

Shasta 2025 Federal Transportation Improvement Program

Federal Fiscal Years 2024/25 – 2027/28

Draft
June 20, 2024

Prepared By:

Shasta Regional Transportation Agency
1255 East Street, Suite 202
Redding, CA 96001

In cooperation/consultation with:

City of Anderson
City of Redding
City of Shasta Lake
County of Shasta
Caltrans, District 2
Redding Area Bus Authority
Dignity Health Connected Living
Pit River Tribe
Redding Rancheria
Federal Land Management Agencies

This document is disseminated and funded through grants from the Federal Highway Administration and Federal Transit Administration. The views and opinions of the agency expressed herein do not necessarily state or reflect those of the U.S. Department of Transportation. The agencies represented in this document assume no liability for its contents or use thereof.

RESOLUTION



RESOLUTION NUMBER:	24-08
SUBJECT:	Approve the Shasta 2025 Federal Transportation Improvement Program (Public Hearing)

WHEREAS, Title 23 USC Sec. 134(j) directs each Metropolitan Planning Organization (MPO) to develop a Federal Transportation Improvement Program (FTIP) every four years; and

WHEREAS, the California Streets and Highways Code Section 182.6(e) and Section 182.7(d) directs each MPO to submit an updated FTIP to the California Department of Transportation no later than October 1, on even-numbered years—with the statutorily-approved exception of 2020; and

WHEREAS, the FTIP is a four-year program of projects prioritized in accordance with federal planning regulations, and addresses the maintenance, operation, and improvement of the transportation system; and

WHEREAS, in order to qualify for certain types of transportation federal funding, projects must be included in an FTIP approved by the Shasta Regional Transportation Agency (SRTA), the California Department of Transportation, and the United States Department of Transportation; and

WHEREAS, the 2025 FTIP includes standards to process administrative modifications for minor changes in project scope, cost, and schedule without formal board action, as well as a process for the executive director to approve formal amendments:

NOW, THEREFORE, BE IT RESOLVED that the Shasta Regional Transportation Agency finds the 2025 FTIP:

1. Consistent with metropolitan transportation planning regulations in 23 Code of Federal Regulations Part 450;
2. Consistent with the 2022 Shasta Regional Transportation Plan;
3. Financially constrained, with the financial summary affirming that funds are available;
4. Not requiring an air quality conformity determination or demonstration of timely implementation of transportation control measures, as the area is in conformance with federal air quality standards;
5. Includes projects anticipated to support the achievement of federal transportation performance targets in accordance with 23 CFR Part 450; and
6. Prepared in accordance with SRTA's 2022 Participation and Title VI Plan.

PASSED AND ADOPTED this 26th day of September 2024, by the Shasta Regional Transportation Agency.

Mark Mezzano, Chair
Shasta Regional Transportation Agency

Table of Contents

Acronym Guide

Section 1 – Introduction and Overview1

<i>FTIP Requirements</i>	1
<i>FTIP Development</i>	4
<i>Transportation Performance Management</i>	4
<i>Public Involvement and Interagency Consultation</i>	5
<i>Environmental Justice</i>	5
<i>Status of Projects from the 2021 FTIP</i>	6
<i>Exchange of Federal Funds for State-Only Funds</i>	6
<i>Amendment and Project Selection Procedures</i>	6
<i>Action Element</i>	6
<i>Financial Element</i>	7

Section 2 – Amendment and Project Selection Procedures.....7

<i>Delegated Authority for FTIP Administrative Modifications and Formal Amendments</i>	7
<i>Amendment Procedures: New Projects</i>	8
<i>Administrative Modification and Formal Amendment Procedures</i>	9
<i>Project Selection Procedures and Project Priorities</i>	9
<i>Expedited Project Selection Procedures (EPSP)</i>	9
<i>Advancement and Selection Procedures</i>	11

Section 3 – Action Element: Project and Grouped Project Listings.....12

Section 4 – Financial Element12

<i>Financial Plans and Financial Constraint</i>	12
<i>FTIP Programming Fund Sources</i>	13
<i>Financial Guidelines to Identify Cost to Maintain and Operate the System of Federal Aid Facilities</i>	15
<i>Financial Uncertainties</i>	16
<i>Final Escalation for Revenue and Cost</i>	17

Figures

1 – SRTA Metropolitan Planning Area	2
2 – Shasta County Urban Area	3
3 – Federal Aid Facilities Maintenance and Rehabilitation Expense and Unfunded or Deferred Maintenance Expense	15

Appendices

A – Summary Table of Projects	
B – Grouped Project Listings	
C – National Ambient Air Quality Standards Demonstrating Attainment or Unclassified Status of Shasta County	
D – Public Involvement and Interagency Consultation	
E – Summary of Federal Fiscal Years 2019-20, 2020-21, and 2021-22, Federally-Obligated Projects	
F – FTIP Financial Element Summary	
G – US DOT and Caltrans Delegation Authority to SRTA for FTIP Administrative Modifications	
H – SRTA Delegation Authority to Executive Director for Approval of Formal Amendments	
I – Redding Area Bus Authority FTA Sections 5307 and 5339 Program of Projects	
J – Transportation Performance Management	
K – Projects from Federal Lands Highway Program and the Tribal Transportation Program	

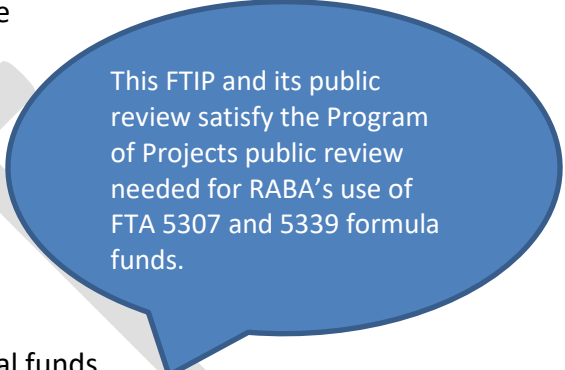
Acronym Guide

AB	Assembly Bill
(C)ARB	(California) Air Resources Board
CALTRANS	California Department of Transportation
CFR	Code of Federal Regulations
CON	Construction
CTC	California Transportation Commission
CTIPS	California Transportation Improvement Program System (database)
DOT	Department of Transportation
EPA	Environmental Protection Agency
EPSP	Expedited Project Selection Procedures
FAST Act	“Fixing America’s Surface Transportation” Federal Surface Transportation Authorization Act signed December 4, 2015
FHWA	Federal Highway Administration
FSTIP	Federal Statewide Transportation Improvement Program (statewide FTIP)
FTA	Federal Transit Administration
FTA 5303	Federal Transit Administration (Metropolitan Planning funds)
FTA 5307	Federal Transit Administration (Formula Capital & Operating Assistance)
FTA 5310	Federal Transit Administration (Capital Assistance for Mobility of Seniors and Individuals with Disabilities)
FTA 5311	Federal Transit Administration (Rural Areas Capital and Operating Assistance)
FTA 5339	Federal Transit Administration (Formula Bus and Bus Facilities Capital)
FY	Fiscal Year (July 1 through June 30)
FFY	Federal Fiscal Year (October 1 through September 30)
FTIP	Federal Transportation Improvement Program (regional programming document)
HBP	Highway Bridge Program
HES	Hazard Elimination Safety
HSIP	Highway Safety Improvement Program
IIP	Interregional Improvement Program
ITIP	Interregional Transportation Improvement Program(same as IIP)
LTF	Local Transportation Fund under the Transportation Development Act
MAP-21	“Moving Ahead for Progress in the 21 st Century” Federal Surface Transportation Authorization Act signed July 6, 2012
MPO	Metropolitan Planning Organization
NHS	National Highway System
OWP	Overall Work Program
PA & ED	Project Approval and Environmental Document
PDT	Project Development Team
PE	Preliminary Engineering
POP	Program of Projects (for FTA formula funds, e.g. Sections 5307 and 5339)
PM	Performance Measures

PM_{2.5} and PM₁₀	Particulate Matter, either under 2.5 or 10.0 microns in diameter or less
PPR	Project Programming Request
PS & E	Plans, Specifications and Estimates
PSR	Project Study Report
RABA	Redding Area Bus Authority
RTP	Regional Transportation Plan
RIP	Regional Improvement Program
ROW	Right of Way
RSTP	Regional Surface Transportation Program
RTIP	Regional Transportation Improvement Program
SB 1	Senate Bill 1 – The Road Repair and Accountability Act of 2017
SHOPP	State Highway Operation and Protection Program
SRTA	Shasta Regional Transportation Agency
SSTAC	Social Services Transportation Advisory Council
STA	State Transit Assistance under the Transportation Development Act
STIP	State Transportation Improvement Program
TAC	Technical Advisory Committee
TDA	Transportation Development Act of 1971 (Mills-Alquist-Deddeh Act – SB 325)
TPM	Transportation Performance Management
USC	United States Code

Section 1 – Introduction and Overview

The U.S. Department of Transportation (DOT) has designated the Shasta Regional Transportation Agency (SRTA) as the Metropolitan Planning Organization (MPO) for Shasta County and its three incorporated cities—the cities of Anderson, Redding and Shasta Lake. As the MPO, SRTA is required to prepare a Federal Transportation Improvement Program (FTIP) every four years in accordance with Section 450.324 of the Metropolitan Transportation Planning regulations. The California Streets and Highways Code requires an update to the FTIP every two even years submitted before October 1. The FTIP must include all transportation-related projects with federal funding, or that are regionally significant. The FTIP outlines the area's short-term plan for use of federal dollars and other resources for the maintenance, operation, and improvement of the transportation system.



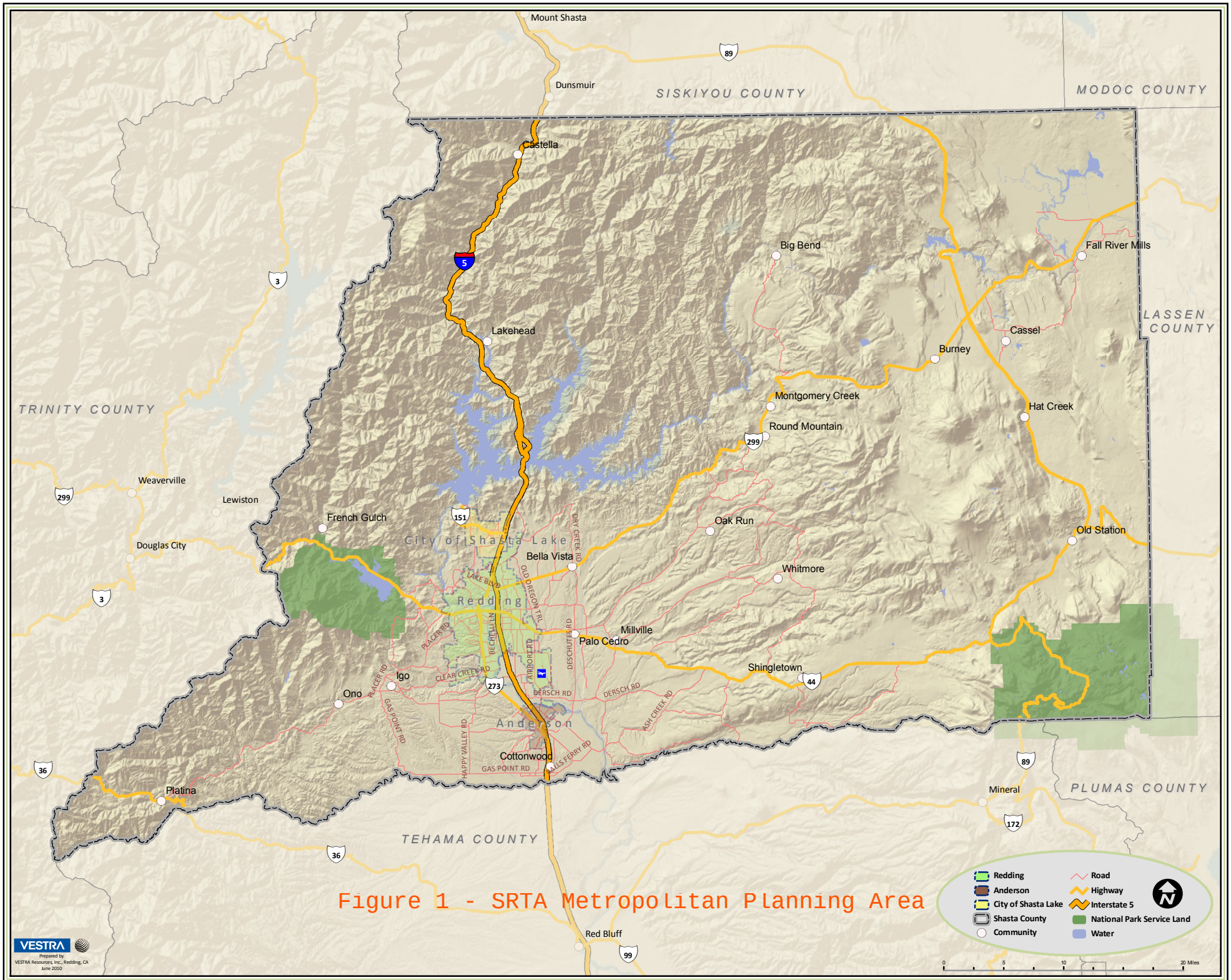
This FTIP and its public review satisfy the Program of Projects public review needed for RABA's use of FTA 5307 and 5339 formula funds.

The FTIP also serves as the Program of Projects (POP) for federal funds, including the Redding Area Bus Authority's (RABA's) use of Federal Transit Administration (FTA) Sections 5307 and 5339 formula funds for both operating and capital assistance. Public notification regarding the availability of the draft FTIP, including both the time established for public review and comment and the public hearing held for the document, satisfies both RABA's need to publicly notice POPs for FTA 5307 and 5339 funds. Appendix I includes the 5307 and 5339 POP for the 2025 FTIP.

FTIP Requirements

The FTIP is required to identify transportation projects with federal funding, or that are regionally significant, over the next four federal fiscal years (FFYs). Projects identified beyond the four-year cycle, as well as projects without any currently identified funding, are provided for information. Only projects with a dedicated funding are allowed in the first fiscal year, and projects in the second, third and fourth year are to have a firm funding commitment, or a reasonable expectation of funding availability. Appendix A was developed using the California Transportation Improvement Program System (CTIPS) database and represents the projects included in this FTIP. Appendix B shows tables of projects included under the FTIP grouped project listings—those FTIP projects for which one FTIP listing covers multiple projects under that category. Projects within the FTIP are required to be consistent with SRTA's long-range Regional Transportation Plan (RTP) for Shasta County.

SRTA's metropolitan planning area includes all of Shasta County as shown in Figure 1. Figure 2 shows the County's urban area at a larger scale. The Shasta FTIP is not subject to the conformity requirements of 40 Code of Federal Regulations (CFR) 93, as the region is in attainment for the federal, eight-hour ozone air quality standard. As such, the application of Transportation Control Measures does not apply. Additionally, Shasta County, located in the Sacramento Valley Air Basin, is classified under federal standards as either unclassified or attainment for other federal standards (Appendix C).



[illegible]

FTIP Development

Preparation of the FTIP, and its subsequent amendments, are based on input from the region's three cities (Anderson, Redding, and Shasta Lake), the county of Shasta, the California Department of Transportation (Caltrans), the Redding Area Bus Authority (RABA), SRTA's Technical Advisory Committee (TAC) and Social Services Transportation Advisory Council (SSTAC), federally recognized tribal governments (the Pit River Tribe and Redding Rancheria), federal land management agencies, members of the general public, and various transportation-related interest groups.

Projects identified in the FTIP are consistent with the 2022 Regional Transportation Plan for Shasta County (RTP). The RTP is a long-range comprehensive transportation plan, which includes actions to promote future development of all transportation modes based on anticipated funding levels. The 2022 RTP included a "sustainable communities strategies" (SCS) component in compliance with Senate Bill 375 – The Sustainable Communities and Climate Protection Act of 2008. The SCS contains land use, housing and transportation strategies that will assist the region in meeting its greenhouse gas emission reduction targets.

Transportation Performance Management

The Federal transportation bill Infrastructure Investment and Jobs Act (IIJA) requires MPOs to conduct performance-based planning and focus on achieving performance outcomes. The Federal Highway Administration (FHWA) defines Transportation Performance Management (TPM) as a strategic approach that uses system information to make investment and policy decisions to achieve national performance goals. The RTPs are to include the performance measures and targets as well as a description of progress made towards the targets. In addition, the FTIP will provide a description of how investment in the FTIP will contribute towards achieving the transportation performance targets set in the RTP.

The Federal performance measures are split into three groupings under the title "Performance Management" (PM):

- PM 1: Safety
- PM 2: Transportation Asset Management
- PM 3: System Reliability, Freight, Congestion, and Air Quality

The projects programmed within the FTIP have been developed in accordance with the applicable provisions and requirements of 23 CFR Part 450 and are expected to support the achievement of federal performance targets. These targets will be achieved through the implementation of investment priorities through the programming of transportation projects in this 2025 FTIP, and subsequent FTIP Amendments and Administrative Modifications.

Documentation of how this 2025 Shasta FTIP supports the Federal performance measures is included in Appendix J.

Public Involvement and Interagency Consultation

The FTIP has been developed pursuant to SRTA’s 2022 Shasta Participation and Partnership Plan (Title VI). It may be reviewed on the SRTA website at <https://srta.ca.gov/DocumentCenter/View/6096/The-2022-Shasta-Public-Participation-and-Title-VI-Plan>.



The FTIP is derived from projects included in the RTP, transit development plans, the Regional Transportation Improvement Program (RTIP), the State Transportation Improvement Program (STIP), the State Highway Operation and Protection Program (SHOPP), and various local assistance-related planning processes—all of which involve extensive public outreach including agency agendas, public hearings, working groups, and advertised comment periods.

Environmental Justice

Executive Order 12898 of February 11, 1994 requires that agencies receiving federal dollars shall, to the greatest extent possible, administer and implement their programs, policies, and activities that affect human health or the environment so as to avoid “disproportionately high and adverse human health or environmental effects” on minority and low-income populations. SRTA, and its partner agencies, address Environmental Justice (EJ) throughout the transportation planning and programming process—primarily through public outreach and interagency consultation. The public participation process outlined in the SRTA Participation and Partnership Plan (Title VI), as discussed above, is followed in the development of SRTA plans and programs, affording all segments of the population opportunity to provide input on the development of them.

Status of Projects from the 2023 FTIP

The 2023 FTIP, which began in the 2022/23 federal fiscal year (FFY), included a number of transportation projects and programs that have been authorized, obligated and constructed/implemented. Those projects or project phases that are not expected to be obligated by March 1, 2024, are included in this 2025 FTIP. Previously obligated projects for the last three federal fiscal years for which information was available are included in Appendix E.

Exchange of Federal Funds for State-Only Funds

As the urbanized population of the Shasta region is under 200,000, SRTA is eligible to participate in a Caltrans program to exchange federal Regional Surface Transportation Program (RSTP) funds for state funds. Exchanging federal funds for state dollars allows greater flexibility on smaller-scale street and road projects, and projects under Article 19 of the State Constitution. Another example of a federal grant source many times offered by using state funds for projects under one million dollars is the Active Transportation Program.

Amendment and Project Selection Procedures

Under Section 2 - Amendment and Project Selection Procedures of this FTIP, procedures for the amendment of the FTIP, as well as procedures for the selection and priority of projects, are outlined. This section discusses both administrative modifications and formal amendments, and also includes discussion of Expedited Project Selection Procedures (EPSP).

Action Element

As discussed in Section 3 - Action Element, the FTIP is organized by projects listed either individually as line items or combined into grouped project listings of similar air quality conformity exempt projects. Each entry includes a summary of the transportation expenditures by fund type. Identified projects have been produced in the California Transportation Improvement Program System (CTIPS) database (Appendix A).

These projects are to be implemented by the cities, county, Caltrans, RABA and other public and private agencies that maintain, operate, and improve the transportation system in the Shasta region. Appendix B includes specific project information in tables for grouped project listings from Appendix A.

Financial Element

As discussed in Section 4 - Financial Element, the FTIP Financial Element (Appendix F) is a four-year summary of transportation expenditures and revenues for Shasta County beginning in the 2024/25 federal fiscal year (FFY). Based on the program revenues and expenditures for the applicable FTIP funding programs, Shasta County's anticipated federal fund expenditures match, or are under, the anticipated revenues—thus satisfying the requirements for the FTIP to be financially constrained. Where required, local matching funds are identified and included in the total cost of the program.

Section 2 – Amendment and Project Selection Procedures

Delegated Authority for FTIP Administrative Modifications and Formal Amendments

In the event that a project in the FTIP needs to be modified, such as to include a change in description, scope, funding or schedule, or to add a new project, SRTA has developed a process that will be used for both formal and administrative amendments consistent with standard Caltrans amendment procedures.

Administrative Modifications: The 2025 FTIP includes a project amendment procedure to allow streamlined amendments if changes are sufficiently minor to qualify as an administrative modification under standard Caltrans amendment procedures. In this case, the amendment may be processed through an administrative modification, in lieu of a formal amendment. During the past 2023 FTIP cycle, the Caltrans amendment procedures were further modified to allow all grouped project listing's amendments, regardless of programming size or substance, to use administrative modification procedures, as long as the grouped project listings do not include Federal Transit Administration formula funds.

On January 9, 2012, SRTA received delegated authority by Caltrans to approve administrative modifications to the Federal State Transportation Improvement Program (FSTIP) (Appendix G). As the SRTA Board of Directors previously provided delegation authority to the executive director for these administrative modifications, the Caltrans delegation effectively gives the SRTA executive director authority to approve administrative modifications, allowing for faster programming and project delivery for minor project changes.

Formal Amendments: In addition to administrative modifications, the SRTA executive director is able to approve formal amendments through authority delegated by the SRTA Board of Directors. Upon approval of the formal amendment, the executive director forwards the amendment to Caltrans for review and recommendation of approval to the U.S. Department of

Transportation. This authority was delegated to the SRTA executive director December 10, 2013 through Resolution 13-14 (Appendix H). The criteria under which the SRTA executive director may approve formal amendments are:

1. Programming revisions will be consistent with federal and state allocations, and prior SRTA actions;
2. Federal and state FTIP requirements will continue to be followed, as well as SRTA's public participation process; and
3. The executive director will postpone and refer to the SRTA Board of Directors any amendment where such a request is made during the public participation process.

Amendment Procedures: New Projects

Proponent agencies may propose new projects for inclusion in the FTIP after the document is adopted. In many cases, these new projects are minor safety, emergency, or maintenance projects for which funds can be made available after FTIP adoption. SRTA uses the following procedures to amend such projects, as necessary, into the FTIP:

- The proponent agency will notify the FTIP coordinator of the need to include the new project in the FTIP. This notification shall include the project description, component costs by year, proposed funding source, and anticipated date of construction advertisement or contract execution.
- SRTA shall determine if the proponent agency's request to add a project to the FTIP is fiscally constrained. If so, SRTA would either:
 - Administratively modify the FTIP to add or adjust projects that meet the administrative modification requirements; or
 - Prepare a formal amendment to the FTIP for projects:
 - whose cost change exceeds 40% of the total project cost (or \$10 million) for individually-listed projects;
 - whose scope has changed; or

RESOLUTION



RESOLUTION NUMBER:	13-14
SUBJECT:	Delegation of Authority to Executive Director to Approve Federal Transportation Improvement Program (FTIP) Formal Amendments

WHEREAS, the Shasta Regional Transportation Agency (SRTA) has been designated as the Metropolitan Planning Organization (MPO) for Shasta County pursuant to U.S.C. Section 134(a) and (g); and

WHEREAS, Title 23 Code of Federal Regulations, Part 450 requires the preparation and adoption of a four-year Federal Transportation Improvement Program (FTIP) by the MPO to become part of the Federal-Statewide Transportation Improvement Program (FTIP); and

WHEREAS, in order to be consistent with the State Transportation Improvement Program (STIP), the FTIP must be updated every two years; and

WHEREAS, SRTA is responsible for preparing and processing administrative modifications and formal amendments to the FTIP; and

WHEREAS, SRTA's governing board of directors may delegate approval of formal amendments to the FTIP to the executive director of SRTA; and

WHEREAS, the meeting schedule of the SRTA Board of Directors can make it difficult to bring forward formal FTIP amendments in a timely manner.

NOW, THEREFORE, BE IT RESOLVED that the Shasta Regional Transportation Agency delegates authority from the SRTA Board of Directors to the executive director to approve formal amendments to the FTIP under the following criteria:

1. Programming revisions will be consistent with federal and state allocations, and prior SRTA actions;
2. Federal and state FTIP requirements would continue to be followed, as well as SRTA's public participation process; and
3. The executive director will postpone and refer to the SRTA Board of Directors any amendment where such a request is made during the public participation process.

PASSED AND ADOPTED this 10th day of December, 2013, by the Shasta Regional Transportation Agency.


Greg Watkins, Chair
Shasta Regional Transportation Agency

- that are a new addition to the FTIP, either stand-alone or grouped project listing.

Administrative Modification and Formal Amendment Procedures

To change the description, funding, and schedule information for projects in the FTIP, SRTA uses the following procedures:

- Agencies notify SRTA in writing (email acceptable) of the need to modify or amend a project in the FTIP. This notification shall indicate the changes needed in the project description, estimated cost, proposed funding sources, anticipated date of construction advertisement, or the anticipated date that the project will be open to the public.
- The SRTA FTIP coordinator will either administratively modify or formally amend the FTIP to make project changes that meet financial constraint as well as to substitute one funding source for another.
- The SRTA FTIP coordinator will ensure that modifications and/or amendments are posted to the SRTA website for access by the board of directors, agency members, partner agencies and the public.

Project Selection Procedures and Project Priorities

The FTIP identifies projects to be implemented using Title 23 and 49 transportation funds. Within the FTIP, projects that are identified in the first year constitute an “agreed to” list of projects for project selection purposes and no further project selection action is required by the SRTA. If, however, Caltrans or local agencies wish to proceed with a project earlier than the year it is programmed in the FTIP, federal planning regulations require that a project selection procedure be developed and used to advance projects that are ready to proceed earlier than anticipated.

The following priorities are established for projects that are shown in the 2025 FTIP:

- All projects shown in federal fiscal year (FFY) 2024/25 in the FTIP Action Element shall have first priority.
- All projects shown in FFY 2025/26 in the FTIP Action Element shall have second priority.
- All projects shown in FFY 2026/27 in the FTIP Action Element shall have third priority.
- All projects shown in FFY 2027/28 in the FTIP Action Element shall have fourth priority.

SRTA and project sponsors shall work to implement projects in the 2025 FTIP in the priority order shown and will advance additional projects as financial resources allow.

Expedited Project Selection Procedures (EPSP)

Title 23 CFR Part 450 allows for the movement of projects within the four-year element of the FTIP, subject to procedures agreed to by the cooperating agencies. This section is to certify that SRTA, as the MPO, has a process in place consistent with Expedited Project Selection Procedures.

Projects from the first four years of the 2025 FTIP have been selected using the approved project selection procedures. SRTA and the state have selected all of the projects in the first four years of the FTIP based on the project selection procedures below. It has been agreed by all member agencies represented by SRTA and Caltrans per 23 CFR 450.330(c) that all projects within the first four years of the FTIP may be advanced within the four-year element of the Federal Statewide Transportation Improvement Program (FSTIP) subject to the conditions of EPSP outlined below.

Title 23: Highways

PART 450—PLANNING ASSISTANCE AND STANDARDS

Subpart C—Metropolitan Transportation Planning and Programming

§450.330 Project selection from the TIP.

(a) Once a TIP that meets the requirements of 23 U.S.C. 134(j), 49 U.S.C. 5303(j), and §450.324 has been developed and approved, the first year of the TIP shall constitute an “agreed to” list of projects for project selection purposes and no further project selection action is required for the implementing agency to proceed with projects, except where the appropriated Federal funds available to the metropolitan planning area are significantly less than the authorized amounts or where there are significant shifting of projects between years. In this case, a revised “agreed to” list of projects shall be jointly developed by the MPO, the State, and the public transportation operator(s) if requested by the MPO, the State, or the public transportation operator(s). If the State or public transportation operator(s) wishes to proceed with a project in the second, third, or fourth year of the TIP, the specific project selection procedures stated in paragraphs (b) and (c) of this section must be used unless the MPO, the State, and the public transportation operator(s) jointly develop expedited project selection procedures to provide for the advancement of projects from the second, third, or fourth years of the TIP.

(b) In metropolitan areas not designated as TMAs, projects to be implemented using title 23 U.S.C. funds (other than Federal Lands Highway program projects) or funds under title 49 U.S.C. Chapter 53, shall be selected by the State and/or the public transportation operator(s), in cooperation with the MPO from the approved metropolitan TIP. Federal Lands Highway program projects shall be selected in accordance with procedures developed pursuant to 23 U.S.C. 204.

(c) In areas designated as TMAs, all 23 U.S.C. and 49 U.S.C. Chapter 53 funded projects (excluding projects on the National Highway System (NHS) and projects funded under the Bridge, Interstate Maintenance, and Federal Lands Highway programs) shall be selected by the MPO in consultation with the State and public transportation operator(s) from the approved TIP and in accordance with the priorities in the approved TIP. Projects on the NHS and projects funded under the Bridge and Interstate Maintenance programs shall be selected by the State in cooperation with the MPO, from the approved TIP. Federal Lands Highway program projects shall be selected in accordance with procedures developed pursuant to 23 U.S.C. 204.

(d) Except as provided in §450.324(c) and §450.328(f), projects not included in the federally approved STIP shall not be eligible for funding with funds under title 23 U.S.C. or 49 U.S.C. Chapter 53.

(e) In nonattainment and maintenance areas, priority shall be given to the timely implementation of TCMs contained in the applicable SIP in accordance with the EPA transportation conformity regulations (40 CFR part 93).

The projects within the State Transportation Improvement Program (STIP) may be advanced subject to STIP amendments approved by the California Transportation Commission (CTC). SRTA and Caltrans agree that the State Highway Operation and Protection Program (SHOPP) program manager may advance or delay projects within the four-year element of the FTIP without amendment to the FTIP/FSTIP, subject to notification to SRTA. Caltrans Division of Local Assistance has implemented a project selection process to produce a four-year FTIP listing of projects for the Highway Bridge Program (HBP). This process was developed in cooperation with the implementing agencies, FHWA, MPOs, and the HBP advisory committee. SRTA and Caltrans agree that the Caltrans Division of Local Assistance may move projects on the HBP list within the four-year cycle without amending the FTIP/FSTIP subject to consultation with the implementing agencies and SRTA.

Advancement and Selection Procedures

To advance projects shown in the later years of the FTIP into earlier years, SRTA uses the following procedures:

- Project sponsors will notify the SRTA FTIP coordinator of the need to advance a project or project component that is identified in the 2025 FTIP.
- The SRTA FTIP coordinator will determine if sufficient financial capacity exists in the FTIP to advance the project into an earlier year. If sufficient financial capacity does not exist, the SRTA FTIP coordinator shall give the project sponsor the opportunity to identify a project listed in the FTIP within its jurisdiction that may be delayed to allow the other project to advance. SRTA will also survey other jurisdictions to determine any project delays warranting a delay in programming which could allow advancement of the project. If such substitution is not possible, then the SRTA FTIP coordinator shall advise the project sponsor that the project advancement is not feasible.
- If sufficient financial capacity does exist, the SRTA FTIP coordinator shall make changes to the 2025 FTIP to advance projects that meet financial constraint. EPSP allow for the movement of projects within the four-year element of the FTIP. The following state-managed programs may be managed with EPSP: all transit programs; SHOPP; Highway Bridge Program (HBP); Active Transportation Program (ATP); Safe Routes to School (SRTS); Highway-Railroad Grade Separation Program; High Risk Rural Roads Program (HR3); Minor Program; and the Highway Safety Improvement Program (HSIP). The following MPO-managed programs may be managed with EPSP: STIP; FTA; Regional Surface Transportation Program (RSTP); and any other applicable program.
- Consultation for transit projects will be coordinated with RABA and/or FTA before the implementation of EPSP procedures.

Section 3 – Action Element: Project and Grouped Project Listings

The tables in Appendix A have been developed using CTIPS, representing programming for the FTIP period FFYs 2024/25 through 2027/28. Projects are listed either individually or in groups. Grouped projects are non-capacity increasing and categorized by project type such as bridge replacement, adding shoulders or bike lanes, state highway maintenance or operations, safety improvements, and transit activities. Support tables for grouped project listings are included as Appendix B.



Funding is shown by fund type, phase and year. Project phases are preliminary engineering (PE), including environmental review, right of way (ROW), and construction (CON). Certain projects, such as transit operations, purchase of vehicles, and grouped listings, show construction dollars only. This is because many projects do not require PE or ROW and, in the case of grouped projects, PE and ROW funding needs are sporadic and varied due to multiple projects.

Section 4 – Financial Element

Financial Plans and Financial Constraint

A financial plan is the mechanism for demonstrating financial constraint in the metropolitan transportation planning and programming process. Financial constraint means that there will be sufficient funds to implement proposed improvements, and to operate and maintain the entire system, by comparing costs with available financial resources. Federal agencies are responsible for making a financial constraint determination of the Federal State Transportation Improvement Program (FSTIP), while the state and MPOs are required to demonstrate financial constraint at the FTIP level.

Financial constraint of this FTIP is demonstrated in Appendix F with separate tables delineating “Revenue” (Table 1), followed by “Programmed” (Table 2), and then a comparison of “Revenues vs. Programmed” (Table 3). These tables summarize the revenues anticipated over the four-year period versus a summary of projects programmed. Specific project funding information may be found in the CTIPS project printouts in Appendix A.

FTIP Programming Fund Sources

[State Transportation Improvement Program \(STIP\)](#): The CTC developed the 2024 STIP Fund Estimate to define the financial capacity to program STIP projects utilizing state and federal funds.

STIP projects include Regional Improvement Program (RIP) projects selected by SRTA and Interregional Improvement Program (IIP) projects selected by Caltrans and the CTC. The STIP is a five-year program and is fiscally constrained by year on a statewide basis.

There are currently no IIP funds committed to STIP projects within the Shasta region. For the four-year FTIP cycle 2024/25—2027/28, the programming amounts for the region are:

STIP: RIP Total	\$15,829,000
STIP: IIP Total	\$0

[Road Repair and Accountability Act of 2017 – SB 1](#): Passed by the California Legislature and signed by the governor in 2017, Senate Bill 1 provides new revenues and programs to various projects throughout the state. SRTA received a \$65.7 million award under the Trade Corridor Enhancement Program (TCEP) SB 1 funding for the Interstate 5 – Redding to Anderson Six-Lane (I-5 RASL) Project in May 2018. This project is well underway, and no FTIP listing is needed for the project as the funds have been fully obligated. SRTA and Caltrans, District 2 received a \$1.873 million TCEP award in December 2020 for the Interstate 5 – Fix 5 Cascade Gateway Project just north of Redding for engineering and right of way support and were awarded \$71 million in TCEP funds in 2022 for construction of the project.

TCEP: State Share	Subject to change from 2023 FTIP Amendment 7
TCEP: Local Share	

[State Highway Operation and Protection Program \(SHOPP\)](#): Caltrans provided SRTA with programmed funding for the four-year period of the FTIP through the 2024 SHOPP. The funding programmed by Caltrans is shown throughout the FTIP as various lump sum listings, since the work performed under the SHOPP is non-capacity increasing—involving maintenance and operation of the state highway system. Available SHOPP funds total:

SHOPP	Will be updated following federal approval of Amendment 7 to the 2023 FTIP
-------	---

[Federal Transit Administration \(FTA\)](#): SRTA, in coordination with Caltrans, and RABA—the administrator for the public mass transit system in Shasta County—is responsible for preparing the projected funding to be used in the FTIP. For the purposes of the FTIP, SRTA and RABA are using historical trends as the basis for estimating FTA capital and operating funds. The following figures (based on formula funds and estimates) are anticipated to be available for the four-year period of the FTIP:

Section 5307 – Urbanized Area Formula	\$4,000,000
---------------------------------------	-------------

Section 5310 – Mobility of Seniors and Individuals with Disabilities	\$1,724,000
Section 5311 – Rural Area Formula	\$464,000
Section 5311(f) – Intercity Bus Program	Unknown, as awards are discretionary
Section 5339 – Bus and Bus Facilities Formula	\$0
Section 5339 (c) – Low or No Emission Vehicle	\$7,031,000
Total FTA	\$13,219,000

Section 5307 funds are based on historical trends for RABA's operating and capital improvement program. As FTA Section 5310 funds are competitive, SRTA has not made a projection for receipt. Section 5311 apportionments have been relatively stable, and all of these funds are reasonably expected to be available for transit operations or other transit-related activities in rural areas. Section 5311(f) funds are competitively awarded for intercity bus service. Section 5339 funds are formula funds apportioned through Caltrans. Section 5339(c) funds are discretionary; although there was a recent award of this fund source, no funds are currently programmed in the 2025 FTIP. The FTIP does not include all Corona Virus Aid, Relief and Economic Security (CARES) Act funding, or other potential COVID-19 relief funds, as the funds are not required to be FTIP-listed.

Highway Bridge Program (HBP): HBP funds are not apportioned to regions and, as such, Caltrans develops a constrained statewide list of local HBP projects for each four-year cycle. Consistent with this listing, local lump sum projects in Shasta County are programmed in the FTIP totaling:

HBP – Local	\$12,848,000
-------------	--------------

Federal Highway Non-Discretionary Programs: Projects of this type fall can include grant awards from the following programs: High Risk Rural Roads (HR3), the Highway Safety Improvement Program (HSIP), and the Highway Improvement Program (HIP). The following figures are estimated to be available for the four-year period of the FTIP:

HRRR (HR3) – not currently included in FTIP	\$0
HSIP	\$925,000
Highway Improvement Program (HIP)	\$0

Active Transportation Program (ATP): On September 26, 2013, Governor Jerry Brown signed legislation creating the Active Transportation Program (ATP) in the Department of Transportation. The ATP consolidated existing federal and state transportation programs, including the Transportation Alternatives Program (TAP), Bicycle Transportation Account (BTA), and State Safe Routes to School (SR2S), into a single program with a focus to encourage increased use of active modes of transportation.

ATP	\$8,867,000
-----	-------------

Non-Federal Revenues: The purpose of identifying these revenue sources is to document the funding that will be used as the match requirement for the federal funds identified above.

Some local agencies use TDA (specifically Local Transportation Funds) for “advance construction” whereby local funds are used in advance of federal obligation and reimbursement to occur at a later date. Programmed non-federal funds are as follows:

Transit Fare Revenue	\$1,963,000
State Bond Funds for Transit	\$
Low Carbon Transit Operations (LCTOP)	\$617,000
Public Transportation Account (PTA)	\$0
Transportation Development Act (TDA)	\$11,376,000
State Rail Assistance “Bus	\$470,000
Non-Federal Total	\$49,706,000

The non-federal funds sources above represent only the amounts contributing to projects listed in the FTIP and not all non-federal funding sources are available. Additional sources of non-federal revenue available for transportation projects in Shasta may be found in Table 1: Revenue, of Appendix F.

Financial Guidelines to Identify Cost to Maintain and Operate the System of Federal Aid Facilities

Federal regulations require the FTIP to identify costs for maintaining and operating the system of federal-aid facilities. SRTA’s basis for the calculations of operations and maintenance needs, analysis of revenues dedicated for projects included in the FTIP, and plans to address shortfalls are described in the following section.

Operations and Maintenance Costs:

In the financial element of SRTA’s 2022 RTP, unfunded or deferred maintenance for the region is summarized in [Table 28](#). The unfunded total represents the local needs for roadway networks in the region and uses a 1.012 growth factor.

Table 28 - Summary of Projects: Anderson Capacity

Project Number	REGIONAL TRANSPORTATION PROJECTS	SHORT TERM TOTAL EST COST OF PROJECT	LONG TERM TOTAL EST COST OF PROJECT	PROJECT BAND	PROJECT TYPE (PROJECT INTENT)	EXPECTED FUNDING SOURCES
1	Gateway Drive - Balls Ferry to Deschutes - construct 2 lane road	\$6,500,000		(2016-2025)	New Facility	Local/Other
	Total Short Term Fundable =	\$6,500,000				
2	Auto Mall - Extend to North Street - Extension		\$4,864,000	(2026-2035)	Capacity Increase	Local/Other
3	McMurray Drive - North of Ganyon Drive - Widening		\$640,000	(2026-2035)	Capacity Increase	Unfunded or Developer
4	Gateway Drive - From Balls Ferry South - Widening		\$1,528,000	(2026-2035)	New Facility	Unfunded or Developer
5	East Street - North of Willow Glen Dr. - Extension		\$2,128,000	(2026-2035)	New Facility	Unfunded or Developer
6	Pleasant Hills SR 273 Vineyards - construct 2 lane road extension		\$4,255,000	(2026-2035)	New Facility	Unfunded or Developer
7	Rhonda Road - Factory Outlets Drive to Pleasant Hills - Intersection Reconstruction		\$2,927,000	(2026-2035)	New Facility	Unfunded or Developer
8	Anderson Hills Parkway -W of Pleasant Hills - Construct 4 lane road		\$6,375,000	(2026-2035)	New Facility	Unfunded or Developer
9	Anderson Hills Parkway Pleasant to Rhonda - Construct 4 lane road		\$3,840,000	(2026-2035)	New Facility	Unfunded or Developer
10	Anderson Hills Parkway - Rhonda to Locust - Construct 4 lane road		\$3,404,000	(2026-2035)	New Facility	Unfunded or Developer
11	Emily Drive - Widening		\$945,000	(2026-2035)	Capacity Increase	Unfunded or Developer
12	Ox Yoke Rd. - SR 273 to Riverside Av - Widening to 5 lanes		\$2,560,000	(2026-2035)	Capacity Increase	Unfunded or Developer
13	Riverside Avenue - Ox Yoke to North St. - Widening to 5 lanes		\$8,961,000	(2026-2035)	Capacity Increase	Unfunded or Developer
14	Balls Ferry Rd. - From Stingy Lane to the City Limits - Widening		\$1,528,000	(2026-2035)	Capacity Increase	Unfunded or Developer
15	South Street - SR 273 west to City Limits - Widening		\$4,800,000	(2026-2035)	Capacity Increase	Unfunded or Developer
16	Stingy Lane - North St. to Balls Ferry - Widening		\$17,281,000	(2026-2035)	Capacity Increase	Unfunded or Developer
17	Gateway Drive - From Existing Improvements to Deschutes - Widen		\$7,196,000	(2026-2035)	New Facility	Unfunded or Developer
18	Fairgrounds Drive - 1st St. to 3rd St. -Widening		\$1,408,000	(2026-2035)	Capacity Increase	Unfunded or Developer
19	Third Street - SR 273 to Fairgrounds Dr. - Widening		\$2,304,000	(2026-2035)	Capacity Increase	Unfunded or Developer
20	South County Extension - Ronda Rd to Anderson Hills - Extension		\$7,040,000	(2026-2035)	New Facility	Unfunded or Developer
	Total Long Term Fundable Needs =		\$4,864,000			

DESCRIPTION	Short (2016-2025)	Long (2026-2035)	Total
Funding Needed By Short and Long Range Bands	\$6,500,000	\$83,984,000	\$90,484,000
Recap of Expected/Estimated/Unknown Resources			
Local/Other =	\$6,500,000	\$4,864,000	\$11,364,000
Total Funding Reasonably Available =	\$6,500,000	\$4,864,000	\$11,364,000
Total Unfunded Needs (or Short Term Carryover) =	\$-	\$(79,120,000)	\$(79,120,000)

Note 1 : Green highlighted projects above can be funded in the constrained funding analysis

Note 2 : Un-highlighted projects above cannot be funded. New funding sources will need to be identified or improvement will be developer funded.

Note 3 : Long term projects are escalated by 2.5%

Figure 3
Federal Aid Facilities Maintenance and Rehabilitation Expense and Unfunded or Deferred Maintenance

Jurisdiction	Estimated Current Total Maintenance/Rehab	Estimated Current Year Available Funding for Maintenance/Rehab	Estimated Unfunded or Deferred Maintenance
Anderson	\$ 4,629,070	\$ 498,000	\$ 4,131,070
Redding	\$ 35,000,000	\$ 4,800,000	\$ 30,200,000
Shasta Lake	\$ 17,459,036	\$ 470,000	\$ 16,989,036
Shasta County	\$ 168,458,532	\$ 7,828,000	\$ 160,630,532
Total	\$ 225,546,638	\$13,596,000	\$ 211,950,638

Shasta County utilizes a Caltrans-maintained Pavement Management System (PMS) as a guide to determine where best to apply its maintenance dollars for resurfacing and overlays. The county generally focuses its overlays on major roads, with slurry, chip and cape seals primarily used for minor and residential roads. The county has also used asphalt rubber slurry seals and may try using asphalt rubber chip seals to improve durability at higher elevations where winter snow is prevalent.

Caltrans reported for SRTA's 2022 RTP that SHOPP-related project needs total approximately \$911.8 million. The 2025 FTIP has \$246.0 million SHOPP funds programmed so far.

Local bridge construction needs total about \$184.198 million in the RTP's twenty-year horizon. For the short term, the current HBP programming for the four FTIP years is \$5,445,000 — a minute amount of the projected 20-year need.

With a finding of no unmet transit needs, or a finding of unmet needs that are unreasonable to meet, Transportation Development Act (TDA) funding provides for other uses, including roadway maintenance in the cities and county. Approximately 71% (\$9.993 million) of the TDA estimated to come to the Shasta region (\$14.086 million) in 2022/23 was projected for streets and roads purposes. The largest recipient of that amount is the city of Redding (\$5.08 million), with an expectation that about \$3.7 million will be available for county streets and roads.

Efficient operation and maintenance of federal aid routes in other regions are often aided by sales tax measures that are earmarked for improvements to the streets and highway systems, and/or improving public transit and maintenance. Currently, passage of this type of measure in Shasta County is unlikely. SRTA has previously hosted a transportation forum and invited subject matter experts to present the pros and cons of self-help funded strategies. While the message was heard by the region's decision makers, support for new taxes is hard to gain. SRTA has set a policy to support state and federal levels to establish a broader funding base beyond fuel taxes to fund transportation. The passage of Senate Bill 1 – The Road Repair and

Accountability Act of 2017 by the California State Legislature has replenished transportation planning and capital improvements with the increase in the state excise tax on gasoline and diesel, and the increase to vehicle registration fees. This legislation included a pot of funds specifically for jurisdictions that had adopted their own self-help funding.

Financial Uncertainties

This FTIP is based on reasonably anticipated revenue sources identified above. However, it is important to note that events such as economic downturns, disasters or other unforeseen events can shift funding away from transportation. Federal appropriations are conducted annually, as is California's annual budget. The figures in the 2025 FTIP represent the best available information from the 2022 RTP and current programming information in the 2025 FTIP.

The FTIP is a living document that can, or may, be amended by the SRTA executive director or board of directors at any time. This flexibility is essential for the ability to deliver projects in a timely manner or to modify projects, as necessary, while keeping the public informed of these actions.

Final Escalation for Revenue and Cost

23 CFR Section 450.324(h) requires an inflation rate to reflect "year of expenditure dollars". Year of expenditure dollars are subsumed into the project expenses for STIP, SHOPP, and other Caltrans-generated projects in the FTIP. To be conservative, most locally- and state-generated funds are held constant over the four active years of the FTIP; there are some exceptions like for assumptions of passenger fare revenue within the Redding Area Bus Authority's Capital Plan and for the region's Transportation Development Act funds.

Appendix A

California Transportation Improvement Program System (CTIPS) Project Printouts

DRAFT

2025 Shasta FTIP – Appendix A FY 2024/25 to FY 2027/28

	CTIPS#	Project	Total 4-Year Programming (x \$1000) in FY24/25- FY27/28	Total Project Cost (x \$1000)	Local Funds	State Funds	Federal Funds		
	211-0000-0098	GP for SHOPP Mandates Program	\$ -	\$ 18,542	\$ -	\$ -	\$ -	\$ 10,101	
	211-0000-0108	GP for Safety Improvements, Shoulder Improvements, Pavement Resurfacing	\$ -	\$ 26,363	\$ -	\$ -	\$ -		
	211-0000-0124	GP for SHOPP Emergency Response Program	\$ -	\$ 1,512	\$ -	\$ -	\$ -		
	211-0000-0058	RABA Passenger Loading Facilities	\$ -	\$ 2,184	\$ -	\$ -	\$ -		
	211-0000-0093	GP for Safety - HSIP	\$ 925	\$ 31,212	\$ -	\$ -	\$ 925		
	211-0000-0060	RABA Transit Capital	\$ 380	\$ 2,072	\$ 380	\$ -	\$ -		
	211-0000-0059	RABA Capital Vehicles (Fare Revenue)	\$ 8,796	\$ 24,710	\$ -	\$ 1,241	\$ 7,555		
	Total					\$ 380	\$ 1,241	\$ 8,480	
	Pending Federal Approval of Amendment 7 to the 2023 FTIP	211-0000-0115	GP for Bicycle and Pedestrian Facilities in Shasta County	\$ 19,359	\$ 51,049	\$ 398	\$ 4,050	\$ 14,911	\$ 449,017
		211-0000-0269	Fix 5 Cascade Gateway	\$ 75,480	\$ 81,811	\$ -	\$ 5,081	\$ 70,399	
211-0000-0119		GP for Safety- SHOPP Mobility	\$ 19,645	\$ 31,605	\$ -	\$ 19,645	\$ -		
211-0000-0057		GP for Operating Assistance to Transit Agencies	\$ 28,194	\$ 132,438	\$ 17,502	\$ 157	\$ 10,535		
211-0000-0083		GP for Bridge Rehabilitation- HBP	\$ 31,340	\$ 84,701	\$ 31,340	\$ -	\$ -		
211-0000-0074		GP for Bridge Rehabilitation and Reconstruction - SHOPP	\$ 18,777	\$ 126,910	\$ -	\$ 18,777	\$ -		
211-0000-0075		GP for Safety - SHOPP Collision Reduction	\$ 44,325	\$ 146,393	\$ -	\$ 44,325	\$ -		
211-0000-0121		GP for Railroad & Highway Crossing	\$ 40	\$ 13,481	\$ 40	\$ -	\$ -		
211-0000-0078		GP for Shoulder Improvements	\$ 211,179	\$ 419,851	\$ -	\$ 211,179	\$ -		
211-0000-0114		Planning, Programming, and Monitoring (PPM)	\$ 678	\$ 4,333	\$ -	\$ 678	\$ -		
Total in DRAFT 2025 FTIP (incl. Amd 7 to '23 FTIP)			\$ 459,118	\$ 1,199,167	\$ 49,280	\$ 303,892	\$ 95,845		
Total funding for both FTIPs					\$ 49,660	\$ 305,133	\$ 104,325	\$ 459,118	

Appendix B

Grouped Project Listings

DRAFT

Shasta County RTPA - Federal Transportation Improvement Program (Dollars in Whole) Transit System

DIST: 02	PPNO:	EA:	CTIPS ID: 211-0000-0058	TITLE (DESCRIPTION): RABA Passenger Loading Facilities (RABA capital improvements to transit passenger loading facilities.)	MPO Aprv: State Aprv: Federal Aprv:
CT PROJECT ID:			MPO ID.: TRAN-PSGR		
COUNTY: Shasta County	ROUTE:	PM:			EPA TABLE II or III EXEMPT CATEGORY Construction of small passenger shelters.

IMPLEMENTING AGENCY: Redding Area Bus Authority
PROJECT MANAGER: Yujin Copland

PHONE: (530) 225-4170

EMAIL: ycopland@cityofredding.org

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

Version	Status	Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	2,184,000		

* FTA Funds -

* Fund Source 1 of 3

* Fund Type: FTA5307 - Urbanized Area Formula Program

* Funding Agency: Redding Area Bus Authority

	<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
PE									
RW									
CON	679,000								679,000
Total:	679,000								679,000

* Local Funds -

* Fund Source 2 of 3

* Fund Type: TDA

* Funding Agency: Shasta County RTPA

	<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
PE									
RW									
CON	43,000								43,000
Total:	43,000								43,000

* State Bond -

* Fund Source 3 of 3

* Fund Type: Public Transportation Modernization Improvement

* Funding Agency: Redding Area Bus Authority

	<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
PE									
RW									
CON	1,462,000								1,462,000
Total:	1,462,000								1,462,000

Project Total:

	<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
PE									
RW									
CON	2,184,000								2,184,000
Total:	2,184,000								2,184,000

Comments:

***** Version 1 - 06/04/24 *****

Project data transferred from 2022 FTIP.

***** Version 1 - 07/22/22 *****

Project data transferred from 2020 FTIP.

***** Version 12 - 05/13/2021 *****

Formal Amendment #1 programs to RABA-approved pax loading facilities programming dated 4-28-2021 per email from YCopland.

***** Version 1 - 12/10/20 *****

Project data transferred from 2018 FTIP through Admin Mod 13, and amended with RABA June 2020 budget. 2018 RTP Goals 3 and 4.

***** Version 10 - 04/16/2020 *****

Per RABA approved 04-20-2020 budget. Alters match from TDA to Prop 1B.

***** Version 1 - 05/09/18 *****

Project data transferred from 2016 FTIP. Updated figures from RABA 4-Year TIP - April 2018. Informational year 2022-23 added from RABA long-term capital plan.

***** Version 8 - 07/26/2017 *****

Programming update of FTA 5307 funding per RABA June 2017 budget adoption.

***** Version 1 - 05/03/16 *****

Project data transferred from 2014 FTIP. FY 16/17 PTMISEA programming dropped and FYs 18/19 and 19/20 FTA 5307-funded programming added per RABA June 2016 CIP.

***** DFTIP Version 2 - 09/02/2014 *****

Based on RABA 6-16-2014 approved CIP, per CAUkland.

***** Version 1 - 05/12/14 *****

Project data transferred from 2012 FTIP. 14/15 information from RABA 14-15 estimated budget.

NOTE: RTP ID = 4-1,2,13

Shasta County RTPA - Federal Transportation Improvement Program (Dollars in Whole) Transit System

DIST: 02	PPNO:	EA:	CTIPS ID: 211-0000-0059	TITLE (DESCRIPTION): RABA Capital Vehicles (Fare Revenue) (Purchase of new buses to replace existing fare revenue buses/vehicles or for minor expansions to the fleet.)	MPO Aprv: State Aprv: Federal Aprv:
CT PROJECT ID:			MPO ID.: TRAN-VEH		
COUNTY: Shasta County	ROUTE:	PM:			EPA TABLE II or III EXEMPT CATEGORY Purchase new buses and rail cars to replace exist.

IMPLEMENTING AGENCY: Redding Area Bus Authority
PROJECT MANAGER: Yujin Copland

PHONE: (530) 225-4170

EMAIL: ycopland@cityofredding.org

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

Version	Status	Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	24,710,000		

* FTA Funds -

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 1 of 11	PE									
* Fund Type: FTA5307 - Urbanized Area Formula Program	RW									
	CON	9,445,000								9,445,000
* Funding Agency: Redding Area Bus Authority	Total:	9,445,000								9,445,000

* Local Funds -

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 2 of 11	PE									
* Fund Type: TDA	RW									
	CON	1,746,000								1,746,000
* Funding Agency: Shasta County RTPA	Total:	1,746,000								1,746,000

* State Bond -

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 3 of 11	PE									
* Fund Type: Public Transportation Modernization Improvement	RW									
	CON	1,788,000								1,788,000
* Funding Agency: Redding Area Bus Authority	Total:	1,788,000								1,788,000

* FTA Funds -

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 4 of 11	PE									
* Fund Type: Bus and Bus Facilities Program - FTA 5339	RW									
	CON	1,983,000								1,983,000
* Funding Agency: Redding Area Bus Authority	Total:	1,983,000								1,983,000

* FTA Funds -

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 5 of 11	PE									
* Fund Type: Low or No Emission Vehicle Program - 5339(c)	RW									
	CON	717,000	1,586,000	2,074,000	3,371,000					7,748,000
* Funding Agency: Redding Area Bus Authority	Total:	717,000	1,586,000	2,074,000	3,371,000					7,748,000

* Other State -

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 6 of 11	PE									
* Fund Type: California Heavy Duty Voucher Incentive Project (H)	RW									
	CON	105,000								105,000
* Funding Agency: Various Agencies	Total:	105,000								105,000

Shasta County RTPA - Federal Transportation Improvement Program (Dollars in Whole) Transit System

* Local Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 7 of 11	PE									
	RW									
* Fund Type: Private Funds	CON	30,000								30,000
* Funding Agency: Various Agencies	Total:	30,000								30,000
* Local Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 8 of 11	PE									
	RW									
* Fund Type: City Funds	CON	100,000								100,000
* Funding Agency: Redding, City of	Total:	100,000								100,000
* State SB1 -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 9 of 11	PE									
	RW									
* Fund Type: Road Repair and Accountability Act of 2017	CON		29,000		218,000					247,000
* Funding Agency: Redding Area Bus Authority	Total:		29,000		218,000					247,000
* Other State -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 10 of 11	PE									
	RW									
* Fund Type: Low Carbon Transit Operations Program (LCTOP)	CON		251,000	366,000	377,000					994,000
* Funding Agency: Redding Area Bus Authority	Total:		251,000	366,000	377,000					994,000
* FTA Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 11 of 11	PE									
	RW									
* Fund Type: FTA 5310 Elderly & Disabilities	CON		524,000							524,000
* Funding Agency: Redding Area Bus Authority	Total:		524,000							524,000
Project Total:		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
	PE									
	RW									
	CON	15,914,000	2,390,000	2,440,000	3,966,000					24,710,000
	Total:	15,914,000	2,390,000	2,440,000	3,966,000					24,710,000

Comments:
 ***** Version 1 - 06/04/24 *****
 Project data transferred from 2022 FTIP.
 ***** Version 20 - 12/10/2023 *****
 Formal Amd. 6 to 2023 FTIP programs \$524k in FTA 5310 funding in FY24-25 for four small vans and adds \$3.4M in FTA 5339(c) to FY26-27 (informational only) to purchase two electric buses and four all-electric vans.
 ***** Version 19 - 08/10/2023 *****
 Formal Amd. 5 to 2023 FTIP increases FTA Section 5339(a) funding in FY23-24 to \$138,000 for the installation of new farebox equipment. The amendment also increases programmed FTA Section 5339(c) funds in FY24-25 to \$1.586M to replace a 40? diesel bus w/37 seats (3 APA positions) and two gas-fueled cutaway vans (up to 16 seats) that meet minimal useful life requirements; and in FY2025-26 to \$2.074M to replace two more 40? diesel buses w/37 seats (2 APA positions) meeting useful life requirements. All these buses and vans will be replaced with new electric vehicles. Local match is programmed as \$29k (SGR) and \$251k (LCTOP) for FY24-25, and \$366k (LCTOP) for FY25-26. \$218k (SGR) and \$377k (LCTOP) are programmed (information only) for FY26-27).
 ***** Version 1 - 07/22/22 *****
 Project data transferred from 2020 FTIP.
 ***** Version 17 - 05/13/2021 *****
 Formal Amendment #1 programs to RABA-approved federal programming dated 5-14-2021 per email from YCopland. Replacement vans: Gas powered, 4 w/c and 6 seats or 2 w/c 12 seats in following quantities: 2020-21=2; 2021-22=3; 2022-23=3; and 2023-24=1.
 ***** Version 1 - 12/10/20 *****
 Project data transferred from 2018 FTIP through Admin Mod 13, and amended with RABA June 2020 budget. 2020-21: 6 20-25 cut-aways, gas-fueled, with up to 16 seats. 2021-22: 3 vehicles like 2020-21. 2022-23: 3 vehicles like 2020-21 and 2 replacement buses (low sulfur diesel, 35-foot, 32 seats with 3 ADA positions). 2023-24: Same type and quantity as 2022-23. 2018 RTP Goals 3 and 4.
 ***** Version 15 - 04/16/2020 *****
 Per RABA BOD approved 04-20-2020 budget. FTA 5307 in 2021-22 includes \$20K for revenue fare service vehicles grant administration.
 ***** Version 2 - 09/07/2018 *****
 Per AMaggiore 9-7-2018 email to match FY 2018-19 FTA 5339 programming to fund availability--an overall reduction.
 ***** Version 1 - 05/09/18 *****
 Project data transferred from 2016 FTIP. Updated figures from RABA 4-Year TIP - April 2018. FY 2018-19 vehicle quantities and specs: 1) 3 paratransit vans=gas-fueled, 20-25 foot cutaways with up to 16 seats; 2) 2 replacement Burney vans=gas-fueled, 23-foot cutaways, 16 seats; and 3) one all-electric coach--see Version 12 below. FY 2020-21 vehicle specs: 1) 2 replacement buses,-- See Specs under Version 11 below. FY 2021-22 vehicle specs: 5 paratransit vans per above specs. FY 2021-22 includes \$20K FTA 5307, no match, for grant administration.
 ***** Version 12 - 12/12/2017 *****
 Programs FY 2017 Low-No Bus award to RABA for the purchase of an all-electric Proterra, 35-foot Catalyst E2 transit bus with fast-charge bus charging station and supporting infrastructure.
 Products of CTIPS

Includes training of staff on operation and maintenance, as needed. Seats and ADA positions available upon agreement application.

***** Version 11 - 07/26/2017 *****

Replacement vehicle programming revisions per RABA June 2017 adopted budget. 2016-17 vehicle quantities and specs: 1) 1 replacement bus=low sulfur diesel, 35-foot, 32 seats with 3 ADA positions; and 2) 3 paratransit vans=gas-fueled, 20-25 foot cutaways with up to 16 seats. 2017/18 quantities and specs: 4 replacement buses per above specs. FY 2018-19 quantities and specs: 4 paratransit vans per above specs and 2 replacement Burney vans=gas-fueled, 23-foot cutaways, 16 seats. FY 2019-20 includes \$20K FTA 5307, no match, for grant administration.

***** Version 10 - 01/30/2017 *****

FFY FTA 5339 FFY 2013 and 2014 apportionments moved from "Prior" to 16/17. FTA 5339 FFY 2015 and 2016 apportionments moved from 16/17 to 17/18. \$10K of FFY 2013 and 2014 apportionment added to 17/18. 2016-17 and 2017-18 5339-funded vehicle quantities and specs: 1 each year replacement bus=low sulfur diesel, 35-foot, 32 seats with 3 ADA positions.

***** Version 1 - 05/03/16 *****

Project data transferred from 2014 FTIP. Amended with June 2016 RABA 5-year budget. Also adds FTA 5339 FY 2016/17 programming with assumed TDA 20% match. Each FY includes \$20K FTA 5307, no match, for grant administration. 2016-17 vehicle quantities and specs: 1) 1 replacement bus=low sulfur diesel, 35-foot, 32 seats with 3 ADA positions; and 2) 3 paratransit vans=gas-fueled, 20-25 foot cutaways with up to 16 seats. 2017/18 quantities and specs: 3 paratransit vans per above specs and 2 replacement Burney vans=gas-fueled, 23-foot cutaways, 16 seats. 2018-19 quantities and specs: 3 paratransit vans per above specs. 2019-20 quantities and specs: 4 paratransit vans per above specs and 2 replacement buses per above specs.

***** Version 8 - 04/02/2015 *****

Per RABA April 6, 2015 FTIP update per CAUkland for FTA 5307 application submittal. Formal Amendment No. 3 advances capital programming into earlier yrs of FTIP. 2014-15 vehicle quantities and specs: 1) 3 replacement buses = low sulfur diesel, 35-foot, 32 seats with 3 ADA positions; and 2) 3 paratransit vans = gas-fueled, 20-25 foot cutaways with up to 16 seats. 2016/17 and 2017/18 quantities and specs: 3 paratransit vans each FY per above specs. 2017-18: 2 replacement Burney vans, gas-fueled, 23-foot cutaways, 16 seats. FTA 5339- and PTMISEA-funded FY 2014-15 replacement bus--same specs as above.

***** DFTIP Version 2 - 09/04/2014 *****

Per 6-16-2014 RABA-approved CIP per CAUkland. Vehicle quantities and specs: 1) 14-15: same 2 vans as below; 2) 15-16: 3 vans = gas-fueled, 20-25 foot cutaways with up to 16 seats; 3) 16-17: 3 vans, as per above and 2 replacement buses = low sulfur diesel, 35-foot, 32 seats with 3 ADA positions; and 4) 17-18: 1 replacement bus, same as above. 3 replacement vans, same as above. 2 replacement Burney vans, gas-fueled, 23-foot cutaways, 16 seats.

***** Version 1 - 05/12/14 *****

Project data transferred from 2012 FTIP. 14/15 estimate from RABA 14/15 estimated budget. 2 gas-fueled, 20-25 foot cutaways with up to 16 seat positions.

NOTE: RTP ID = 4-1,2,13

Shasta County RTPA - Federal Transportation Improvement Program (Dollars in Whole) Transit System

DIST: 02	PPNO:	EA:	CTIPS ID: 211-0000-0060	TITLE (DESCRIPTION): RABA Transit Capital (Transit agency purchase of maintenance facility/equipment, radio/ITS communication equipment, computer equipment, security upgrades, transfer facilities, support vehicles, and miscellaneous capital.)	MPO Aprv: State Aprv: Federal Aprv:
CT PROJECT ID:			MPO ID.: TRANS-CAP		
COUNTY: Shasta County	ROUTE:	PM:			EPA TABLE II or III EXEMPT CATEGORY Purchase of equipment for existing facilities.

IMPLEMENTING AGENCY: Redding Area Bus Authority
PROJECT MANAGER: Yujin Copland

PHONE: (530) 225-4170

EMAIL: ycopland@cityofredding.org

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

Version	Status	Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	2,072,000		

* FTA Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 1 of 5	PE									
	RW									
* Fund Type: FTA5307 - Urbanized Area Formula Program	CON	697,000								697,000
	Total:	697,000								697,000

* Local Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 2 of 5	PE									
	RW									
* Fund Type: Local Transportation Funds	CON	65,000		380,000						445,000
* Funding Agency: Shasta County RTPA	Total:	65,000		380,000						445,000

* State Bond -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 3 of 5	PE									
	RW									
* Fund Type: Public Transportation Modernization Improvement	CON	566,000								566,000
* Funding Agency: Redding Area Bus Authority	Total:	566,000								566,000

* FTA Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 4 of 5	PE									
	RW									
* Fund Type: Bus and Bus Facilities Program - FTA 5339	CON	304,000								304,000
* Funding Agency:	Total:	304,000								304,000

* State SB1 -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 5 of 5	PE									
	RW									
* Fund Type: Road Repair and Accountability Act of 2017	CON	60,000								60,000
* Funding Agency: Redding Area Bus Authority	Total:	60,000								60,000

Project Total:		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
	PE									
	RW									
	CON	1,692,000		380,000						2,072,000
	Total:	1,692,000		380,000						2,072,000

Shasta County RTPA - Federal Transportation Improvement Program (Dollars in Whole) Transit System

Comments:

***** Version 1 - 06/04/24 *****

Project data transferred from 2022 FTIP.

***** Version 16 - 12/10/2023 *****

Formal Amd. 6 to 2023 FTIP programs \$240k in FTA 5339a funding and \$60k in SGR funding in FY2023-24 for an automated voice announcement system and automatic passenger counter. It also removes \$1520 in FTA5307 funding from FY2025-26.

***** Version 15 - 08/11/2023 *****

Formal Amd. 5 to 2023 FTIP eliminates FTA Section 5307 funding for fiscal years FY23-24 and FY24-25, and programs \$1.52M in FY 2025-26 for a maintenance facility expansion project. \$380k in Local Transportation Funds are also being programmed for FY2025-26 for this project.

***** Version 1 - 07/22/22 *****

Project data transferred from 2020 FTIP.

***** Version 13 - 05/13/2021 *****

Formal Amendment #1 programs to RABA-approved federal programming per email dated 5-14-2021 from YCopland.

***** Version 1 - 12/10/20 *****

Project data transferred from 2018 FTIP through Admin Mod 13, and amended with RABA June 2020 budget. 2018 RTP Goals 3 and 4.

***** Version 11 - 04/22/2020 *****

Per RABA BOD approved 04-20-2020 budget. Revises local match to Prop 1 B.

***** Version 1 - 05/09/18 *****

Project data transferred from 2016 FTIP. First four years of RABA Long-Term Capital Plan (April 2018) incorporated.

***** Version 9 - 07/25/2017 *****

Adjustments based on RABA June 2017 approved budget.

***** Version 1 - 05/03/16 *****

Project data transferred from 2014 FTIP. Amended with June 2016 RABA 5-year budget. 2016-17=security upgrades. 2017-18=computer equipment(\$32K), misc capital (\$25K), maintenance facility/equipment (\$50K), radio/ITS communication equipment (\$90K), security upgrades (\$153K), and transfer facilities (\$100K). 2018-19=radio/ITS equipment (\$100K). 2019-20=computer equipment (\$34K), support vehicles (\$76K), and misc capital (\$25K).

***** Version 7 - 04/02/2015 *****

Per 4-6-2015 revised transit FTIP per CAukland, advancing farebox and computer equipment from 15/16 to 14/15. 2014-15 includes replacing fleet farebox equipment with advanced, smart equipment (\$348K federal) and computer/server upgrades (\$24K federal). 15-16: \$20K federal misc capital. 17-18: \$46K federal for computer equipment and misc. capital.

***** DFTIP Version 2 - 09/02/2014 *****

Based on RABA 6-16-2014 approved CIP and transit TIP per CAukland, as adjusted 9-10-2014.

***** Version 1 - 05/12/14 *****

Project data transferred from 2012 FTIP. 14/15 data programmed from estimated 14/15 RABA budget.

NOTE: RTP ID = 4-1,2,13

Shasta County RTPA - Federal Transportation Improvement Program
(Dollars in Whole)
Local Highway System

DIST: 02	PPNO:	EA:	CTIPS ID: 211-0000-0093	TITLE (DESCRIPTION): Grouped Projects for Safety Improvements - HSIP Program (Projects are consistent with 40 CFR Part 93.126 Exempt Tables 2 and Table 3 categories - Railroad/highway crossing, safer non-Federal-aid system roads, shoulder improvements, traffic control devices and operating assistance other than signalization projects, intersection signalization projects at individual intersections, pavement marking demonstration, truck climbing lanes outside the urbanized area, lighting improvements, and emergency truck pullovers)	MPO Aprv: State Aprv: Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY Safety Improvement Program.
CT PROJECT ID:			MPO ID.: GP - HSIP		
COUNTY: Shasta County	ROUTE:		PM:		

IMPLEMENTING AGENCY: Caltrans
PROJECT MANAGER: RICK SOMERS

PHONE: (530) 225-3015

EMAIL: richard.f.somers@dot.ca.gov

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

Version	Status	Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	31,212,000		

* Other Fed -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 1 of 5	PE									
	RW									
* Fund Type: Highway Safety Improvement Program	CON	13,421,000								13,421,000
* Funding Agency: Redding, City of	Total:	13,421,000								13,421,000

* Local Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 2 of 5	PE									
	RW									
* Fund Type: Local Transportation Funds	CON	3,493,000								3,493,000
* Funding Agency: Redding, City of	Total:	3,493,000								3,493,000

* Other Fed -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 3 of 5	PE									
	RW									
* Fund Type: Highway Safety Improvement Program	CON	11,537,000								11,537,000
* Funding Agency: Shasta County	Total:	11,537,000								11,537,000

* Local Funds -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 4 of 5	PE									
	RW									
* Fund Type: Local Transportation Funds	CON	1,195,000								1,195,000
* Funding Agency: Shasta County	Total:	1,195,000								1,195,000

* Other Fed -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 5 of 5	PE									
	RW									
* Fund Type: Highway Safety Improvement Program	CON	641,000		925,000						1,566,000
* Funding Agency: Shasta Lake, City of	Total:	641,000		925,000						1,566,000

Project Total:		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
	PE									
	RW									
	CON	30,287,000		925,000						31,212,000
	Total:	30,287,000		925,000						31,212,000

Shasta County RTPA - Federal Transportation Improvement Program (Dollars in Whole) Local Highway System

Comments:

***** Version 1 - 06/04/24 *****

Project data transferred from 2022 FTIP.

***** Version 28 - 12/10/2023 *****

Amendment 6 to SRTA's 2023 FTIP includes an administrative correction, effectively reducing HSIP funding programmed in FY2023-24 from \$1.7M to \$1.6M on Redding's HSIP6-02-002 project.

***** Version 27 - 05/05/2023 *****

Admin Mod No. 2 per email request for update to align with CTHQ HSIP project listing from 3/15/2023. Two projects completed and removed from group project listing.

***** Version 1 - 07/22/22 *****

Project data transferred from 2020 FTIP.

***** Version 1 - 12/10/20 *****

Project data transferred from 2018 FTIP through Admin Mod 13. Upgraded with CT HQ HSIP listing of 12/15/2020. 2018 RTP Goals 1, 3 and 4.

***** Version 23 - 02/11/2020 *****

Administrative Mod No. 9 per RSomers email request and CT HQ approval (Jan 2020) of project cost increase to HSIP7-02-002.

***** Version 22 - 07/12/2019 *****

Formal Amnd No. 6 per ABagde 6-13-2019 email request for HSIP update. Increases total project cost for HSIP6--02-002.

***** Version 21 - 04/03/2019 *****

Formal Amnd No. 5 per ABagde 3-13-2019 email request for HSIP update. Increases total project cost for HSIP6--02-002.

***** Version 20 - 01/14/2019 *****

Formal Amend. No. 3 to 2019 FTIP per 12-14-19 ABagde email request for HSIP update.

***** Version 1 - 05/09/18 *****

Project data transferred from 2016 FTIP. FYs 2020-21 and 2021-22 programming added per 3-29-2018 ABagde email of CT HQ HSIP update.

***** Version 18 - 04/27/2017 *****

Formal Amendment No. 3 per 3-24-2017 ABagde email request for HSIP update.

***** Version 17 - 12/20/2016 *****

Formal Amendment No. 2 to 2017 FTIP per 12/7/2016 ABagde email request for HSIP update.

***** Version 1 - 05/03/16 *****

Project data transferred from 2014 FTIP. HQ-approved revisions per RSomers and JMeyer 8-23-16 and 7-28-2016 emails, respectively. Toll credits remain for Cycle 6.

***** Version 14 - 02/19/2016 *****

Formal Amendment No. 6 per 2-3-2016 ABagde email request for two city of Redding projects. Makes local funds adjustments for county of Shasta. Retains 100% toll credit for Cycle 6; otherwise, 10% nonfederal match.

***** Version 13 - 12/21/2015 *****

Formal Amendment No. 5 per 11-19-2015 LGreen email request. Retains 100% toll credit availability for Cycle 6; otherwise, 10% nonfederal match. City of Redding 6-02-001 and 6-02-002 modified further on 12-22-2015, and 12-31-2015 by RSomers with CLui HQ approval.

***** Version 12 - 07/14/2015 *****

Request from CT D2 Rick Somers to change HSIP6-02-001 to 100% federal funding and advance \$100,800 HSIP project funding from 2016/17 to 2014/15. Various total project cost and federal/local share increases to HSIP4-02-004, HSIP5-02-001, and HSIP6-02-002--all city of Redding.

***** Version 11 - 03/31/2015 *****

Formal Amendment No. 3 per 3-27-2015 ABagde email request. Provides 100% federal funding with toll credit use for Cycle 6 projects.

***** Version 10 - 12/16/2014 *****

Formal Amendment No. 2 per 12-5-2014 ABagde email requesting update. 10% nonfederal match included. Minor modification to EPA exempt category description to align with HSIP purpose.

***** DFTIP Version 2 - 08/08/2014 *****

Amendment No. 1 per 6-9-2014 ABagde email requesting 2015 FTIP inclusion. 10% nonfederal match by year included, as directed.

***** Version 1 - 05/12/14 *****

Project data transferred from 2012 FTIP.

***** Version 7 - 01/29/2014 *****

Formal amendment #8 for 11-15-2013 HSIP project list update per ABagde 11-20-2013 email. kku

***** Version 6 - 06/14/2013 *****

Revised ammendment per direction from HQ. ET

***** Version 5 - 12/27/2012 *****

Amendment 1 to add HR3 projects per email Abhijit Bagde-10/29/12. jrb

NOTE: RTP ID = 5-41,51, 54, 55

**Shasta County RTPA - Federal Transportation Improvement Program
(Dollars in Whole)
State Highway System**

DIST: 02	PPNO:	EA:	CTIPS ID: 211-0000-0098	TITLE (DESCRIPTION): Grouped Projects for Safety Improvements - SHOPP Mandates Program (Projects are consistent with 40 CFR Part 93.126 Exempt Tables 2 and Table 3 categories - Railroad/highway crossing, safer non-Federal-aid system roads, shoulder improvements, traffic control devices and operating assistance other than signalization projects, intersection signalization projects at individual intersections, pavement marking demonstration, truck climbing lanes outside the urbanized area, lighting improvements, and emergency truck pullovers.)	MPO Aprv: State Aprv: Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY Null
CT PROJECT ID:			MPO ID.: GP-SI		
COUNTY: Shasta County	ROUTE: 273		PM: 14.900 / 16.200		

IMPLEMENTING AGENCY: Caltrans
PROJECT MANAGER: WENDY LONNBERG

PHONE: (530) 225-3416

EMAIL: wendy.lonnberg@dot.ca.gov

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

<u>Version</u>	<u>Status</u>	<u>Date</u>	<u>Updated By</u>	<u>Change Reason</u>	<u>Amend No.</u>	<u>Prog Con</u>	<u>Prog RW</u>	<u>PE</u>
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	18,542,000		

		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* SHOPP - Mandates -										
* Fund Source 1 of 1	PE									
	RW									
* Fund Type: SHOPP Advance Construction (AC)	CON	18,542,000								18,542,000
* Funding Agency: Caltrans	Total:	18,542,000								18,542,000

Comments:

***** Version 1 - 06/04/24 *****

Project data transferred from 2022 FTIP.

***** Version 1 - 07/22/22 *****

Project data transferred from 2020 FTIP.

***** Version 1 - 12/10/20 *****

Project data transferred from 2018 FTIP through Admin Mod 13, and amended with CT D2 10-29-2020 update. 2018 RTP Goal 1.

***** Version 1 - 05/09/18 *****

Project data transferred from 2016 FTIP. Amended with CT HQ Programming update.

***** Version 8 - 04/27/2017 *****

Amendment request per WLonnnberg 4-10-2017 email.

***** Version 1 - 05/03/16 *****

Project data transferred from 2014 FTIP. WLonnnberg 7-20-2016 email update to 2016 SHOPP.

***** Version 1 - 05/12/14 *****

Project data transferred from 2012 FTIP.

***** Version 5 - 04/29/2014 *****Per WLonnnberg 4-29-2014 email: Adds \$500K PE in 14/15 for 17/18 construction project 02-4F790, ADA infrastructure.

***** Version 4 - 09/23/2013 *****

WLonnnberg 8/26/13 email for project 02-4G480 addition in 13/14 year: SR 299 at Shasta from 0.1 mi. w/o French Alley to Red Bluff Rd.--construct sidewalks, curb ramps, retaining wall, and reconstruct roadway shoulder cross slope (\$3.0M). Toll credits anticipated. Additionally, project 02-3E800 partial delay from 12/13 to 14/15 (\$1.5M); existing \$4.82M in 14/15 remains.

NOTE: RTP ID = 5-36,37,46

**Shasta County RTPA - Federal Transportation Improvement Program
(Dollars in Whole)
State Highway System**

DIST: 02	PPNO: 02-4E270	EA: 02-4E270	CTIPS ID: 211-0000-0108	TITLE (DESCRIPTION): Grouped Projects for Safety Improvements, Shoulder Improvements, Pavement Resurfacing (and/or rehabilitation - Minor Program Projects are consistent with 40 CFR Part 93.126 Exempt Tables 2 and Table 3 categories - Railroad/highway crossing, safer non-Federal-aid system roads, shoulder improvements, traffic control devices and operating assistance other than signalization projects, intersection signalization projects at individual intersections, pavement marking demonstration, truck climbing lanes outside the urbanized area, lighting improvements, emergency truck pullovers, etc.)	MPO Aprv: State Aprv: Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY Null
CT PROJECT ID:			MPO ID.:		
COUNTY: Shasta County	ROUTE:		PM:		

IMPLEMENTING AGENCY: Caltrans
PROJECT MANAGER: REX HERVEY

PHONE: (916) 825-8166

EMAIL: rex.hervey@dot.ca.gov

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

Version	Status	Date	Updated By	Change Reason	Amend No.	Prog Con	Prog RW	PE
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	26,363,000		

* CT Minor Pgm. -		PRIOR	24-25	25-26	26-27	27-28	28-29	29-30	BEYOND	TOTAL
	PE									
* Fund Source 1 of 1	RW									
* Fund Type: SHOPP Advance Construction (AC)	CON	26,363,000								26,363,000
* Funding Agency: Caltrans	Total:	26,363,000								26,363,000

Comments:

***** Version 1 - 06/04/24 *****

Project data transferred from 2022 FTIP.

***** Version 18 - 08/02/2023 *****

Formal Amnd 5 to 2023 FTIP adds \$1.934M in SHOPP AC to FY2023-24 for wash rack at Hat Creek Maintenance Station. Also adds \$1.8M in SHOPP AC to FY23-24 for a Class I bike path in Old Shasta.

***** Version 17 - 07/06/2022 *****

Formal Amnd 7 updates minor program to most recent Minor Program update per ABagde email on 6-30-2022.

***** Version 16 - 05/09/2022 *****

Admin Mod 5 modification of GP listings per CT HQ 12-09-2021 request. Adds new minor projects to region.

***** Version 1 - 12/10/20 *****

Project data transferred from 2018 FTIP through Admin Mod 13. 2018 RTP Goals 1 and 7.

***** Version 14 - 07/16/2020 *****

Administrative Modification 13 per WLonnberg 7-10-2020 email request. Adds projects 4H690 and 0J440, and adds support costs to them, as well as to 2H620 and 0J430.

***** Version 13 - 06/16/2020 *****

Administrative Modification 12 per WLonnberg 6-15-2020 email request. Reconciles 2019/20 programming and adds new project 0J430 Near Hat Creek at Panorama Vista Point: ADA upgrades and parking lot paving.

***** Version 12 - 08/09/2019 *****

Per W. Lonnberg's 8/6/2019 email, add new project 2H970 and decrease cost for project 3H610.

***** Version 11 - 12/20/2018 *****

Per W. Lonnberg's 12/20/2018 email, add new project 2H620 and increase cost for project 3H610.

***** Version 2 - 09/04/2018 *****

Formal Amendment 1 per ABagde 7-6-2018 email of State Minor Program 2018-19 programming. Adds Project 2H730 In Redding at Hilltop Drive OC: Construct right turn lane.

***** Version 1 - 05/09/18 *****

Project data transferred from 2016 FTIP.

***** Version 7 - 07/21/2017 *****Minor program additions per ABagde confirmation (7-13-2017) of minor program revisions requested by WLonnberg.

***** Version 1 - 05/03/16 *****

Project data transferred from 2014 FTIP. No active years programming at time of draft 2017 FTIP; therefore information only.

***** Version 5 - 07/01/2015 *****

New State Minor Program FY 2015/16 \$2.617M programming per ABagde 7-1-2015 email request. EA-5 4G840 and 4G650.

***** Version 1 - 05/12/14 *****

Project data transferred from 2012 FTIP. Added 14/15 \$909K per WL email 5-15-2014 for new federalized project 4E270.

***** Version 2 - 07/16/2013 *****

Increases EA 4E270 from \$773K to \$860K per 6-24-2013 ABagde email re: 2013/14 minor program group listing.

***** Version 1 - 04/18/2013 *****

NOTE: RTP ID = 5-36,37,46

**Shasta County RTPA - Federal Transportation Improvement Program
(Dollars in Whole)
State Highway System**

DIST: 02	PPNO:	EA:	CTIPS ID: 211-0000-0124	TITLE (DESCRIPTION): Grouped Projects for Emergency Repair - SHOPP Emergency Response Program (Various locations: repairs due to disasters or other emergencies) (Damage repair caused by unusual disasters and/or emergencies throughout various locations in Shasta County.)	MPO Aprv: State Aprv: Federal Aprv: EPA TABLE II or III EXEMPT CATEGORY Null
CT PROJECT ID:			MPO ID.: ER-LUMP		
COUNTY: Shasta County	ROUTE:	PM:			

IMPLEMENTING AGENCY: Caltrans
PROJECT MANAGER: WENDY LONNBERG

PHONE: (530) 225-3416

EMAIL: wendy.lonnberg@dot.ca.gov

PROJECT VERSION HISTORY (Printed Version is Shaded)

(Dollars in whole)

<u>Version</u>	<u>Status</u>	<u>Date</u>	<u>Updated By</u>	<u>Change Reason</u>	<u>Amend No.</u>	<u>Prog Con</u>	<u>Prog RW</u>	<u>PE</u>
1	Active	06/04/2024	KWILLIAM	Adoption - Carry Over	0	1,512,000		

* SHOPP - Major Damage Restoration -		<u>PRIOR</u>	<u>24-25</u>	<u>25-26</u>	<u>26-27</u>	<u>27-28</u>	<u>28-29</u>	<u>29-30</u>	<u>BEYOND</u>	<u>TOTAL</u>
* Fund Source 1 of 1	PE									
* Fund Type: SHOPP Advance Construction (AC)	RW									
	CON	1,512,000								1,512,000
* Funding Agency:	Total:	1,512,000								1,512,000

Comments:

***** Version 1 - 06/04/24 *****

Project data transferred from 2022 FTIP.

***** Version 1 - 07/22/22 *****

Project data transferred from 2020 FTIP.

***** Version 1 - 12/10/2020 *****

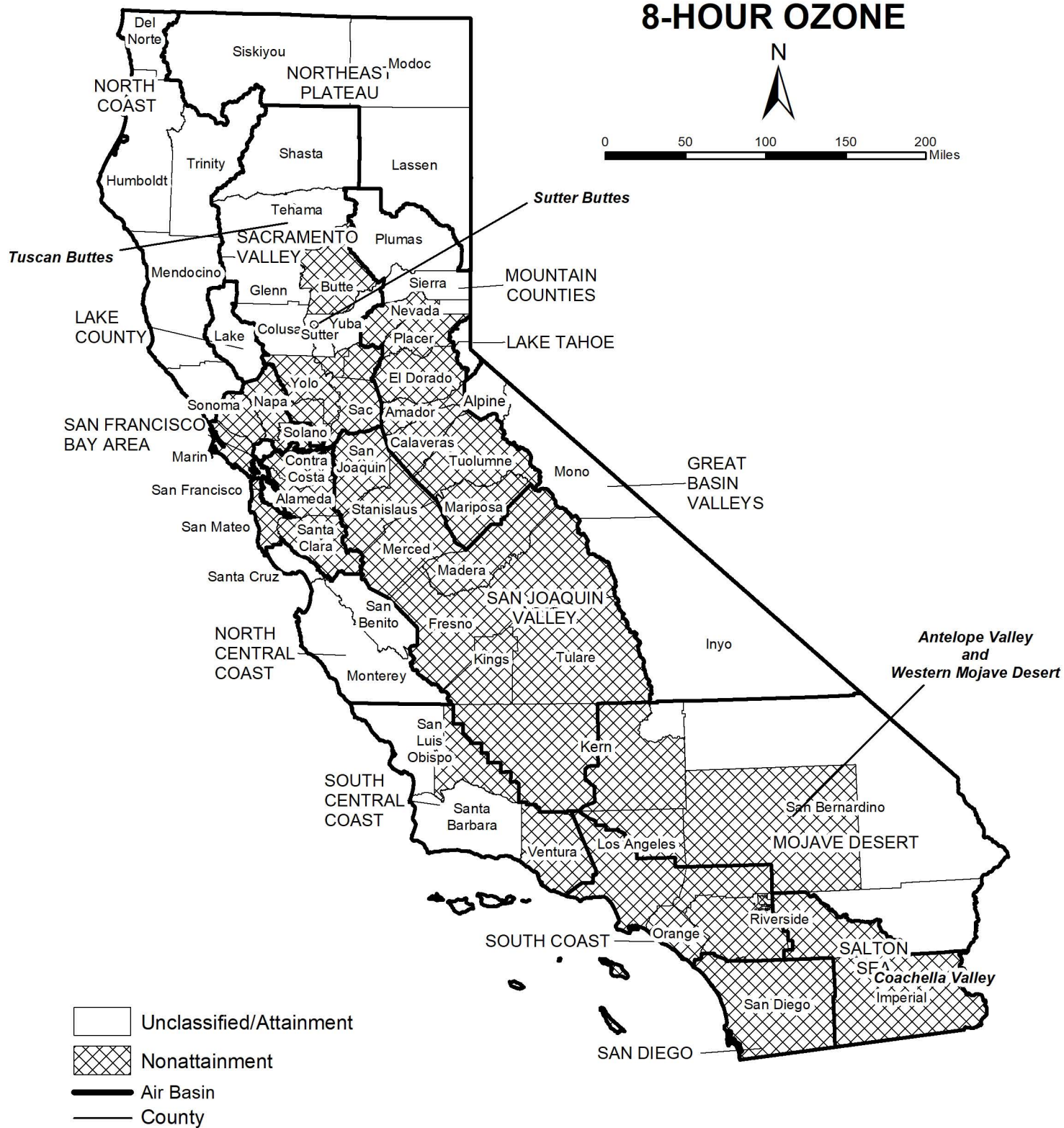
New listing in 2021 FTIP based on WLonnnberg 12-07-2020 email request. CTIPS Project 1J720 on I-5 already constructed and not included. 2018 RTP Goal 1.

Appendix C

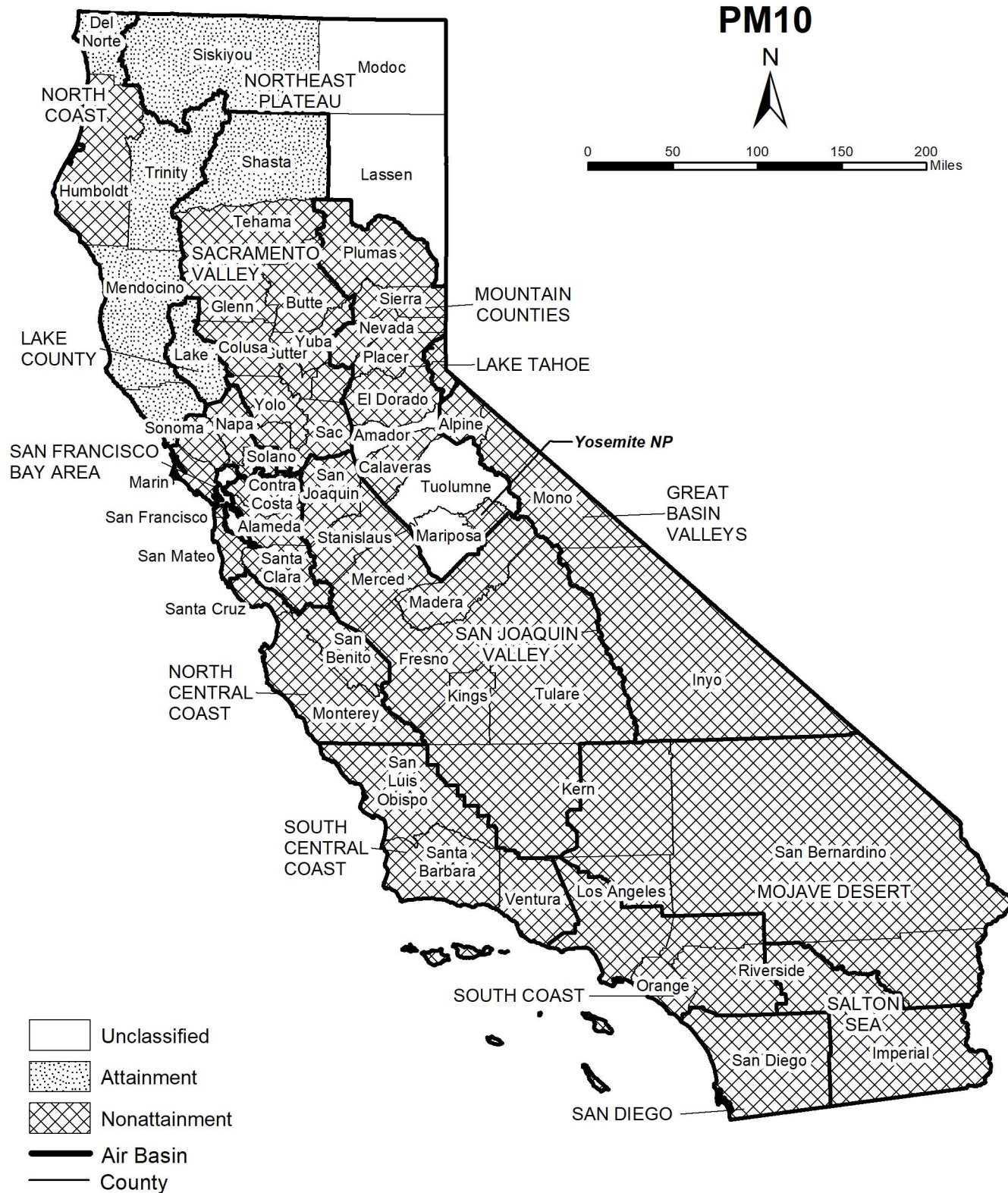
National Ambient Air Quality Standards Demonstrating Attainment or Unclassified Status of Shasta County*

*As a result of Shasta County being in attainment for the federal 8-hour ozone air quality standard, SRTA's FTIP is not subject to a transportation conformity determination.

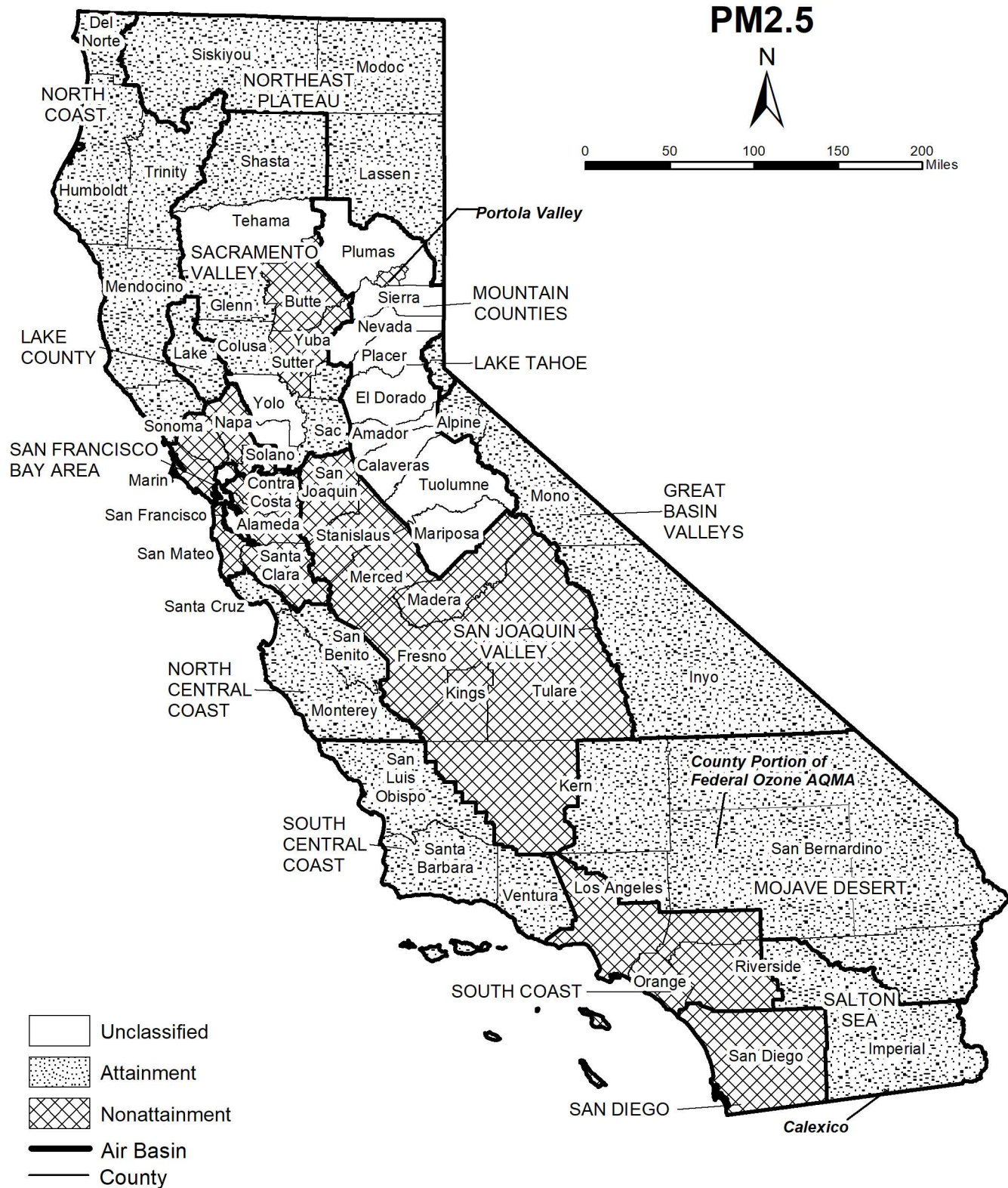
Area Designations for National Ambient Air Quality Standards 8-HOUR OZONE



2022 Area Designations for State Ambient Air Quality Standards PM₁₀



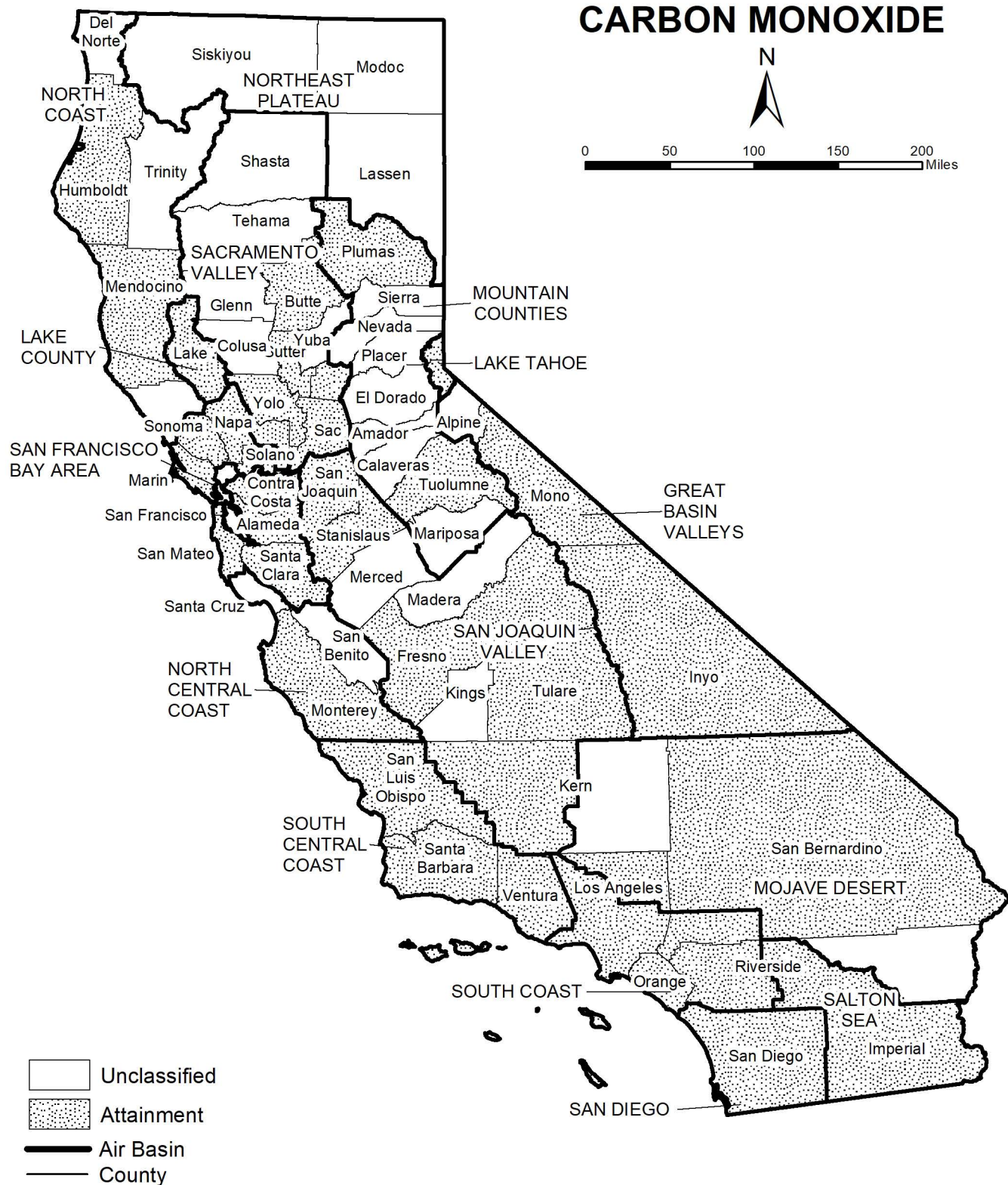
2022 Area Designations for State Ambient Air Quality Standards PM_{2.5}



2022

Area Designations for State Ambient Air Quality Standards

CARBON MONOXIDE



Appendix D

Public Involvement and Interagency Consultation

[This will be updated after the DRAFT 2025 FTIP is shared with partner agencies.]

DRAFT

Appendix E

Summary of FFYs 2019-20, 2020-21, and 2021-22 Federally Obligated Projects

**[This will be updated before the DRAFT 2025 FTIP is shared with
partner agencies.]**

DRAFT

Appendix F

Financial Element Summary

[This will be updated before the DRAFT 2025 FTIP is shared with partner agencies.]

DRAFT

Appendix G

U.S. DOT and Caltrans Delegation Authority to SRTA for FTIP Administrative Modifications

DRAFT

DEPARTMENT OF TRANSPORTATION

DIVISION OF TRANSPORTATION PROGRAMMING

1120 N STREET, MS-82

SACRAMENTO, CA 94273-0001

PHONE (916) 654-4013

TTY 711

www.dot.ca.gov

*Making Conservation
a California Way of Life.*

December 20, 2019

Executive Directors, Metropolitan Planning Organizations and
Regional Transportation Planning Agencies

Dear Executive Directors:

To streamline the federal programming process, the California Department of Transportation (Caltrans), the Federal Highway Administration (FHWA), and the Federal Transit Administration (FTA), revised the Federal Statewide Transportation Improvement Program (FSTIP)/Federal Transportation Improvement Program (FTIP) Amendments and Administrative Modifications Procedures.

These revisions expand the parameters of an administrative modification offering a greater opportunity to reduce the number of Amendments to the FTIPs and the FSTIP. Effective December 18, 2019, the attached procedures shall supersede the previous procedures dated June 3, 2011.

Metropolitan Planning Organizations (MPOs) with delegated authority from Caltrans may continue to approve administrative modifications to the FSTIP in accordance with these revised procedures and the following shall continue to apply.

1. MPOs may consult with staff at the Division of Transportation Programming and request reviews on proposed changes prior to approving their administrative modifications.
2. MPOs must email and send hardcopies of the approved administrative modifications to Caltrans, FHWA, FTA, and other stakeholders.
3. Approved administrative modifications are subject to the Division of Transportation Programming continual reviews to ensure compliance with programming related regulations, guidance and procedures.
4. The Division of Transportation Programming will reject any administrative modification that does not adhere to programming related regulations, guidance and procedures.

Executive Directors, et al
December 20, 2019
Page 2

5. Caltrans will withdraw its delegation from any MPO whose administrative modifications are found to be consistently noncompliant with these procedures.

For those MPOs without Caltrans delegation, no action is required, and the existing approval process remains in effect.

My staff and I appreciate and recognize the efforts of staff at FHWA and FTA, in working with us to find ways to streamline and expedite the federal programming process.

If you have any questions, please contact Muhaned Aljabiry at (916) 654-2983 or by e-mail at muhaned.aljabiry@dot.ca.gov.

Sincerely,


for BRUCE DE TERRA, Chief
Division of Transportation Programming

Enclosure



U.S. Department
of Transportation
**Federal Highway
Administration**

California Division

December 18, 2019

650 Capitol Mall, Suite 4-100
Sacramento, CA 95814
(916) 498-5001
(916) 498-5008 (FAX)

In Reply Refer To:
HDA-CA

Mr. Bruce de Terra, Division Chief
Transportation Programming Federal Resources Office, M.S. 82
California Department of Transportation
1120 N Street
Sacramento, CA 95814

**SUBJECT: Revised Federal Statewide Transportation Improvement Program (FSTIP) and
Federal Transportation Improvement Program (FTIP) Amendment and Administrative
Modification Procedures**

Dear Mr. de Terra:

In our letter dated June 3, 2011, the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) provided the California Department of Transportation (Caltrans) revised procedures on the FSTIP/FTIP Administrative Modification and Amendment process.

Working in coordination with Caltrans, FHWA and FTA have again revised the FSTIP/FTIP Administrative Modification and Amendment Procedures. The enclosed revised procedures provide additional flexibility and will shorten project delivery time by allowing fewer formal amendments which in general takes longer to process. As part of this coordination, Caltrans has also committed to working with FHWA and FTA to implement a FSTIP/FTIP amendment schedule and an electronic approval process by December 2020.

The revised procedures detail the specific types of programming changes that may be made to the FSTIP/FTIPs as administrative modifications for which approval has been delegated to Caltrans, and changes that must be submitted to FHWA and/or FTA for approval as formal amendments. These procedures are intended to offer more flexibility to Caltrans and the Metropolitan Planning Organizations (MPOs) and further clarifies parameters of an administrative modification.

Throughout the FSTIP/FTIP Administrative Modification and Amendment Procedure update process, the FHWA and FTA have been pleased with our partnership with Caltrans. This effort highlights Caltrans' continued dedication to the stewardship of the Federal-aid Program.

If you have any questions regarding the attached procedures, please contact Tashia J. Clemons of the FHWA California Division at (916) 498-5066, or by email at Tashia.clemons@dot.gov or Ted Matley of the FTA's Region 9 Office at (415) 734-9468 or by email at ted.matley@dot.gov.

Sincerely,

A handwritten signature in black ink, appearing to read "Vincent Mammano", followed by a horizontal line.

Vincent Mammano
Division Administrator
FHWA California Division

/s/ Ray Tellis

Ray Tellis
Regional Administrator
FTA Region 9

FSTIP/FTIP Administrative Modification and Amendment Procedures

December 18, 2019

The following procedures are applicable for processing amendments and administrative modifications to the Federal Statewide Transportation Improvement Program (FSTIP) and the Federal Transportation Improvement Programs (FTIPs).

Projects programmed in the FSTIP may be delivered in any of the recognized program years of the FSTIP provided Expedited Project Selection Procedures (EPSPs) have been adopted by the Metropolitan Planning Organization (MPO) in accordance with 23 Code of Federal Regulations (CFR) 450 and the required interagency consultation or coordination is completed and documented. Changing the obligation year of a project using EPSP does not require an administrative modification or an amendment if the change does not require an air quality conformity determination.

1. Administrative Modification:

An administrative modification is a minor change to the FSTIP/FTIP that does not require a conformity determination, demonstration of fiscal constraint, public review and comment, or federal approval. The following changes to the FSTIP/FTIP can be processed through an administrative modification:

- i. Revise description of individually listed projects without changing the project scope or without conflicting with the approved environmental document;
- ii. Revise the description of grouped project listings, as defined in 23 CFR Part 450.326 (h), if it is consistent with the Programming Grouped Project Listings in Air Quality Non-Attainment or Maintenance Areas guidance.
- iii. Revise the funding amount listed for a project or a project phase:
 - a. Additional funding to an individually listed project is limited to the lesser of 50 percent of the total project cost or \$20 million.
 - b. No limit on adding funds to a grouped project listing. Funding capacity must be available in the FSTIP/FTIP prior to processing programming changes and it must be stated in the supporting documentation.
(Note: Updated FTIP financial plans may be requested by Caltrans to validate fiscal constraint if an MPO has processed only administrative modifications for a period of six months or more.)
- iv. Program the Preliminary Engineering (PE) phase provided the Right of Way and/or Construction phase(s) are already programmed in the current FSTIP/FTIP and additional funding amounts stay within the limits specified in section iii.

FSTIP/FTIP Administrative Modification and Amendment Procedures

December 18, 2019

- v. Change source of funds.
- vi. Change a project lead agency.
- vii. Program federal funds for advance construction conversion provided that programming capacity is available in the FSTIP/FTIP prior to programming the conversion.
- viii. Change the program year of funds within the current FSTIP/FTIP provided the MPO has an adopted EPSP that is developed in accordance with 23 CFR 450.
- ix. Split or combine an individually listed project or projects provided the schedule and scope remain unchanged.
- x. Add or delete a project or projects from a grouped project listing.
- xi. Program emergency repair projects on state and local highways caused by natural disasters or catastrophic failures from external causes that are not covered by the Emergency Relief Program and exempt from air quality conformity requirements.
- xii. Re-program a project for which FHWA funds were transferred to the FTA in a prior FSTIP/FTIP cycle but has not received grant approval from the FTA. Those projects can be programmed in the current FSTIP/FTIP through an administrative modification provided the original scope or cost remain unchanged. Use the type "FTA 5307 (FHWA Transfer Funds)" to program in the FSTIP/FTIP.
- xiii. Program an FTA-funded project from the prior FSTIP/FTIP cycle into the current FSTIP/FTIP provided the original scope or cost of the project remain unchanged. Use the project description field (or "CTIPS MPO Comments" section) to list the year, amount, and the prior year fund type.
- xiv. Make minor changes to an FTA-funded grouped project listing. Minor changes include changing the number of transit vehicles purchased by 20 percent or less and changing the fuel type of transit vehicles. The MPO must conduct an interagency consultation to confirm that the project scope change is deemed minor.

FSTIP/FTIP Administrative Modification and Amendment Procedures

December 18, 2019

2. Amendment:

Amendments are all other modifications to the FSTIP/FTIP that are not included under the administrative modification and amendment procedures. All amendments shall be developed in accordance with the provisions of 23 CFR 450.326 for each metropolitan area in the State, and in accordance with the provisions of 23 CFR 450.218 for non-metropolitan areas.

3. Procedure:

a. Administrative Modification:

MPOs with approval delegation from Caltrans

Caltrans may delegate to an MPO's Board the authority to approve administrative modifications. In such case, Caltrans approval is not required. If an MPO Board further delegates the authority to the Executive Director to approve administrative modifications, copies of the delegation must be provided to Caltrans, the FHWA and FTA. The MPO must submit copies of the approved administrative modification to Caltrans, the FHWA and FTA. Once the MPO approves the administrative modification, changes will be deemed part of the FSTIP. The MPO is required to demonstrate in a subsequent amendment the net financial changes resulting from each administrative modification. Caltrans will conduct periodic review of each MPO's administrative modification process to confirm adherence to guidelines and procedures. Caltrans may revoke an MPO's delegation due to noncompliance with these procedures.

MPOs without approval delegation from Caltrans

Each MPO's administrative modification will be forwarded to Caltrans Division of Transportation Programming for approval. If an MPO Board delegates authority to the Executive Director to approve administrative modifications, copies of the delegation must be provided to Caltrans, the FHWA and FTA. The MPO must submit copies of the administrative modification to Caltrans, the FHWA and FTA. The MPO must also demonstrate in a subsequent amendment the net financial changes resulting from each administrative modification. Once Caltrans approves the administrative modification, changes will be deemed part of the FSTIP since federal approval is not required. Caltrans will notify the FHWA and FTA of the approved administrative modification. If Caltrans, the FHWA, or the FTA determines that changes in an administrative modification are not allowable under these procedures, the MPO must withdraw the administrative modification and process an amendment.

FSTIP/FTIP Administrative Modification and Amendment Procedures

December 18, 2019

b. Amendment:

Amendments to the FSTIP must be developed in accordance with the provisions of 23 CFR 450, approved by the FHWA and/or the FTA in accordance with 23 CFR

450, and the July 15, 2004 MOU between FHWA - California Division and FTA Region 9. Each amendment must be forwarded to Caltrans Division of Transportation Programming for approval on behalf of the Governor. To expedite processing, the MPO must also submit the amendment to the FHWA and FTA at the same time. Once approved by Caltrans, the amendment will be forwarded to the FHWA and FTA for federal approval. The amendment will be deemed part of the FSTIP once it is approved by the FHWA and FTA. The FHWA and FTA will send the federal approval letter and respective conformity to Caltrans and the MPO.

4. Consultation:

If a question arises regarding the interpretation of these procedures, Caltrans, the MPO, the FHWA and/or FTA may consult to resolve the question. If after consultation the parties still disagree, the final decision rests with the FTA for transit projects and the FHWA for highway projects.

Any exception to these procedures is allowed only through a consultation process with MPOs, Caltrans, the FHWA, and FTA.

DEPARTMENT OF TRANSPORTATION
DIVISION OF TRANSPORTATION PROGRAMMING
P.O. Box 942873, MS-82
SACRAMENTO, CA 94273-0001
PHONE (916) 654-2983
FAX (916) 654-2738
TTY 711



*Flex your power!
Be energy efficient!*

January 9, 2012

Mr. Daniel Little
Executive Director
Shasta County Regional Transportation Planning Agency
1855 Placer Street
Redding, CA 96001

Dear Mr. Little:

The California Department of Transportation (Caltrans) received the Shasta County Regional Transportation Planning Agency's (Shasta RTPA) request for delegated authority from Caltrans to approve Administrative Modifications to the Federal Statewide Transportation Improvement Program (FSTIP). The board action attached to your request indicates that the Executive Director is delegated the approval of Administrative Modifications for the Federal Transportation Improvement Program (FTIP) and the FSTIP. With the acknowledgement of your board action, Caltrans hereby delegates to Shasta RTPA the authority to approve Administrative Modifications to the FSTIP in accordance with the revised FSTIP/ FTIP Amendments and Administrative Modification Procedures dated June 3, 2011.

The following procedures apply to Shasta RTPA upon receiving Caltrans' delegation:

1. Prior to approving the FTIP/FSTIP administrative modifications, Shasta RTPA may consult with Caltrans on proposed changes.
2. Shasta RTPA may request Caltrans to provide a cursory review of the administrative modification prior to the Shasta RTPA's approval.
3. Shasta RTPA shall send copies of the approved administrative modifications to Caltrans, Federal Highway Administration, Federal Transit Administration, and other stakeholders. Caltrans will post the approved administrative modifications on the Division of Transportation Programming Website.
4. Caltrans will routinely review Shasta RTPA's approved administrative modifications and will reject changes that do not comply with the attached procedures. In such cases Shasta RTPA must correct all items of noncompliance.
5. Caltrans will withdraw its delegation from Shasta RTPA if it is found to be consistently noncompliant with the revised FSTIP/ FTIP Amendments and Administrative Modification Procedures.

Mr. Daniel Little
January 9, 2012
Page 2

Thank you for requesting this delegation that will undoubtedly streamline your region's programming process and thus expedite projects. My staff is looking forward to working with your staff to ensure a successful implementation of this delegation. If you have any questions, please call Muhaned Aljabiry at (916) 654-2983.

Sincerely,



RACHEL FALSETTI
Chief
Division of Transportation Programming

Enclosure

Mr. Daniel Little
January 9, 2012
Page 3

bc: Anne Jensen
Denix Anbiah
Fardad Falakfarsa
Federal Highway Administration
Federal Transit Administration
District FTIP Coordinators
District Local Assistance Engineers



1855 Placer Street • Redding, CA 96001 • (530)225-5654 • FAX (530)225-5667
E-Mail scrtpa@co.shasta.ca.us • HOME PAGE www.scrtpa.org

Daniel S. Little, Executive Director

December 21, 2011

RPP 010013

Rachel Falsetti
Caltrans Division of Transportation Programming, MS 82
Office of Federal Transportation Management Program
P.O. Box 924874
Sacramento, CA 92474-0001

Attn: Kang Tang

Subject: Request for State Delegation of Federal Statewide Transportation Improvement Program (FSTIP) Administrative Modification Approval Authority

Dear Ms. Falsetti:

The Shasta County Regional Transportation Planning Agency (SCRTPA) is requesting Caltrans' delegation to approve administrative modifications to the FSTIP.

On October 25, 2011, the SCRTPA board took action to:

- 1) Adopt Resolution No. 11-15 delegating authority from Caltrans to approve administrative modifications to the FSTIP in accordance with the attached Federal Transportation Improvement Program (FTIP)/the Federal-Statewide Transportation Improvement Program (FSTIP) Amendments and Administrative Modifications Procedures; and
- 2) Authorize the executive director to approve future administrative modifications to the FTIP and FSTIP on behalf of the board.

The 2010 FTIP has been updated to be consistent with the new FSTIP/FTIP Administrative Modification and Amendment Procedures. The Public Participation Plan does not need to be updated; it is consistent with the new procedures.

Upon receipt of delegation authority from Caltrans, the SCRTPA executive director will approve all future administrative modifications to the FTIP and FSTIP. If you have any questions or would like to submit comments, please contact Anne Jensen, Assistant Planner, at ajensen@co.shasta.ca.us or (530) 225-5430.

Sincerely,

A handwritten signature in blue ink, appearing to read "D. Little", is written over a horizontal line.

Daniel S. Little, AICP, Executive Director
Shasta County Regional Transportation
Planning Agency (MPO)

DSL/ACJ/jac

Attachments: Staff Report for October 25, 2011 Board Meeting
Resolution 11-15

STAFF REPORT



MEETING DATE:	10/25/11
SUBJECT:	Delegation of the Federal Transportation Improvement Program (FTIP) Administrative Modification Procedures
AGENDA ITEM:	4-3
STAFF CONTACT:	Anne Jensen

SUMMARY:

The Federal Highway Administration (FHWA) and Federal Transit Administration (FTA), in cooperation with Caltrans, have recently revised administrative modification and amendment procedures to the 2010 Federal Transportation Improvement Program (FTIP). The revised procedures let MPO's undergo a delegation process allowing them to approve FTIP administrative modification amendments without the need for state or federal approval.

STAFF RECOMMENDATIONS:

It is recommended that the board:

1. Adopt Resolution No. 11-15 delegating authority from Caltrans to approve administrative modifications to the FTIP in accordance with the attached Federal Transportation Improvement Program (FTIP) / the Federal-Statewide Transportation Improvement Program (FSTIP) Amendments and Administrative Modifications Procedures; and
2. Authorize the executive director to approve future administrative modifications to the FTIP upon receipt from Caltrans.

DISCUSSION:

The FTIP is a four-year program of projects showing where, when and how federal transportation funds are being spent in Shasta County, including those that the Shasta County Regional Transportation Planning Agency (SCRTPA) does not have programming discretion over. The FTIP must be financially constrained and programming expenditures must be balanced with estimated revenues.

Under this delegation, any FTIP amendment that meets the qualifications of an administrative modification could be approved by the executive director in lieu of state pre-approval. The board can expand approval authority after receiving the delegation from Caltrans. This would enable the SCRTPA to expedite the programming and project delivery process.

Delegation procedures are consistent with current state and federal programming procedures and the SCRTPA's public participation plan, and are currently being adopted as part of the 2010 FTIP.

ALTERNATIVES:

1. The board could choose not to accept the delegation authority over FTIP administrative modifications from Caltrans. This is not recommended as it increases review time by outside agencies.
2. The board could choose not to delegate the authority to the executive director. This is not recommended as the board does not currently approve administrative modifications because they are minor changes and do not require public review or comment.

OTHER AGENCY INVOLVEMENT:

The FHWA and FTA worked with Caltrans to simplify the programming procedures for California MPO's. The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING:

No financing is required for the delegation process or future amendments; it is a procedural change only.



Daniel S. Little, AICP, Executive Director

Attachments: Resolution 11-15
2011 FSTIP/FTIP Administrative Modification and Amendment Procedures

RESOLUTION



RESOLUTION NUMBER:	11-15
SUBJECT:	Delegation of the Federal Transportation Improvement Program (FTIP) Administrative Modification Procedures

WHEREAS, the Shasta County Regional Transportation Planning Agency (SCRTPA) has been designated as the Metropolitan Planning Organization (MPO) for Shasta County; and

WHEREAS, Title 23 Code of Federal Regulations, Part 450 requires the preparation and adoption of a Federal Transportation Improvement Program (FTIP) by the MPO to become part of the Federal-Statewide Transportation Improvement Program (FSTIP); and

WHEREAS, the SCRTPA is responsible for preparing and processing administrative modifications and amendments to the FTIP; and

WHEREAS, the Federal Highway Administration and Federal Transit Administration, in consultation with the California Department of Transportation (Caltrans), has provided revised procedures for administrative modifications to the FSTIP to the governing board and subsequently the executive director of the SCRTPA (or designee); and

WHEREAS, the SCRTPA board identifies that it is appropriate, in addition to all former authorizing delegations, for approval of future FSTIP administrative modification actions to be delegated to the executive director of the SCRTPA (or designee).

NOW, THEREFORE, BE IT RESOLVED that the Shasta County Regional Transportation Planning Agency:

1. Accepts delegation authority from Caltrans to approve administrative modifications to the FSTIP in accordance with the attached FSTIP/FTIP Administrative Modifications and Amendments Procedures; and
2. Authorizes the executive director to approve administrative modifications to the FSTIP on behalf of the board.

PASSED AND ADOPTED this 25th day of October 2011, by the Shasta County Regional Transportation Planning Agency.

A handwritten signature in black ink, appearing to read 'Leonard Moty', written over a horizontal line.

Leonard Moty, Chair

Shasta County Regional Transportation Planning Agency



U.S. Department
of Transportation
**Federal Highway
Administration**

**Federal Highway Administration
California Division**

June 3, 2011

650 Capitol Mall, Suite 4-100
Sacramento CA 95814
(916) 498-5001
(916) 498-5008 fax

In Reply Refer To:
HDA-CA

Doc ID: 62,530

Mr. Malcolm Dougherty, Acting Caltrans Director
California Department of Transportation
1120 N Street
Sacramento, CA 95814

Attention: Federal Resources Office, M.S. 82
For Rachel Falsetti, Division of Transportation Programming

SUBJECT: Revised Federal – Federal Statewide Transportation Improvement Program
(FSTIP) and Federal Transportation Improvement Program (FTIP) Amendment
and Administrative Modification Procedures

In our letter dated November 17, 2008, the Federal Transit Administration (FTA) and the Federal Highway Administration (FHWA) provided the California Department of Transportation (Caltrans) procedures on the FSTIP/FTIP Administration Modification and Amendment process.

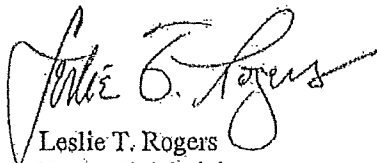
Working in coordination with Caltrans, FTA and FHWA have revised the FSTIP/FTIP Administration Modification and Amendment Procedures. The enclosed revised procedures provide much more flexibility, and in the spirit of FHWA's Every Day Counts Initiative, should shorten project delivery time by allowing for fewer formal amendments which generally take longer to process.

The revised procedures detail what specific types of programming changes to the FSTIP/FTIPs may be made as administrative modifications, for which approval has been delegated to the State, and what changes must be submitted to FHWA and/or FTA for approval as formal amendments. These procedures are intended to offer more flexibility to Caltrans and the Metropolitan Planning Organizations (MPOs) as well as clarify the parameters of an administrative modification.

Throughout the FSTIP/FTIP Administration Modification and Amendment Procedures update process, the FHWA and FTA have been very pleased with our partnership with Caltrans. This effort highlights Caltrans' continued dedication to the stewardship of the Federal-aid Program. More specifically, the FHWA and FTA extend great appreciation to Caltrans Office of Federal Transportation Management Program Chief, Mr. Muhaned Aljabiry and his staff.

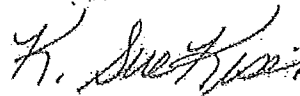


If you have any questions regarding the attached procedures, please contact Ray Sukys, FTA, at 415-744-2802 (Raymond.Sukys@dot.gov) or Sue Kiser, FHWA, at 916-498-5009 (Sue.Kiser@dot.gov).



Leslie T. Rogers
Regional Administrator
Federal Transit Administration

Sincerely,



For
Robert F. Tally Jr.
Acting Division Administrator
Federal Highway Administration

Enclosure

cc: (e-mail)

Muhammed Aljabiry, Caltrans Programming

Abhijit Bagde, Caltrans Programming

Ray Sukys, FTA

Ted Matley, FTA

Scott Carson, FHWA

Cecilia Crenshaw, FHWA

Jermaine Hannon, FHWA

Wade Hobbs, FHWA

Sue Kiser, FHWA

Aimee Kratovil, FHWA

Michael Morris, FHWA

Stew Sonnenberg, FHWA

Joseph Vaughn, FHWA

JRH/km

FSTIP/FTIP Administrative Modifications and Amendments Procedures

The following procedures are applicable for processing amendments and administrative modifications to the Federal – Statewide Transportation Improvement Program (FSTIP) and the Federal Transportation Improvement Programs (FTIPs).

Projects programmed in the FSTIP may be delivered in any of the recognized program years of the FSTIP provided Expedited Project Selection Procedures (EPSPs) have been adopted by the MPOs in accordance with 23 CFR 450, and the required interagency consultation or coordination is accomplished and documented. Changing the obligation year of a project using EPSP does not require an administrative modification or an amendment if the change does not require an air quality conformity determination.

1. Administrative Modifications:

An administrative modification is a minor change to the FSTIP/FTIP that does not require a conformity determination, a demonstration of fiscal constraint, public review and comment, or federal approval. The following changes to the FSTIP/FTIP can be processed through administrative modifications:

- i. Revise description of a project or a grouped project listing without changing the project scope or without conflicting with the approved environmental document;
- ii. Revise the funding amount listed for a project or a project phase. Additional funding is limited to the lesser of 40 percent of the total project cost or \$10 million. Programming capacity must be available in the FSTIP/FTIP prior to programming the modification and stated in the supporting documentation. See Table below for examples;

(Note: Updated FTIP financial plans may be requested by Caltrans to validate fiscal constraint, if a MPO has processed only Administrative Modifications for a period of six months or more.)

Initial Project Cost	New Project Cost	Total Sum Increase	% Change In Cost	% Change < or = 40%	Total Sum Increased Is < or = \$10M	Type of Amendment (Formal or Administrative)
\$1,000,000	\$1,400,000	\$400,000	40%	Yes	Yes	Administrative
\$10,000,000	\$15,000,000	\$5,000,000	50%	No	Yes	Formal
\$500,000,000	\$510,000,000	\$10,000,000	2%	Yes	Yes	Administrative
\$100,000	\$140,000	\$40,000	40%	Yes	Yes	Administrative
\$400,000	\$1,000,000	\$600,000	150%	No	Yes	Formal
\$2,500,000	\$3,000,000	\$500,000	20%	Yes	Yes	Administrative

- iii. Program Preliminary Engineering (PE) phase, provided the Right of Way and/or Construction phase(s) are already programmed in the current

FSTIP/FTIP and additional funding amounts stay within the limits specified in Section ii.

(Note: Activities done prior to Federal Authorization are not eligible for Federal participation.)

- iv. A cost decrease has no cap as long as reduction in cost does not result in deletion of a project or a phase, and the affected project phase is still fully funded.
- v. Change source of funds.
- vi. Change a project lead agency.
- vii. Program Federal funds for Advance Construction conversion, programming capacity must be available in the FSTIP/FTIP prior to programming the conversion.
- viii. Change the program year of funds within the current FSTIP/FTIP provided MPO has adopted EPSP in accordance with 23 CFR 450.
- ix. Split or combine an individually listed project or projects, provided that the schedule and scope remain unchanged.
- x. Change grouped project listings description as long as it is consistent with the Programming Grouped Project Listings in Air Quality Non-Attainment or Maintenance Areas guidance.
- xi. Add or delete a project or projects from a grouped project listing, provided the funding amount stays within the limits specified in Section ii.
- xii. Program emergency repair projects on the state highways as a result of a natural disaster or catastrophic failure from an external cause, and that are not covered by the Emergency Relief Program, provided that these projects are exempt from Air Quality Conformity Requirements.
- xiii. Re-program a project for which FHWA funds were transferred to FTA in the prior FSTIP/FTIP and FTA has not approved the grant yet. The project can be programmed in the current FSTIP/FTIP via an administrative modification provided there is no change in the original scope or cost. Program the project using fund type "FTA 5307 (FHWA Transfer Funds)" in the FSTIP/FTIP.
- xiv. Program an FTA funded project from the prior FSTIP/FTIP into the current FSTIP/FTIP provided there is no change in the original scope or cost. Use the project description field (or "CTIPS MPO Comments" section) to list the year, amount, and type of the prior year funds.
- xv. Make minor changes to an FTA funded grouped project listing. Minor changes include changing the number of transit vehicles purchased by 20% or less and changing the fuel type of transit vehicles. The MPO must take the change through its interagency consultation procedures to confirm that the change in scope is minor.

2. Amendments:

Amendments are all other modifications to the FSTIP/FTIP that are not included under administrative modifications and shall be approved in accord with the provisions of 23

CFR 450.326 for each metropolitan area in the State, and in accord with the provisions of 23 CFR 450.216 for the non-metropolitan area.

3. Procedures;

a. Administrative Modifications:

MPOs with approval delegation from Caltrans

Caltrans may delegate to an MPO's Board the authority to approve administrative modifications. In such a case, no Caltrans approval of administrative modifications is required. If the MPO Board has delegated the authority to the Executive Director to approve administrative modifications, the MPO must provide copies of the delegation to Caltrans, FHWA, and FTA. The MPO must send copies of the approved administrative modification to Caltrans, FHWA, and FTA. Once approved by the MPO, the administrative modification will be deemed part of the FSTIP. The MPO will demonstrate in a subsequent amendment that the net financial change from each administrative modification has been accounted for. Caltrans will conduct periodic reviews of MPO's administrative modification process to confirm adherence to these procedures. Noncompliance with these procedures will result in revocation of that MPO's delegation.

MPOs without approval delegation from Caltrans

Each MPO's administrative modification will be forwarded to Caltrans Division of Transportation Programming for approval. If the MPO Board has delegated the authority to the Executive Director to sign off on administrative modifications, the MPO must provide copies of the delegation to Caltrans, FHWA, and FTA. The MPO must send copies of the administrative modification to Caltrans, FHWA, and FTA. In addition, the MPO must demonstrate in a subsequent amendment that the net financial change from each administrative modification has been accounted for. Once approved by Caltrans, the administrative modification will be deemed part of the FSTIP since no Federal action is required. Caltrans will notify FHWA and FTA of the approved administrative modification.

(Note: If it is found that an approved administrative modification does not meet the criteria of an administrative modification, FHWA and FTA reserves the right to reject that administrative modification and require a formal amendment.)

b. Amendments:

Amendments to the FSTIP must be developed in accordance with the provisions of 23 CFR 450, and approved by FHWA and/or FTA in accordance with 23 CFR 450 and the July 13, 2004 MOU between FHWA – California Division and FTA Region 9. Each amendment must be forwarded to Caltrans Division of Transportation Programming for approval on behalf of the Governor. To expedite processing, the MPO will also forward a copy of the submitted amendment to FHWA and FTA at the same time the amendment is sent to Caltrans. Once

approved by Caltrans, the amendment will be forwarded to FHWA and/or FTA for federal approval. Once approved by FHWA and/or FTA, the amendment will be deemed part of the FSTIP. The FHWA and/or FTA approval letter and respective Conformity Determination will be addressed to Caltrans; with copies sent to the MPO.

4. Consultation:

If a question arises regarding the interpretation of what constitutes an administrative modification or an amendment, Caltrans, the MPO, FHWA and/or FTA will consult with each other to resolve the question. If after consultation the parties disagree, the final decision rests with FTA, for transit projects, and FHWA, for highway projects.

Any exception to these procedures is allowed only through consultation among MPOs, Caltrans, and FHWA/FTA. FTA, for transit projects, and FHWA, for highway projects, will have the final decision whether or not such an exception is granted.



Shasta County

**Regional Transportation
Planning Agency**

1855 Placer Street • Redding, CA 96001 • (530)225-5654 • FAX (530)225-5667
E-Mail scrtpa@co.shasta.ca.us • HOME PAGE www.scrtpa.org

Daniel S. Little, Executive Director

December 21, 2011

RPP 010013

Rachel Falsetti
Caltrans Division of Transportation Programming, MS 82
Office of Federal Transportation Management Program
P.O. Box 924874
Sacramento, CA 92474-0001

Attn: Kang Tang

Subject: Request for State Delegation of Federal Statewide Transportation Improvement Program (FSTIP) Administrative Modification Approval Authority

Dear Ms. Falsetti:

The Shasta County Regional Transportation Planning Agency (SCRTPA) is requesting Caltrans' delegation to approve administrative modifications to the FSTIP.

On October 25, 2011, the SCRTPA board took action to:

- 1) Adopt Resolution No. 11-15 delegating authority from Caltrans to approve administrative modifications to the FSTIP in accordance with the attached Federal Transportation Improvement Program (FTIP)/the Federal-Statewide Transportation Improvement Program (FSTIP) Amendments and Administrative Modifications Procedures; and
- 2) Authorize the executive director to approve future administrative modifications to the FTIP and FSTIP on behalf of the board.

The 2010 FTIP has been updated to be consistent with the new FSTIP/FTIP Administrative Modification and Amendment Procedures. The Public Participation Plan does not need to be updated; it is consistent with the new procedures.

Upon receipt of delegation authority from Caltrans, the SCRTPA executive director will approve all future administrative modifications to the FTIP and FSTIP. If you have any questions or would like to submit comments, please contact Anne Jensen, Assistant Planner, at ajensen@co.shasta.ca.us or (530) 225-5430.

Sincerely,

Daniel S. Little, AICP, Executive Director
Shasta County Regional Transportation
Planning Agency (MPO)

DSL/ACJ/jac

Attachments: Staff Report for October 25, 2011 Board Meeting
Resolution 11-15

STAFF REPORT



MEETING DATE:	10/25/11
SUBJECT:	Delegation of the Federal Transportation Improvement Program (FTIP) Administrative Modification Procedures
AGENDA ITEM:	4-3
STAFF CONTACT:	Anne Jensen

SUMMARY:

The Federal Highway Administration (FHWA) and Federal Transit Administration (FTA), in cooperation with Caltrans, have recently revised administrative modification and amendment procedures to the 2010 Federal Transportation Improvement Program (FTIP). The revised procedures let MPO's undergo a delegation process allowing them to approve FTIP administrative modification amendments without the need for state or federal approval.

STAFF RECOMMENDATIONS:

It is recommended that the board:

1. Adopt Resolution No. 11-15 delegating authority from Caltrans to approve administrative modifications to the FTIP in accordance with the attached Federal Transportation Improvement Program (FTIP) / the Federal-Statewide Transportation Improvement Program (FSTIP) Amendments and Administrative Modifications Procedures; and
2. Authorize the executive director to approve future administrative modifications to the FTIP upon receipt from Caltrans.

DISCUSSION:

The FTIP is a four-year program of projects showing where, when and how federal transportation funds are being spent in Shasta County, including those that the Shasta County Regional Transportation Planning Agency (SCRTPA) does not have programming discretion over. The FTIP must be financially constrained and programming expenditures must be balanced with estimated revenues.

Under this delegation, any FTIP amendment that meets the qualifications of an administrative modification could be approved by the executive director in lieu of state pre-approval. The board can expand approval authority after receiving the delegation from Caltrans. This would enable the SCRTPA to expedite the programming and project delivery process.

Delegation procedures are consistent with current state and federal programming procedures and the SCRTPA's public participation plan, and are currently being adopted as part of the 2010 FTIP.

ALTERNATIVES:

1. The board could choose not to accept the delegation authority over FTIP administrative modifications from Caltrans. This is not recommended as it increases review time by outside agencies.
2. The board could choose not to delegate the authority to the executive director. This is not recommended as the board does not currently approve administrative modifications because they are minor changes and do not require public review or comment.

OTHER AGENCY INVOLVEMENT:

The FHWA and FTA worked with Caltrans to simplify the programming procedures for California MPO's. The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING:

No financing is required for the delegation process or future amendments; it is a procedural change only.



Daniel S. Little, AICP, Executive Director

Attachments: Resolution 11-15
2011 FSTIP/FTIP Administrative Modification and Amendment Procedures

RESOLUTION



RESOLUTION NUMBER:	11-15
SUBJECT:	Delegation of the Federal Transportation Improvement Program (FTIP) Administrative Modification Procedures

WHEREAS, the Shasta County Regional Transportation Planning Agency (SCRTPA) has been designated as the Metropolitan Planning Organization (MPO) for Shasta County; and

WHEREAS, Title 23 Code of Federal Regulations, Part 450 requires the preparation and adoption of a Federal Transportation Improvement Program (FTIP) by the MPO to become part of the Federal-Statewide Transportation Improvement Program (FSTIP); and

WHEREAS, the SCRTPA is responsible for preparing and processing administrative modifications and amendments to the FTIP; and

WHEREAS, the Federal Highway Administration and Federal Transit Administration, in consultation with the California Department of Transportation (Caltrans), has provided revised procedures for administrative modifications to the FSTIP to the governing board and subsequently the executive director of the SCRTPA (or designee); and

WHEREAS, the SCRTPA board identifies that it is appropriate, in addition to all former authorizing delegations, for approval of future FSTIP administrative modification actions to be delegated to the executive director of the SCRTPA (or designee).

NOW, THEREFORE, BE IT RESOLVED that the Shasta County Regional Transportation Planning Agency:

1. Accepts delegation authority from Caltrans to approve administrative modifications to the FSTIP in accordance with the attached FSTIP/FTIP Administrative Modifications and Amendments Procedures; and
2. Authorizes the executive director to approve administrative modifications to the FSTIP on behalf of the board.

PASSED AND ADOPTED this 25th day of October 2011, by the Shasta County Regional Transportation Planning Agency.

A handwritten signature in black ink, appearing to read 'Leonard Moty', written over a horizontal line.

Leonard Moty, Chair
Shasta County Regional Transportation Planning Agency



U.S. Department
of Transportation
**Federal Highway
Administration**

**Federal Highway Administration
California Division**

June 3, 2011

650 Capitol Mall, Suite 4-100
Sacramento CA 95814
(916) 498-5001
(916) 498-5008 fax

In Reply Refer To:
HDA-CA

Doc ID: 62,530

Mr. Malcolm Dougherty, Acting Caltrans Director
California Department of Transportation
1120 N Street
Sacramento, CA 95814

Attention: Federal Resources Office, M.S. 82
For Rachel Falsetti, Division of Transportation Programming

SUBJECT: Revised Federal – Federal Statewide Transportation Improvement Program
(FSTIP) and Federal Transportation Improvement Program (FTIP) Amendment
and Administrative Modification Procedures

In our letter dated November 17, 2008, the Federal Transit Administration (FTA) and the Federal Highway Administration (FHWA) provided the California Department of Transportation (Caltrans) procedures on the FSTIP/FTIP Administration Modification and Amendment process.

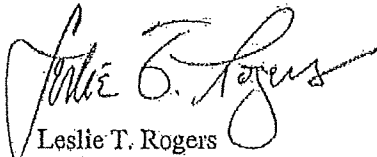
Working in coordination with Caltrans, FTA and FHWA have revised the FSTIP/FTIP Administration Modification and Amendment Procedures. The enclosed revised procedures provide much more flexibility, and in the spirit of FHWA's Every Day Counts Initiative, should shorten project delivery time by allowing for fewer formal amendments which generally take longer to process.

The revised procedures detail what specific types of programming changes to the FSTIP/FTIPs may be made as administrative modifications, for which approval has been delegated to the State, and what changes must be submitted to FHWA and/or FTA for approval as formal amendments. These procedures are intended to offer more flexibility to Caltrans and the Metropolitan Planning Organizations (MPOs) as well as clarify the parameters of an administrative modification.

Throughout the FSTIP/FTIP Administration Modification and Amendment Procedures update process, the FHWA and FTA have been very pleased with our partnership with Caltrans. This effort highlights Caltrans' continued dedication to the stewardship of the Federal-aid Program. More specifically, the FHWA and FTA extend great appreciation to Caltrans Office of Federal Transportation Management Program Chief, Mr. Muhaned Aljabiry and his staff.

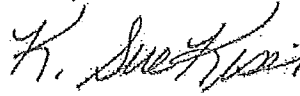


If you have any questions regarding the attached procedures, please contact Ray Sukys, FTA, at 415-744-2802 (Raymond.Sukys@dot.gov) or Sue Kiser, FHWA, at 916-498-5009 (Sue.Kiser@dot.gov).



Leslie T. Rogers
Regional Administrator
Federal Transit Administration

Sincerely,



For
Robert F. Tally Jr.
Acting Division Administrator
Federal Highway Administration

Enclosure

cc: (e-mail)

Muhaned Aljabiry, Caltrans Programming

Abhijit Bagde, Caltrans Programming

Ray Sukys, FTA

Ted Matley, FTA

Scott Carson, FHWA

Cecilia Crenshaw, FHWA

Jermaine Hannon, FHWA

Wade Hobbs, FHWA

Sue Kiser, FHWA

Aimee Kratovil, FHWA

Michael Morris, FHWA

Stew Sonnenberg, FHWA

Joseph Vaughn, FHWA

JRH/km

FSTIP/FTIP Administrative Modifications and Amendments Procedures

The following procedures are applicable for processing amendments and administrative modifications to the Federal – Statewide Transportation Improvement Program (FSTIP) and the Federal Transportation Improvement Programs (FTIPs).

Projects programmed in the FSTIP may be delivered in any of the recognized program years of the FSTIP provided Expedited Project Selection Procedures (EPSPs) have been adopted by the MPOs in accordance with 23 CFR 450, and the required interagency consultation or coordination is accomplished and documented. Changing the obligation year of a project using EPSP does not require an administrative modification or an amendment if the change does not require an air quality conformity determination.

1. Administrative Modifications:

An administrative modification is a minor change to the FSTIP/FTIP that does not require a conformity determination, a demonstration of fiscal constraint, public review and comment, or federal approval. The following changes to the FSTIP/FTIP can be processed through administrative modifications:

- i. Revise description of a project or a grouped project listing without changing the project scope or without conflicting with the approved environmental document;
- ii. Revise the funding amount listed for a project or a project phase. Additional funding is limited to the lesser of 40 percent of the total project cost or \$10 million. Programming capacity must be available in the FSTIP/FTIP prior to programming the modification and stated in the supporting documentation. See Table below for examples;

(Note: Updated FTIP financial plans may be requested by Caltrans to validate fiscal constraint. If a MPO has processed only Administrative Modifications for a period of six months or more.)

Initial Project Cost	New Project Cost	Total Sum Increase	% Change In Cost	% Change < or = 40%	Total Sum Increased Is < or = \$10M	Type of Amendment (Formal or Administrative)
\$1,000,000	\$1,400,000	\$400,000	40%	Yes	Yes	Administrative
\$10,000,000	\$15,000,000	\$5,000,000	50%	No	Yes	Formal
\$500,000,000	\$510,000,000	\$10,000,000	2%	Yes	Yes	Administrative
\$100,000	\$140,000	\$40,000	40%	Yes	Yes	Administrative
\$400,000	\$1,000,000	\$600,000	150%	No	Yes	Formal
\$2,500,000	\$3,000,000	\$500,000	20%	Yes	Yes	Administrative

- iii. Program Preliminary Engineering (PE) phase, provided the Right of Way and/or Construction phase(s) are already programmed in the current

FSTIP/FTIP and additional funding amounts stay within the limits specified in Section ii.

(Note: Activities done prior to Federal Authorization are not eligible for Federal participation.)

- iv. A cost decrease has no cap as long as reduction in cost does not result in deletion of a project or a phase, and the affected project phase is still fully funded.
- v. Change source of funds.
- vi. Change a project lead agency.
- vii. Program Federal funds for Advance Construction conversion, programming capacity must be available in the FSTIP/FTIP prior to programming the conversion.
- viii. Change the program year of funds within the current FSTIP/FTIP provided MPO has adopted EPSP in accordance with 23 CFR 450.
- ix. Split or combine an individually listed project or projects, provided that the schedule and scope remain unchanged.
- x. Change grouped project listings description as long as it is consistent with the Programming Grouped Project Listings in Air Quality Non-Attainment or Maintenance Areas guidance.
- xi. Add or delete a project or projects from a grouped project listing, provided the funding amount stays within the limits specified in Section ii.
- xii. Program emergency repair projects on the state highways as a result of a natural disaster or catastrophic failure from an external cause, and that are not covered by the Emergency Relief Program, provided that these projects are exempt from Air Quality Conformity Requirements.
- xiii. Re-program a project for which FHWA funds were transferred to FTA in the prior FSTIP/FTIP and FTA has not approved the grant yet. The project can be programmed in the current FSTIP/FTIP via an administrative modification provided there is no change in the original scope or cost. Program the project using fund type "FTA 5307 (FHWA Transfer Funds)" in the FSTIP/FTIP.
- xiv. Program an FTA funded project from the prior FSTIP/FTIP into the current FSTIP/FTIP provided there is no change in the original scope or cost. Use the project description field (or "CTIPS MPO Comments" section) to list the year, amount, and type of the prior year funds.
- xv. Make minor changes to an FTA funded grouped project listing. Minor changes include changing the number of transit vehicles purchased by 20% or less and changing the fuel type of transit vehicles. The MPO must take the change through its interagency consultation procedures to confirm that the change in scope is minor.

2. Amendments:

Amendments are all other modifications to the FSTIP/FTIP that are not included under administrative modifications and shall be approved in accord with the provisions of 23

CFR 450.326 for each metropolitan area in the State, and in accord with the provisions of 23 CFR 450.216 for the non-metropolitan area.

3. Procedures;

a. Administrative Modifications:

MPOs with approval delegation from Caltrans

Caltrans may delegate to an MPO's Board the authority to approve administrative modifications. In such a case, no Caltrans approval of administrative modifications is required. If the MPO Board has delegated the authority to the Executive Director to approve administrative modifications, the MPO must provide copies of the delegation to Caltrans, FHWA, and FTA. The MPO must send copies of the approved administrative modification to Caltrans, FHWA, and FTA. Once approved by the MPO, the administrative modification will be deemed part of the FSTIP. The MPO will demonstrate in a subsequent amendment that the net financial change from each administrative modification has been accounted for. Caltrans will conduct periodic reviews of MPO's administrative modification process to confirm adherence to these procedures. Noncompliance with these procedures will result in revocation of that MPO's delegation.

MPOs without approval delegation from Caltrans

Each MPO's administrative modification will be forwarded to Caltrans Division of Transportation Programming for approval. If the MPO Board has delegated the authority to the Executive Director to sign off on administrative modifications, the MPO must provide copies of the delegation to Caltrans, FHWA, and FTA. The MPO must send copies of the administrative modification to Caltrans, FHWA, and FTA. In addition, the MPO must demonstrate in a subsequent amendment that the net financial change from each administrative modification has been accounted for. Once approved by Caltrans, the administrative modification will be deemed part of the FSTIP since no Federal action is required. Caltrans will notify FHWA and FTA of the approved administrative modification.

(Note: If it is found that an approved administrative modification does not meet the criteria of an administrative modification, FHWA and FTA reserves the right to reject that administrative modification and require a formal amendment.)

b. Amendments:

Amendments to the FSTIP must be developed in accordance with the provisions of 23 CFR 450, and approved by FHWA and/or FTA in accordance with 23 CFR 450 and the July 13, 2004 MOU between FHWA – California Division and FTA Region 9. Each amendment must be forwarded to Caltrans Division of Transportation Programming for approval on behalf of the Governor. To expedite processing, the MPO will also forward a copy of the submitted amendment to FHWA and FTA at the same time the amendment is sent to Caltrans. Once

approved by Caltrans, the amendment will be forwarded to FHWA and/or FTA for federal approval. Once approved by FHWA and/or FTA, the amendment will be deemed part of the ESTIP. The FHWA and/or FTA approval letter and respective Conformity Determination will be addressed to Caltrans, with copies sent to the MPO.

4. Consultation:

If a question arises regarding the interpretation of what constitutes an administrative modification or an amendment, Caltrans, the MPO, FHWA and/or FTA will consult with each other to resolve the question. If after consultation the parties disagree, the final decision rests with FTA, for transit projects, and FHWA, for highway projects.

Any exception to these procedures is allowed only through consultation among MPOs, Caltrans, and FHWA/FTA. FTA, for transit projects, and FHWA, for highway projects, will have the final decision whether or not such an exception is granted.

STAFF REPORT



MEETING DATE:	10/25/11
SUBJECT:	Delegation of the Federal Transportation Improvement Program (FTIP) Administrative Modification Procedures
AGENDA ITEM:	4-3
STAFF CONTACT:	Anne Jensen

SUMMARY:

The Federal Highway Administration (FHWA) and Federal Transit Administration (FTA), in cooperation with Caltrans, have recently revised administrative modification and amendment procedures to the 2010 Federal Transportation Improvement Program (FTIP). The revised procedures let MPO's undergo a delegation process allowing them to approve FTIP administrative modification amendments without the need for state or federal approval.

STAFF RECOMMENDATIONS:

It is recommended that the board:

1. Adopt Resolution No. 11-15 delegating authority from Caltrans to approve administrative modifications to the FTIP in accordance with the attached Federal Transportation Improvement Program (FTIP) / the Federal-Statewide Transportation Improvement Program (FSTIP) Amendments and Administrative Modifications Procedures; and
2. Authorize the executive director to approve future administrative modifications to the FTIP upon receipt from Caltrans.

DISCUSSION:

The FTIP is a four-year program of projects showing where, when and how federal transportation funds are being spent in Shasta County, including those that the Shasta County Regional Transportation Planning Agency (SCRTPA) does not have programming discretion over. The FTIP must be financially constrained and programming expenditures must be balanced with estimated revenues.

Under this delegation, any FTIP amendment that meets the qualifications of an administrative modification could be approved by the executive director in lieu of state pre-approval. The board can expand approval authority after receiving the delegation from Caltrans. This would enable the SCRTPA to expedite the programming and project delivery process.

Delegation procedures are consistent with current state and federal programming procedures and the SCRTPA's public participation plan, and are currently being adopted as part of the 2010 FTIP.

ALTERNATIVES:

1. The board could choose not to accept the delegation authority over FTIP administrative modifications from Caltrans. This is not recommended as it increases review time by outside agencies.
2. The board could choose not to delegate the authority to the executive director. This is not recommended as the board does not currently approve administrative modifications because they are minor changes and do not require public review or comment.

OTHER AGENCY INVOLVEMENT:

The FHWA and FTA worked with Caltrans to simplify the programming procedures for California MPO's. The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING:

No financing is required for the delegation process or future amendments; it is a procedural change only.



Daniel S. Little, AICP, Executive Director

Attachments: Resolution 11-15
2011 FSTIP/FTIP Administrative Modification and Amendment Procedures

RESOLUTION



RESOLUTION NUMBER: 11-15
SUBJECT: Delegation of the Federal Transportation Improvement Program (FTIP) Administrative Modification Procedures

WHEREAS, the Shasta County Regional Transportation Planning Agency (SCRTPA) has been designated as the Metropolitan Planning Organization (MPO) for Shasta County; and

WHEREAS, Title 23 Code of Federal Regulations, Part 450 requires the preparation and adoption of a Federal Transportation Improvement Program (FTIP) by the MPO to become part of the Federal-Statewide Transportation Improvement Program (FSTIP); and

WHEREAS, the SCRTPA is responsible for preparing and processing administrative modifications and amendments to the FTIP; and

WHEREAS, the Federal Highway Administration and Federal Transit Administration, in consultation with the California Department of Transportation (Caltrans), has provided revised procedures for administrative modifications to the FSTIP to the governing board and subsequently the executive director of the SCRTPA (or designee); and

WHEREAS, the SCRTPA board identifies that it is appropriate, in addition to all former authorizing delegations, for approval of future FSTIP administrative modification actions to be delegated to the executive director of the SCRTPA (or designee).

NOW, THEREFORE, BE IT RESOLVED that the Shasta County Regional Transportation Planning Agency:

1. Accepts delegation authority from Caltrans to approve administrative modifications to the FSTIP in accordance with the attached FSTIP/FTIP Administrative Modifications and Amendments Procedures; and
2. Authorizes the executive director to approve administrative modifications to the FSTIP on behalf of the board.

PASSED AND ADOPTED this 25th day of October 2011, by the Shasta County Regional Transportation Planning Agency.

A handwritten signature in blue ink, appearing to read "Leonard Moty", written over a horizontal line.

Leonard Moty, Chair
Shasta County Regional Transportation Planning Agency



U.S. Department
of Transportation
**Federal Highway
Administration**

**Federal Highway Administration
California Division**

June 3, 2011

650 Capitol Mall, Suite 4-100
Sacramento CA 95814
(916) 498-5001
(916) 498-5008 fax

In Reply Refer To:
HDA-CA

Doc ID: 62,530

Mr. Malcolm Dougherty, Acting Caltrans Director
California Department of Transportation
1120 N Street
Sacramento, CA 95814

Attention: Federal Resources Office, M.S. 82
For Rachel Falsetti, Division of Transportation Programming

SUBJECT: Revised Federal – Federal Statewide Transportation Improvement Program
(FSTIP) and Federal Transportation Improvement Program (FTIP) Amendment
and Administrative Modification Procedures

In our letter dated November 17, 2008, the Federal Transit Administration (FTA) and the Federal Highway Administration (FHWA) provided the California Department of Transportation (Caltrans) procedures on the FSTIP/FTIP Administration Modification and Amendment process.

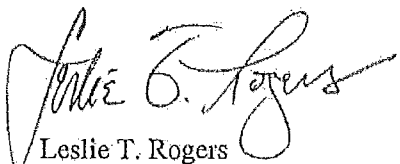
Working in coordination with Caltrans, FTA and FHWA have revised the FSTIP/FTIP Administration Modification and Amendment Procedures. The enclosed revised procedures provide much more flexibility, and in the spirit of FHWA's Every Day Counts Initiative, should shorten project delivery time by allowing for fewer formal amendments which generally take longer to process.

The revised procedures detail what specific types of programming changes to the FSTIP/FTIPs may be made as administrative modifications, for which approval has been delegated to the State, and what changes must be submitted to FHWA and/or FTA for approval as formal amendments. These procedures are intended to offer more flexibility to Caltrans and the Metropolitan Planning Organizations (MPOs) as well as clarify the parameters of an administrative modification.

Throughout the FSTIP/FTIP Administration Modification and Amendment Procedures update process, the FHWA and FTA have been very pleased with our partnership with Caltrans. This effort highlights Caltrans' continued dedication to the stewardship of the Federal-aid Program. More specifically, the FHWA and FTA extend great appreciation to Caltrans Office of Federal Transportation Management Program Chief, Mr. Muhaned Aljabiry and his staff.



If you have any questions regarding the attached procedures, please contact Ray Sukys, FTA, at 415-744-2802 (Raymond.Sukys@dot.gov) or Sue Kiser, FHWA, at 916-498-5009 (Sue.Kiser@dot.gov).



Leslie T. Rogers
Regional Administrator
Federal Transit Administration

Sincerely,



For
Robert F. Tally Jr.
Acting Division Administrator
Federal Highway Administration

Enclosure

cc: (e-mail)

Muhamed Aljabiry, Caltrans Programming

Abhijit Bagde, Caltrans Programming

Ray Sukys, FTA

Ted Matley, FTA

Scott Carson, FHWA

Cecilia Crenshaw, FHWA

Jermaine Hannon, FHWA

Wade Hobbs, FHWA

Sue Kiser, FHWA

Aimee Kratovil, FHWA

Michael Morris, FHWA

Stew Sonnenberg, FHWA

Joseph Vaughn, FHWA

JRH/km

FSTIP/FTIP Administrative Modifications and Amendments Procedures

The following procedures are applicable for processing amendments and administrative modifications to the Federal – Statewide Transportation Improvement Program (FSTIP) and the Federal Transportation Improvement Programs (FTIPs).

Projects programmed in the FSTIP may be delivered in any of the recognized program years of the FSTIP provided Expedited Project Selection Procedures (EPSPs) have been adopted by the MPOs in accordance with 23 CFR 450, and the required interagency consultation or coordination is accomplished and documented. Changing the obligation year of a project using EPSP does not require an administrative modification or an amendment if the change does not require an air quality conformity determination.

1. Administrative Modifications:

An administrative modification is a minor change to the FSTIP/FTIP that does not require a conformity determination, a demonstration of fiscal constraint, public review and comment, or federal approval. The following changes to the FSTIP/FTIP can be processed through administrative modifications:

- i. Revise description of a project or a grouped project listing without changing the project scope or without conflicting with the approved environmental document;
- ii. Revise the funding amount listed for a project or a project phase. Additional funding is limited to the lesser of 40 percent of the total project cost or \$10 million. Programming capacity must be available in the FSTIP/FTIP prior to programming the modification and stated in the supporting documentation, See Table below for examples;

(Note: Updated FTIP financial plans may be requested by Caltrans to validate fiscal constraint, if a MPO has processed only Administrative Modifications for a period of six months or more.)

Initial Project Cost	New Project Cost	Total Sum Increase	% Change In Cost	% Change < or = 40%	Total Sum Increased Is < or = \$10M	Type of Amendment (Formal or Administrative)
\$1,000,000	\$1,400,000	\$400,000	40%	Yes	Yes	Administrative
\$10,000,000	\$15,000,000	\$5,000,000	50%	No	Yes	Formal
\$500,000,000	\$510,000,000	\$10,000,000	2%	Yes	Yes	Administrative
\$100,000	\$140,000	\$40,000	40%	Yes	Yes	Administrative
\$400,000	\$1,000,000	\$600,000	150%	No	Yes	Formal
\$2,500,000	\$3,000,000	\$500,000	20%	Yes	Yes	Administrative

- iii. Program Preliminary Engineering (PE) phase, provided the Right of Way and/or Construction phase(s) are already programmed in the current

FSTIP/FTIP and additional funding amounts stay within the limits specified in Section ii.

(Note: Activities done prior to Federal Authorization are not eligible for Federal participation.)

- iv. A cost decrease has no cap as long as reduction in cost does not result in deletion of a project or a phase, and the affected project phase is still fully funded.
- v. Change source of funds.
- vi. Change a project lead agency.
- vii. Program Federal funds for Advance Construction conversion, programming capacity must be available in the FSTIP/FTIP prior to programming the conversion.
- viii. Change the program year of funds within the current FSTIP/FTIP provided MPO has adopted EPSP in accordance with 23 CFR 450.
- ix. Split or combine an individually listed project or projects, provided that the schedule and scope remain unchanged.
- x. Change grouped project listings description as long as it is consistent with the Programming Grouped Project Listings in Air Quality Non-Attainment or Maintenance Areas guidance.
- xi. Add or delete a project or projects from a grouped project listing, provided the funding amount stays within the limits specified in Section ii.
- xii. Program emergency repair projects on the state highways as a result of a natural disaster or catastrophic failure from an external cause, and that are not covered by the Emergency Relief Program, provided that these projects are exempt from Air Quality Conformity Requirements.
- xiii. Re-program a project for which FHWA funds were transferred to FTA in the prior FSTIP/FTIP and FTA has not approved the grant yet. The project can be programmed in the current FSTIP/FTIP via an administrative modification provided there is no change in the original scope or cost. Program the project using fund type "FTA 5307 (FHWA Transfer Funds)" in the FSTIP/FTIP.
- xiv. Program an FTA funded project from the prior FSTIP/FTIP into the current FSTIP/FTIP provided there is no change in the original scope or cost. Use the project description field (or "CTIPS MPO Comments" section) to list the year, amount, and type of the prior year funds.
- xv. Make minor changes to an FTA funded grouped project listing. Minor changes include changing the number of transit vehicles purchased by 20% or less and changing the fuel type of transit vehicles. The MPO must take the change through its interagency consultation procedures to confirm that the change in scope is minor.

2. Amendments:

Amendments are all other modifications to the FSTIP/FTIP that are not included under administrative modifications and shall be approved in accord with the provisions of 23

CFR 450.326 for each metropolitan area in the State, and in accord with the provisions of 23 CFR 450.216 for the non-metropolitan area.

3. Procedures:

a. Administrative Modifications:

MPOs with approval delegation from Caltrans

Caltrans may delegate to an MPO's Board the authority to approve administrative modifications. In such a case, no Caltrans approval of administrative modifications is required. If the MPO Board has delegated the authority to the Executive Director to approve administrative modifications, the MPO must provide copies of the delegation to Caltrans, FHWA, and FTA. The MPO must send copies of the approved administrative modification to Caltrans, FHWA, and FTA. Once approved by the MPO, the administrative modification will be deemed part of the FSTIP. The MPO will demonstrate in a subsequent amendment that the net financial change from each administrative modification has been accounted for. Caltrans will conduct periodic reviews of MPO's administrative modification process to confirm adherence to these procedures. Noncompliance with these procedures will result in revocation of that MPO's delegation.

MPOs without approval delegation from Caltrans

Each MPO's administrative modification will be forwarded to Caltrans Division of Transportation Programming for approval. If the MPO Board has delegated the authority to the Executive Director to sign off on administrative modifications, the MPO must provide copies of the delegation to Caltrans, FHWA, and FTA. The MPO must send copies of the administrative modification to Caltrans, FHWA, and FTA. In addition, the MPO must demonstrate in a subsequent amendment that the net financial change from each administrative modification has been accounted for. Once approved by Caltrans, the administrative modification will be deemed part of the FSTIP since no Federal action is required. Caltrans will notify FHWA and FTA of the approved administrative modification.

(Note: If it is found that an approved administrative modification does not meet the criteria of an administrative modification, FHWA and FTA reserves the right to reject that administrative modification and require a formal amendment.)

b. Amendments:

Amendments to the FSTIP must be developed in accordance with the provisions of 23 CFR 450, and approved by FHWA and/or FTA in accordance with 23 CFR 450 and the July 13, 2004 MOU between FHWA – California Division and FTA Region 9. Each amendment must be forwarded to Caltrans Division of Transportation Programming for approval on behalf of the Governor. To expedite processing, the MPO will also forward a copy of the submitted amendment to FHWA and FTA at the same time the amendment is sent to Caltrans. Once

approved by Caltrans, the amendment will be forwarded to FHWA and/or FTA for federal approval. Once approved by FHWA and/or FTA, the amendment will be deemed part of the FSTIP. The FHWA and/or FTA approval letter and respective Conformity Determination will be addressed to Caltrans, with copies sent to the MPO.

4. Consultation:

If a question arises regarding the interpretation of what constitutes an administrative modification or an amendment, Caltrans, the MPO, FHWA and/or FTA will consult with each other to resolve the question. If after consultation the parties disagree, the final decision rests with FTA, for transit projects, and FHWA, for highway projects.

Any exception to these procedures is allowed only through consultation among MPOs, Caltrans, and FHWA/FTA. FTA, for transit projects, and FHWA, for highway projects, will have the final decision whether or not such an exception is granted.

Appendix H

SRTA Delegation Authority to Executive Director for Approval of Formal Amendments

DRAFT



1255 East Street, Suite 202 • Redding, CA 96001 • (530)262-6190 • FAX (530)262-6189
E-Mail srta@srta.ca.gov • HOME PAGE www.srta.ca.gov

Daniel S. Little, Executive Director

December 12, 2013

Rachel Falsetti
Caltrans Division of Transportation Programming, MS 82
Office of Federal Transportation Management Program
P.O. Box 942874
Sacramento, CA 94274-0001

Attn: Muhaned Aljabiry

Subject: Shasta Regional Transportation Agency Delegation of Authority to Executive Director to Approve Federal Transportation Improvement Program (FTIP) Formal Amendments

Dear Ms. Falsetti: *Rachel*

At their meeting of December 10, 2013, the Shasta Regional Transportation Agency (SRTA) Board of Directors adopted attached Resolution Number 13-14 delegating authority to the SRTA executive director to approve Federal Transportation Improvement Program (FTIP) formal amendments.

The SRTA Board of Directors, in recognition that its meeting schedule can make it difficult to bring forward formal FTIP amendments in a timely manner, provided this delegation to the SRTA executive director under the following criteria:

1. Programming revisions will be consistent with federal and state allocations, and prior SRTA actions;
2. Federal and state FTIP requirements would continue to be followed, as well as SRTA's public participation process; and
3. The executive director will postpone and refer to the SRTA Board of Directors any amendment where such a request is made during the public participation process.

Please contact either senior planner Kathy Urlie (530-262-6194; kurlie@srta.ca.gov) or me (530-262-6191; dlittle@srta.ca.gov), if you have any questions or require additional information.

Sincerely,

A handwritten signature in black ink, appearing to read "D. Little", is written over a horizontal line.

Daniel S. Little, AICP, Executive Director
Shasta Regional Transportation Agency (MPO)

DSL/KKU

Attachments:

- a) SRTA Resolution Number 13-14: Delegation of Authority to Executive Director to Approved Federal Transportation Improvement Program (FTIP) Formal Amendments
- b) SRTA December 10, 2013 Agenda Item 5-4 Staff Report

cc: Gwyn Reese, Caltrans Division of Transportation Programming
John Bulinski, Caltrans District 2 Director
Jeff Kiser, City of Anderson City Manager
Chuck Aukland, City of Redding Assistant Public Works Director
John Duckett, City of Shasta Lake City Manager
Scott Wahl, County of Shasta Deputy Public Works Director

RESOLUTION



RESOLUTION NUMBER:	13-14
SUBJECT:	Delegation of Authority to Executive Director to Approve Federal Transportation Improvement Program (FTIP) Formal Amendments

WHEREAS, the Shasta Regional Transportation Agency (SRTA) has been designated as the Metropolitan Planning Organization (MPO) for Shasta County pursuant to U.S.C. Section 134(a) and (g); and

WHEREAS, Title 23 Code of Federal Regulations, Part 450 requires the preparation and adoption of a four-year Federal Transportation Improvement Program (FTIP) by the MPO to become part of the Federal-Statewide Transportation Improvement Program (FSTIP); and

WHEREAS, in order to be consistent with the State Transportation Improvement Program (STIP), the FTIP must be updated every two years; and

WHEREAS, SRTA is responsible for preparing and processing administrative modifications and formal amendments to the FTIP; and

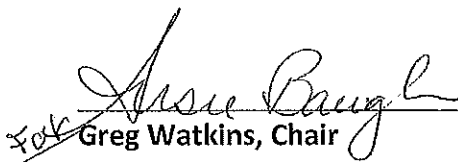
WHEREAS, SRTA's governing board of directors may delegate approval of formal amendments to the FTIP to the executive director of SRTA; and

WHEREAS, the meeting schedule of the SRTA Board of Directors can make it difficult to bring forward formal FTIP amendments in a timely manner.

NOW, THEREFORE, BE IT RESOLVED that the Shasta Regional Transportation Agency delegates authority from the SRTA Board of Directors to the executive director to approve formal amendments to the FTIP under the following criteria:

1. Programming revisions will be consistent with federal and state allocations, and prior SRTA actions;
2. Federal and state FTIP requirements would continue to be followed, as well as SRTA's public participation process; and
3. The executive director will postpone and refer to the SRTA Board of Directors any amendment where such a request is made during the public participation process.

PASSED AND ADOPTED this 10th day of December, 2013, by the Shasta Regional Transportation Agency.


For Greg Watkins, Chair
Shasta Regional Transportation Agency



STAFF REPORT

MEETING DATE:	12/10/13
SUBJECT:	Delegation of Authority to Executive Director to Approve Federal Transportation Improvement Program (FTIP) Formal Amendments
AGENDA ITEM:	5-4
STAFF CONTACT:	Kathy Urlie, Senior Transportation Planner

SUMMARY:

The Federal Highway Administration (FHWA) and Federal Transit Administration (FTA), in cooperation with Caltrans, allow for Metropolitan Planning Organizations' (MPOs') board of directors to have a designee approve Federal Transportation Improvement Program (FTIP) formal amendments. This provision allows more timely access to project funds.

STAFF RECOMMENDATION:

It is recommended that the board of directors adopt Resolution Number 13-14 delegating authority to the executive director to approve formal FTIP amendments.

DISCUSSION:

The FTIP is a four-year program and schedule of projects for Shasta County. SRTA has no discretion to change most projects in the FTIP. For projects where SRTA has discretion, there is always a prior board of director's action to guide the programming. The FTIP must be financially constrained, meaning that programming expenditures must be balanced with estimated revenues. A project may not receive federal funds until it is correctly listed in the FTIP.

Due to the lengthy intervals between board of directors' meetings, timely formal FTIP amendments are sometimes not possible, resulting in project delays. Delegation of FTIP approval authority to the executive director would allow programming changes without waiting for a regularly scheduled board of directors meeting.

The executive director would continue to ensure that programming revisions are consistent with federal and state allocations and prior SRTA actions, and that all FTIP requirements are followed. Any FTIP formal amendments approved by the executive director would be summarized and included in the next SRTA Board of Directors agenda under correspondence.

In approving formal amendments, the executive director would adhere to SRTA's public participation process, including public notices. Should a member of the public or any agency request that a proposed amendment be heard by the board of directors, the executive director would agendize the amendment for the next meeting.

ALTERNATIVES:

The board of directors may choose not to delegate authority to the executive director for approval of FTIP formal amendments. On occasion, this would result in project delays.

OTHER AGENCY INVOLVEMENT:

The Technical Advisory Committee (TAC) concurs with the staff recommendation.

FINANCING:

Approval of the delegation will have no financial effect on the agency. It will result in more timely access to project funds for those agencies delivering transportation projects in the region.

A handwritten signature in black ink, appearing to read 'D. Little', is written over a horizontal line.

Daniel S. Little, AICP, Executive Director

Attachment: Resolution Number 13-14: Delegation of Authority to Executive Director to Approve
Federal Transportation Improvement Program (FTIP) Formal Amendments

Appendix I

Redding Area Bus Authority (RABA) FTA Section 5307 and 5339 Program of Projects

DRAFT

Redding Area Bus Authority RABA Program of Projects (POP) - 2025 SRTA FTIP

(\$'s in 1,000)

FTA 5307 Urbanized Area Formula Grants

Project	Description	FY 22/23	FY 23/24	FY 24/25	FY 25/26	TOTAL 5307
Operating Assistance	Operating projects consistent with 40 CFR Part 93.126	\$ 3,000	\$ 2,000	\$ 2,000	\$ 2,000	\$ 9,000
Passenger Loading Facilities	RABA capital improvements to transit passenger loading facilities	\$ -	\$ -	\$ -	\$ -	\$ -
Capital Replacement	Purchase of new buses to replace existing fare revenue buses/vehicles for minor expansions to fleet	\$ -	\$ -	\$ -	\$ -	\$ -
Capital	Purchase of maintenance facility/equipment, radio/ITS communication equipment, computer equipment, security upgrades, transfer facilities, support vehicles, and miscellaneous capital	\$ -	\$ -	\$ -	\$ -	\$ -
						\$ 9,000

FTA 5339(a) Bus and Bus Facilities Program

Project	Description	FY 22/23	FY 23/24	FY 24/25	FY 25/26	TOTAL 5339 (a)
Capital	Purchase of maintenance facility/equipment, radio/ITS communication equipment, computer equipment, security upgrades, transfer facilities, support vehicles, and miscellaneous capital	\$ 202	\$ 240	\$ -	\$ -	\$ 442

FTA 5339(c) Low or No Emissions Bus Program

Project	Description	FY 22/23	FY 23/24	FY 24/25	FY 25/26	TOTAL 5339 (a)
Capital	Purchase of maintenance facility/equipment, radio/ITS communication equipment, computer equipment, security upgrades, transfer facilities, support vehicles, and miscellaneous capital	\$ -		\$ 1,586	\$ 2,074	\$ 3,660

Appendix J

Transportation System Performance Management

[This will be updated before the DRAFT 2025 FTIP is shared with partner agencies.]

DRAFT

Appendix K

Projects from Federal Lands Access Program and the Tribal Transportation Program

**[This will be updated after the DRAFT 2025 FTIP is
shared with partner agencies.]**

DRAFT