

U/DBE INFORMATION-GOOD FAITH EFFORTS

(TO BE SUBMITTED BY PROPOSER/BIDDER [WITH PROPOSAL/BID [OR] AT TIME OF TASK/JOB ORDER PROPOSAL])

ALL PROPOSERS/BIDDERS

Proposers/Bidders who do not intend to meet the U/DBE goal must complete and submit this form in order to be deemed responsive. All references to “proposer/bidder” in this form will also be treated as a reference to Consultant/Contractor if applicable.

Contract Number

Task/Job Order Number
(if applicable)

Proposal Submittal Date

SRTA has established a Disadvantaged Business Enterprise (DBE) or an Underutilized Disadvantaged Business Enterprise (UDBE) goal of insert percentage% for this RFP/IFB [or Task/Job Order]. The information provided herein shows that a good faith effort was made by proposer/bidder to meet this goal.

Proposers/bidders should complete this U/DBE Information-Good Faith Efforts, even if the Local Agency U/DBE Commitment form indicates that the proposer/bidder has met the U/DBE goal. This will protect the proposer/bidder's eligibility for award of the Agreement [or Task/Job Order] if SRTA determines that the proposer/bidder failed to meet the goal for various reasons, e.g., a U/DBE firm was not certified at proposal/bid due date, or the proposer/bidder made a mathematical error. Submittal of only the Local Agency U/DBE Commitment form may not provide sufficient documentation to demonstrate that adequate good faith efforts were made.

ALL PROPOSERS/BIDDERS

Proposers/bidders that have not committed to meet the U/DBE goal utilizing the Local Agency U/DBE Commitment form must complete and submit this form and demonstrate adequate Good Faith Efforts to meet the U/DBE goal in order to maintain their eligibility for award.

- A. The names and dates of each publication in which a request for U/DBE participation for this Project was placed by the proposer/bidder (please attach copies of advertisements or proofs of publication)

Publications

Dates of Advertisement

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- B. The names and dates of written notices sent to certified U/DBEs soliciting proposals/bids for this Project [or Task order] and the dates and methods used for following up initial solicitations to determine with certainty whether the U/DBEs were interested (please attach copies of solicitations, telephone records, fax confirmations, etc.):

Names of U/DBEs Solicited	Date of Initial Solicitation	Follow-up Methods and Dates

- C. The items of work which the proposer/bidder made available to U/DBE firms, including, where appropriate, any breaking down of the Agreement [or Task order] work items (including those items normally performed by the proposer/bidder with its own forces) into economically feasible units to facilitate U/DBE participation. It is the proposer/bidder's responsibility to demonstrate that sufficient work to facilitate U/DBE participation was made available to U/DBE firms.

[illegible]

- D. The names, addresses, and phone numbers of rejected U/DBE firms, the reasons for the proposer/bidder's rejection of the U/DBEs, the firms selected for that work (please attach copies of quotes from the firms involved), and the price difference for each U/DBE if the selected firm is not a U/DBE:

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- E. Efforts made to assist interested U/DBEs in obtaining bonding, lines of credit or insurance, and any technical assistance or information related to the plans, specifications and requirements for the work which was provided to U/DBEs:

- F. Efforts made to assist interested U/DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the U/DBE subconsultant/subcontractor purchases or leases from the proposer/bidder or any affiliate:

- G. The names of agencies, organizations or groups contacted to provide assistance in contacting, recruiting, and using U/DBE firms (please attach copies of requests to agencies and any responses received, i.e., lists, web page download, etc.):

Name or Agency/Organization	Method/Date of Contact	Results

- H. Any additional data to support a demonstration of good faith efforts (use additional sheets if necessary):

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.