJECT		MEETING DATE	ITEM NUMBER
Authorize the Executive Officer to Sign Certifications and Assurances for Federal Transit Administration Grant Applications		12/12/06	4-4

RECOMMENDATION

It is recommended that the Agency authorize the Executive Officer to sign Certifications and Assurances for Federal Transit Administration (FTA) transactions pursuant to the attached resolution.

SUMMARY

The RTPA is required to submit federal certifications and assurances for FTA assistance programs. Resolution 13-98 dated October 27, 1998, has expired and a new resolution is required.

DISCUSSION

The RTPA is responsible for administering planning and transportation grants from the federal government. Requirements for these grants include compliance with FTA provisions as listed on (Appendix A).

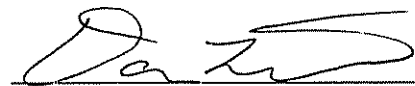
It is recommended that the Agency authorize the RTPA Executive Officer to sign all FTA Certifications and Assurances.

OTHER AGENCY INVOLVEMENT

This request will assist the regions' agencies in meeting the requirements to apply for FTA grants.

FINANCING

Adoption of the attached resolution ensures that FTA grant funds will continue to be available for transportation projects within Shasta County.



Daniel S. Little, AICP, Executive Officer

DSL/SLC/jac

Attachments: Resolution No. 06-14
Appendix A - 2007 FTA Certifications and Assurances Checklist

RESOLUTION 06-14
AUTHORIZATION FOR THE RTPA EXECUTIVE OFFICER TO SIGN CERTIFICATIONS
AND ASSURANCES FOR FTA GRANT APPLICATIONS

WHEREAS, the RTPA, as the Metropolitan Planning Organization for Shasta County, is responsible for administering Federal Transit Administration (FTA) grants; and

WHEREAS, FTA grants require compliance with federal certification and assurance provisions; and

WHEREAS, the FTA requires an authorized representative of the RTPA to sign the annual Certifications and Assurances;

NOW, THEREFORE, BE IT RESOLVED that the RTPA Executive Officer is authorized to sign all Certifications and Assurances for Federal Transit Administration grants.

PASSED AND ADOPTED this 12th day of December, 2006, by the Shasta County Regional Transportation Planning Agency.

Glenn Hawes, Chairman
Shasta County Regional
Transportation Planning Agency

APPENDIX A

**FEDERAL FISCAL YEAR 2007 CERTIFICATIONS AND ASSURANCES FOR
FEDERAL TRANSIT ADMINISTRATION ASSISTANCE PROGRAMS***(Signature page alternative to providing Certifications and Assurances in TEAM-Web)*

Name of Applicant: _____

The Applicant agrees to comply with applicable provisions of Categories 01 – 23. _____
ORThe Applicant agrees to comply with the applicable provisions of the following Categories
it has selected:

Category	Description	
01.	For Each Applicant.	_____
02.	Lobbying.	_____
03.	Procurement Compliance.	_____
04.	Private Providers of Public Transportation.	_____
05.	Public Hearing.	_____
06.	Acquisition of Rolling Stock.	_____
07.	Acquisition of Capital Assets by Lease.	_____
08.	Bus Testing.	_____
09.	Charter Service Agreement.	_____
10.	School Transportation Agreement.	_____
11.	Demand Responsive Service.	_____
12.	Alcohol Misuse and Prohibited Drug Use.	_____
13.	Interest and Other Financing Costs.	_____
14.	Intelligent Transportation Systems.	_____
15.	Urbanized Area Formula Program.	_____
16.	Clean Fuels Grant Program.	_____
17.	Elderly Individuals and Individuals with Disabilities Formula Program and Pilot Program.	_____
18.	Nonurbanized Area Formula Program.	_____
19.	Job Access and Reverse Commute Program.	_____
20.	New Freedom Program.	_____
21.	Alternative Transportation in Parks and Public Lands Program.	_____
22.	Infrastructure Finance Projects.	_____
23.	Deposits of Federal Financial Assistance to a State Infrastructure Banks.	_____

APPENDIX A

FEDERAL FISCAL YEAR 2007 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE
(Required of all Applicants for FTA assistance and all FTA Grantees with an active capital or formula project)

AFFIRMATION OF APPLICANT

Name of Applicant: _____

Name and Relationship of Authorized Representative: _____

BY SIGNING BELOW, on behalf of the Applicant, I declare that the Applicant has duly authorized me to make these certifications and assurances and bind the Applicant's compliance. Thus, the Applicant agrees to comply with all Federal statutes, regulations, executive orders, and directives applicable to each application it makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2007.

FTA intends that the certifications and assurances the Applicant selects on the other side of this document, as representative of the certifications and assurances in this document, should apply, as provided, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Federal Fiscal Year 2007.

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with a Federal public transportation program authorized in 49 U.S.C. chapter 53 or any other statute

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Signature _____ Date: _____

Name _____
Authorized Representative of Applicant

AFFIRMATION OF APPLICANT'S ATTORNEY

For (Name of Applicant): _____

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under State and local law to make and comply with the certifications and assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the certifications and assurances have been legally made and constitute legal and binding obligations on the Applicant.

I further affirm to the Applicant that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances, or of the performance of the project.

Signature _____ Date: _____

Name _____
Attorney for Applicant

Each Applicant for FTA financial assistance and each FTA Grantee with an active capital or formula project must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its signature in lieu of the Attorney's signature, provided the Applicant has on file this Affirmation, signed by the attorney and dated this Federal fiscal year.